



# NUCLEAR MEDICINE IN THE CONTEXT OF PERSONALIZED MEDICINE

EDITED BY: Françoise Kraeber-Bodéré, Francesco Cicone, Pierre Payoux  
and Myriam Bernaudin

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# NUCLEAR MEDICINE IN THE CONTEXT OF PERSONALIZED MEDICINE

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# Editorial: Nuclear Medicine in the Context of Personalized Medicine

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**Keywords:** PET, theranostics, radionuclide therapy, solid tumors, hematological malignancies

## Editorial on the Research Topic

### Nuclear Medicine in the Context of Personalized Medicine

Nuclear Medicine has been at the heart of theranostics even before the term was coined. It provides effective tools for precision medicine particularly with positron emission tomography (PET), and radioligand therapy. It allows the investigation of phenotypes and functions in all areas of medicine and provides innovative tools to kill cancer cells. This Research Topic for Frontiers in Medicine focuses on the role of Nuclear Medicine in the context of Personalized Medicine.

Three reviews reported the growing interest of PET in onco-hematological diseases. Jamet et al. have demonstrated the interest of 2-[<sup>18</sup>F]fluoro-2-deoxy-D-glucose ([<sup>18</sup>F]FDG) PET in the management of patients with multiple myeloma (MM). [<sup>18</sup>F]FDG-PET is highly sensitive and specific for bone lesions detection at baseline. The presence of extra-medullary disease, the number of bone focal lesions and the maximum standardized uptake value [SUVmax] have independent pejorative prognostic value on progression-free survival and overall survival. For therapy response assessment, [<sup>18</sup>F]FDG-PET is considered as the reference imaging technique. [<sup>18</sup>F]FDG-PET and bone marrow flow cytometry are complementary for detection of minimal residual disease before maintenance therapy. New PET tracers such as [<sup>11</sup>C]methionine, choline or acetate, [<sup>68</sup>Ga]Ga-pentixafor, which targets CXCR4, and immuno-PET targeting CD138 and CD38, also show promising results.

As discussed by Bailly et al., [<sup>18</sup>F]FDG-PET changed response assessment and therapy strategy in diffuse large B-cell lymphoma (DLBCL) and Hodgkin lymphoma. [<sup>18</sup>F]FDG-PET might also have a significant impact in the management of mantle cell lymphoma (MCL). [<sup>18</sup>F]FDG-PET at baseline in MCL patients has good sensitivity for staging and SUVmax provides prognostic information. They conclude that [<sup>18</sup>F]FDG-PET results should be integrated in the definition of MCL treatment strategy to identify patients who might benefit from more intensive therapy.

The specificity of [<sup>18</sup>F]FDG uptake has been questioned. Thus, new tracers such as [<sup>18</sup>F]Fludarabine, a nucleoside analog, have been developed. Early results with [<sup>18</sup>F]Fludarabine have been reviewed by Barré et al.. Favorable preclinical results in murine models (follicular and central nervous system lymphoma, MM) have prompted a "first in man" study. In DLBCL patients, increased uptake was observed in sites considered abnormal by CT and [<sup>18</sup>F]FDG. In chronic lymphocytic leukemia patients, increased uptake coincided with lymph-nodal sites expected to be involved by the disease. [<sup>18</sup>F]Fludarabine uptake was also high in the spleen and bone marrow. No uptake was observed in the cardiac muscle and brain. They conclude that [<sup>18</sup>F]Fludarabine might correctly quantify the disease burden, in the presence of confounding inflammatory processes.

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Monoclonal antibody (mAb)-based therapies and immunotherapy have experienced considerable growth in cancer management. Labeled mAbs also show promise for theranostics. While PET can be performed using directly radiolabeled mAbs, pretargeting improves imaging contrast. The development of pretargeted immuno-PET in tumors expressing carcinoembryonic antigen (CEA; CEACAM5) has been reviewed by Bodet-Milin et al., focusing on medullary thyroid carcinoma. They conclude that pretargeted PET imaging has a high potential for antibody-based diagnostics and theranostics.

Immunotherapy relies on *in situ* activation or inhibition of immune cells or on the administration of immune cells selected, activated, or transformed *ex vivo*. It is most important to delineate, by *in vivo* imaging, the distribution, activation and migration of immunologically active cells. Methods designed to monitor the fate of these cells and to define their immunological status have been reviewed by Perrin et al., focusing on cell tracking in cancer immunotherapy, particularly CAR (Chimeric Antigen Receptor) T-cell therapy, and on its potential impact on these new therapeutic modalities.

Severe hypoxia, frequent in glioblastoma multiforme, is associated with resistance to ionizing radiation. It contributes to treatment failures after external-beam radiation therapy (EBRT). It would be logical to deliver higher radiation doses to hypoxic tumor regions. This calls for the delineation of hypoxic zones as examined by Gérard et al. Preliminary *in silico* studies investigate the conversion of hypoxia maps into dose-distribution objectives for EBRT dose painting in view of future clinical trials.

The ability to monitor the distribution of radioactivity inside the body is a major advantage of nuclear medicine procedures. Rhenium-188 ( $^{188}\text{Re}$ ) is an attractive candidate for therapy and has a favorable gamma emission for imaging purposes. It is readily extracted from  $^{188}\text{W}/^{188}\text{Re}$  generators and exhibits chemical properties similar to those of technetium-99m, which might constitute an additional, purely diagnostic companion radionuclide. The development of radiopharmaceuticals based on  $^{188}\text{Re}$ , including peptides, mAbs, and particles has been reviewed by Lepareur et al., demonstrating that  $^{188}\text{Re}$  is a cost-effective alternative for routine clinical use.

In neuroscience, carbon-11 or fluorine-18 can be used to label molecules that cross the blood brain barrier, the latter being considered preferable for clinical use. Molecular imaging has focused on receptors, neurotransmitter transporters, and other proteins and PET and SPECT biomarkers have become indispensable for clinical research and the selection of treatment options in a number of pathologies, notably neurodegenerative diseases. They can be used for assessing

patients' eligibility for new treatments, or for treatment follow-up. The review by Beaurain et al. describes some radiotracers used in neuroscience according to their target: dopaminergic, cholinergic or serotonergic systems,  $\beta$ -amyloid plaques, tau protein, neuroinflammation, glutamate or GABA receptors, or  $\alpha$ -synuclein.

Targeting the membrane dopamine transporter (DAT) proves useful in the follow-up and treatment assessment of brain diseases. Carbon-11 and fluorine-18 labeled tracers have been derived from the chemical structure of cocaine. The review by Chalon et al. focuses on the development of one such tracer, LBT-999. [ $^{18}\text{F}$ ]LBT-999 proved capable of exploring *in vivo* the localization of DAT at the dopaminergic nerve endings as well as at the mesencephalic cell bodies in lesion-induced rat models of Parkinson's disease. Recent clinical data demonstrated the efficiency of [ $^{18}\text{F}$ ]LBT-999 in the diagnosis of Parkinson's disease.

To complete this overview, risk management has been discussed by Loncoint et al. as a major concern for health organizations. In hospitals, medical personnel may be exposed to ionizing radiation and the highest doses (up to a few mSv) are recorded in nuclear medicine departments. The review aims at understanding the attitude of health professionals toward the risks of exposure and how they combine patient care with self-protection. The coexistence of care and radiation protection logics was shown to be a source of contradictions for nuclear medicine professionals and of differences in risk regulation strategies according to occupational groups.

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# Interest of FDG-PET in the Management of Mantle Cell Lymphoma

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FDG-PET changed response assessment and therapy strategy in diffuse large B-cell lymphoma and Hodgkin disease lymphoma. The value of FDG-PET evaluation in MCL has not been extensively studied and a recent expert consensus highlighted the need for more studies addressing this question. Data of the literature show the value of FDG-PET at baseline in patients with MCL, underlining the good sensitivity of this examination for the initial staging of this pathology, but also the potential impact of semi-quantitative analysis in this indication. The determination of SUVmax at diagnosis might indeed provide important prognostic information. Some studies also suggest the potential value of early and end-of-treatment metabolic assessment in MCL, but these results need to be validated in standardized prospective studies. These results also underlie the need to integrate FDG-PET results into MCL treatment strategy to improve disease management in identifying patients who might benefit from more intensive therapy.

**Keywords:** mantle cell lymphoma, FDG-PET, staging, therapeutic evaluation, SUV

## INTRODUCTION

The use of positron emission tomography with 18Fluoro-deoxyglucose (FDG-PET) in patients with malignant lymphomas has increased dramatically in the last decade, both for initial staging and for therapeutic evaluation. FDG-PET has indeed become an essential tool in the management of these patients, and regular meetings of international expert committees, such as those held annually in Menton or Lugano, have allowed standardization of practices (1–3). Currently, the role of FDG-PET in rarer histological subtypes of lymphomas such as mantle cell lymphoma (MCL) is less well-defined. MCL is an aggressive subtype of non-Hodgkin lymphoma (NHL) which accounts for ~5% of all NHLs (4, 5). The majority of patients presents with advanced-stage disease and often has extra-nodal sites of involvement such as the gastrointestinal (GI) tract and bone marrow. Patients diagnosed with this disorder generally have poor prognosis and even if the introduction of novel approaches combining rituximab and chemotherapy increased the median overall survival (OS), most patients still experience relapse (6–8). However, a small minority of patients seems to have a longer survival than would be expected and achieve long lasting remissions. The lack of early biomarkers has become a major issue in MCL. Recent advances in the understanding of the clinical, molecular, and genetic characteristics of MCL have identified prognostic factors that might be useful to develop risk-adapted therapies (6, 8). These prognostic factors include, inter alia, splenomegaly, performance status, mitotic index, and the Mantle Cell International Prognostic



Index (MIPI) (9). The role of FDG-PET and its prognostic value in MCL remain debatable as contradictory results regarding its utility for assessing disease burden and response to therapy. In this era of personalized medicine, a non-invasive method for assessing tumor heterogeneity and predicting survival or response to therapy could permit a better selection of worse prognosis patients who might benefit from more intensive therapy. A recent expert consensus highlighted the need for more studies addressing this question (2).

## METHODOLOGY OF THE LITERATURE REVIEW

The literature search entailed a systematic search of MEDLINE and PUBMED for publications that were published between 2000 and 2018 using the following key words: mantle cell lymphoma, PET, FDG, SUV.

### FDG-Pet at Diagnosis In MCL

FDG-PET is recommended by international guidelines for initial staging in all FDG-avid histological subtypes of lymphomas including MCL (2, 3).

The first correlation between FDG uptake and the histopathological subtype of lymphoma as defined by the WHO classification was published in 2003 (10). This retrospective study showed that FDG-PET detected at least one pathological site in all cases of MCL. Indeed, existing data in the literature confirm this observation and show that FDG-PET at diagnosis in patients with MCL has a high sensitivity in the detection of lesions in nodes and spleen (11–14). However, the sensitivity for bone marrow and gastrointestinal (GI) involvement is inadequate to replace routine bone marrow and GI biopsy in disease staging (11).

Besides, despite this significant uptake of FDG in all patients with MCL, most of the literature show a significant intra-individual and inter-individual heterogeneity with uptake. Indeed, the values of SUVmax, the metric widely adopted as a surrogate of the overall net rate of FDG uptake, varied between 2.5 and 36.7 and between 1.0 and 18.8 in the series of Mato et al. (15) and Bodet-Milin et al. (11), respectively. In this latter, a calculated intra-patient SUVmax gradient was  $\geq 5$  in 46% of cases and  $\geq 10$  in 13%. Thus, intra- and inter-individual differences might reflect heterogeneity in tumor cell biology, especially since the study by Schöder et al. (16) reported that the value of SUVmax is potentially correlated with histological aggressiveness. Oncogenesis of MCL being a multistep process (17), progressing from a less to a more aggressive form, it can therefore be postulated that low SUVmax value is related to less aggressive MCL cells while high SUVmax values reflects a more aggressive behavior or a more advanced disease. Existence of intra-individual SUVmax variation in MCL might be similar to what is observed in Richter's syndrome where aggressive transformation is located to a specific tumor tissue area. The results of the retrospective study published by Karam et al. confirmed this findings, showing an adverse impact on both event-free-survival (PFS) and overall survival

(OS) for MCL patients presenting with SUVmax  $> 4.8$  (18). This is also supported by the results of the LyMa-PET study (19). In this prospective ancillary study of the multicentric LyMa trial (NCT00921414), studying the predictive value of FDG-PET at diagnosis in young previously untreated MCL patients, high SUVmax ( $> 10.3$ ) was associated with shorter PFS ( $p = 0.0003$ ) and OS ( $p = 0.0003$ ). This observation at diagnosis was not found in works by Schaffel et al. (ASH 2009), Mato et al. (15) and Bodet-Milin et al. (11) even if this latter reported a negative trend of an SUV max  $> 6$  on the overall survival ( $p = 0.07$ ). In addition, this close relation between tumor cell biology and SUVmax in MCL is also supported by the relationship between SUV max, blastoid variant considered as the most aggressive form of MCL and high percentage of Ki67 positive MCL cells (19). SUVmax measurement could therefore be used to assess tumor cell aggressiveness as FDG-PET has the advantage to be a whole-body non-invasive technique, not restricted as Ki-67 immunostaining to tissue biopsies. The prognostic value of SUVmax seems even reinforced when associated with clinical and biological factors, as shown by Bodet-Milin et al. (11). Used together, IPI and SUVmax allowed to separate MCL patients into three groups with different PFS duration: low (29%; no relapse/progression), intermediate (42%; median PFS: 37 months) and high risk (29%, median PFS: 22 months) ( $p = 0.004$ ) (11). Preliminary results of the LyMa-PET study (19) seem to confirm this observation. This approach clearly identified a subset of patients with a very high risk of early progression after first line treatment, who might benefit from more intensive therapy.

### FDG-Pet for Response Assessment In MCL Response Assessment During Treatment

The most recent international recommendations for the use of imaging in malignant lymphoma does not mandate FDG-PET-based response assessment in MCL outside the context of a clinical trial (2). These conclusions based on a limited number of publications are due to the lack of prospective data, the heterogeneity of patient populations/treatment strategies, and most importantly, the lack of uniformity in the way 18FDG-PET imaging is obtained and interpreted. Thus, although some studies showed no significant predictive value for interim FDG-PET in terms of PFS or OS (14, 15, 20, 21), some reported higher progression rate for patients exhibiting a positive interim FDG-PET, irrespective of therapy applied and particularly before autologous stem-cell transplant (ASCT) (22–25). The preliminary results of the LyMa-PET study seem to confirm these data by demonstrating the potential prognostic value of the variation of SUVmax called  $\Delta$ SUVmax (defined as the percentage of reduction of SUVmax between PET at baseline and PET before ASCT) on OS and PFS (19).

Interestingly, in the Nordic MCL3 study (22) and the work by Htet et al. (25), the authors described inferior PFS and OS predicted by FDG-PET positivity before-ASCT and detectable minimal residual disease (MRD) after transplant. Because these techniques were reported as having independent prognostic values, both may be of importance to guide treatment decisions. Yet, in the Czech Lymphoma Study Group-MCL1

observational study (26), only achievement of FDG-PET-negativity independently correlated with PFS. In this prospective analysis, the safety and efficacy of alternation of R-CHOP and R-cytarabine for elderly/comorbid MCL patients ineligible for high dose therapy or ASCT was explored, with initiation of Rituximab maintenance (RM) in most of them. In this group, a survival benefit of RM was observed for patients achieving response by FDG-PET response criteria regardless of their MRD-status (26, 27).

If all of these data suggest the potential value of early metabolic assessment in MCL patients, prospective studies are warranted to validate these results.

### Response Assessment After Treatment

FDG-PET is the standard of care for remission assessment in FDG-avid lymphoma, yet its value in MCL is debatable. In their retrospective series of 44 patients, Bodet-Milin et al had shown significantly lower PFS for patients with residual FDG-uptake at the end of treatment, according to the IHP criteria (11). Mato et al. confirmed this prognostic value of negative FDG-PET at the end of treatment, again using the IHP criteria, in 53 patients with MCL, with better PFS at 3 years and a trend for OS ( $p = 0.07$ ) (15). Similar results have also been reported by Brepoels et al who demonstrated better PFS at 2 years for patients who achieved a complete response at end-of-treatment FDG-PET (57 vs. 22%,  $p = 0.011$ ) (20). However, some studies have also shown contradictory results such as Kedmi et al. (21) and Hosein et al. (14), who found no significant difference on survival between MCL patients with positive or negative FDG-PET findings at the end of treatment.

Thus, even if these different results seem to show a certain prognostic impact of FDG-PET at the end of treatment, published data are currently too heterogeneous to allow definitive conclusions to be drawn. In addition, some of these publications suffer from many methodological biases. Particularly, none of these latter used the Deauville Score, as actually validated and recommended by Lugano's Recommendations in Lymphoma (2), except for Lamonica et al. (28) yet in patients with

relapsed or refractory MCL. Recent guidelines enacted to standardize PET protocols and to ensure more reproducible analyses between scans and centers will hopefully soon lead to the full integration of these interpretation criteria into future prospective investigations.

## CONCLUSION

FDG-PET changed response assessment and therapy strategy in diffuse large B-cell lymphoma and Hodgkin disease lymphoma. The value of FDG-PET evaluation in MCL has not been extensively studied and a recent expert consensus highlighted the need for more studies addressing this question. Data of the literature show the value of FDG-PET at baseline in patients with MCL, underlining the good sensitivity of this examination for the initial staging of this pathology, but also the potential impact of semi-quantitative analysis in this indication. The determination of SUVmax at diagnosis might indeed provide important prognostic information. Some studies also suggest the potential value of early and end-of-treatment metabolic assessment in MCL, but these results need to be validated in standardized prospective studies. These results also underlie the need to integrate FDG-PET results into MCL treatment strategy to improve disease management in identifying patients who might benefit from more intensive therapy.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Interest of Pet Imaging in Multiple Myeloma

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The interest of 18Fluoro-deoxyglucose (FDG) positron emission tomography (PET) imaging in the management of patients with multiple myeloma (MM) for the workup at diagnosis and for therapeutic evaluation has recently been demonstrated. FDG-PET is a powerful imaging tool for bone lesions detection at initial diagnosis with high sensitivity and specificity values. The independent pejorative prognostic value on progression-free survival (PFS) and overall survival (OS) of baseline PET-derived parameters (presence of extra-medullary disease (EMD), number of focal bone lesions (FLs), and maximum standardized uptake values [SUV<sub>max</sub>]) has been reported in several large independent prospective studies. During therapeutic evaluation, FDG-PET is considered as the reference imaging technique, because it can be performed much earlier than MRI which lacks specificity. Persistence of significant FDG uptake after treatment, notably before maintenance therapy, is an independent pejorative prognostic factor, especially for patients with a complete biological response. So FDG-PET and medullary flow cytometry are complementary tools for detection of minimal residual disease before maintenance therapy. However, the definition of PET metabolic complete response should be standardized. In patients with smoldering multiple myeloma, the presence of at least one hyper-metabolic lytic lesions on FDG-PET may be considered as a criterion for initiating therapy. FDG-PET is also indicated for initial staging of a solitary plasmacytoma so as to not disregard other bone or extra-medullary localizations. Development of nuclear medicine offer new perspectives for MM imaging. Recent PET tracers are willing to overcome limitations of FDG. (11)C-Methionine, which uptake reflects the increased protein synthesis of malignant cells seems to correlate well with bone marrow infiltration. Lipid tracers, such as Choline or acetate, and some peptide tracers, such as (68) Ga-Pentixafor, that targets CXCR4 (chemokine receptor-4, which is often expressed with high density by myeloma cells), are other promising PET ligands. 18F-fludarabine and immuno-PET targeting CD138 and CD38 also showed promising results in preclinical models.

**Keywords:** multiple myeloma, PET/CT imaging, FDG-PET/CT, review, prognosis

## INTRODUCTION

Multiple myeloma (MM) is a hematological neoplasm characterized by the clonal proliferation of malignant plasma cells in the bone marrow. It is almost always preceded by an initial monoclonal gammopathy of undetermined significance (MGUS), that then develops into asymptomatic or Smoldering MM (SMM), which constitutes an intermediate clinical stage between MGUS and MM.

The rate of progression from MGUS to MM is 0.5–1% per year, and that of SMM to MM 10% per year for the first 5 years, with the thresholds of serum M protein and spinal plasmacytosis differing between both classifications. SMM is a heterogeneous classification including patients with a very slow progression to proven MM (several years) and patients progressing very rapidly to symptomatic MM in <2 years (high-risk SMM). The definition of symptomatic MM, a clinical stage requiring treatment, typically based on the presence of CRAB criteria (HyperCalcemia, Renal failure, Anemia, and Bone disease) (1) was revised in 2014 by the International Myeloma Working Group (IMWG) by integrating new prognostic biomarkers (2), with the aim of not delaying the initiation of treatment for patients classified as high risk SMM and to avoid progression to harmful bone lesions or renal insufficiency. Indeed, medullary plasmacytosis  $\geq 60\%$ , serum free light chain ratio  $\geq 100$  and more than 1 focal MRI bone lesion were predictive of an 80% progression to a CRAB-positive MM within 2 years in several studies, confirming a stage of the disease requiring treatment.

In addition, the 2014 IMWG criteria for the diagnosis of MM highlighted the importance of new imaging in the management of MM in order to detect bone disease, which is considered as a symptomatic MM criterion requiring treatment even when asymptomatic. Studies conducted over the past 10 years have shown better performance using low-dose whole-body CT and MRI scans (3, 4) than standard skeletal radiographs, formerly considered as the reference technique for detecting bone disease.

Recent data suggest that positron emission tomography (PET) using  $^{18}\text{F}$ -deoxyglucose (FDG) is a reliable imaging for initial staging, therapeutic monitoring and relapse workup in MM, especially because of its prognostic potential (5). Moreover, as shown recently in a prospective comparison between size of biopsied focal bone lesions (FL) depicted by FDG-PET and genomic profiles, the extent of spatial heterogeneity is positively associated with the size of FL, resulting coexistence of different disease clones (6). More recent PET tracers (Methionine, lipid and peptide tracers) are available to overcome limitations of FDG.

## PERFORMANCE OF FDG-PET FOR THE DETECTION OF MEDULLARY AND EXTRA-MEDULLARY DISEASE AT INITIAL DIAGNOSIS

PET-FDG allows whole-body exploration and has a global sensitivity of 90% for the detection of medullary disease with

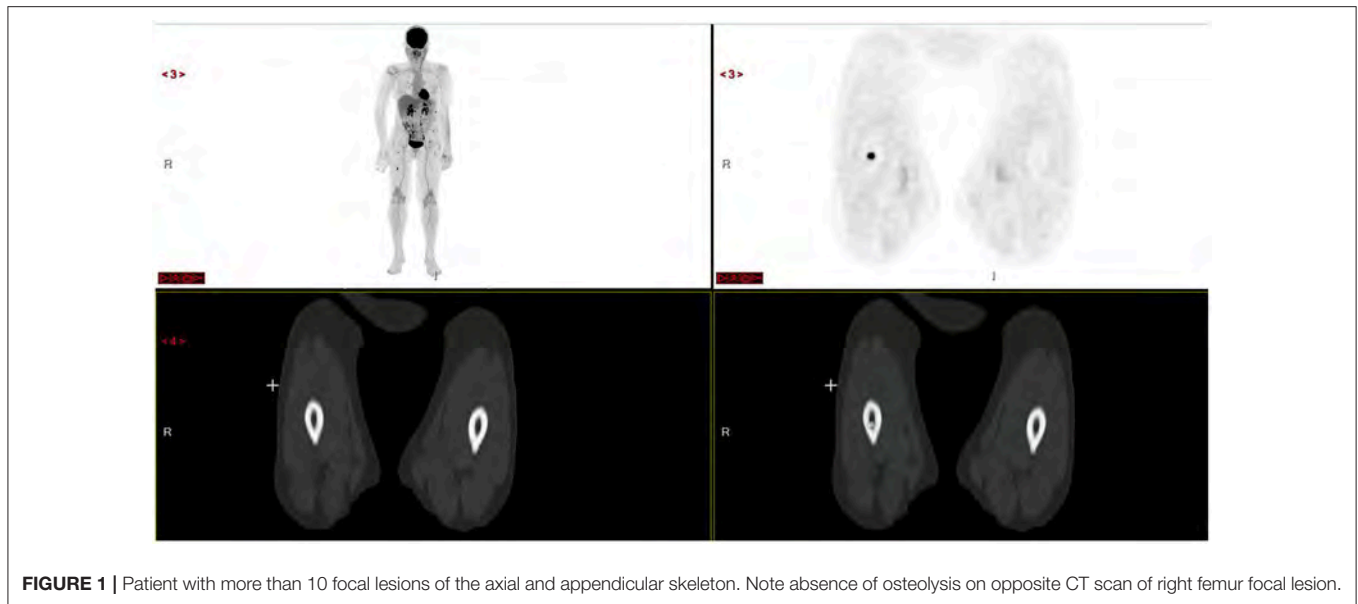
a specificity varying from 70 to 100% according to several studies (7–9). Medullary abnormalities detected by PET are focal lesions (**Figure 1**), para-medullary lesions (PML, **Figure 2**) and diffuse medullary involvement with variable glucose uptake, resulting in variable  $\text{SUV}_{\text{max}}$  values (5–13). FDG-PET also allows the detection of extra-medullary disease (EMD, **Figure 3**), in <10% of patients at diagnosis (14). FL are most often defined as foci of uptake above the surrounding background noise on two successive sections with or without osteolysis opposite the CT image. PML are soft tissue invasions with contiguous bone involvement. Diffuse bone marrow involvement is usually defined as heterogeneous or homogenous diffuse uptake of the axial (that may extend to the peripheral) skeleton, of greater intensity than the liver (**Figure 4**). MM related disease abnormalities to be incorporated in the baseline FDG-PET report are presented in the **Table 1**.

The Bologna group recently proposed the “IMPETUS” criteria (15) to standardize the interpretation of PET in MM. It showed that the use of a standardized visual scale of interpretation (Deauville 5-level scale) in the description of the number of FL, EMD as well as diffuse medullary involvement makes it possible to improve the reproducibility of inter-observer interpretation (with however, a very great disparity in interpretation of skull lesions). The pathological positivity cut-offs for bone lesions, especially on therapeutic evaluation examinations, are still to be determined however, especially when comparing with sensitive biological techniques (CMF) for detection of MRD.

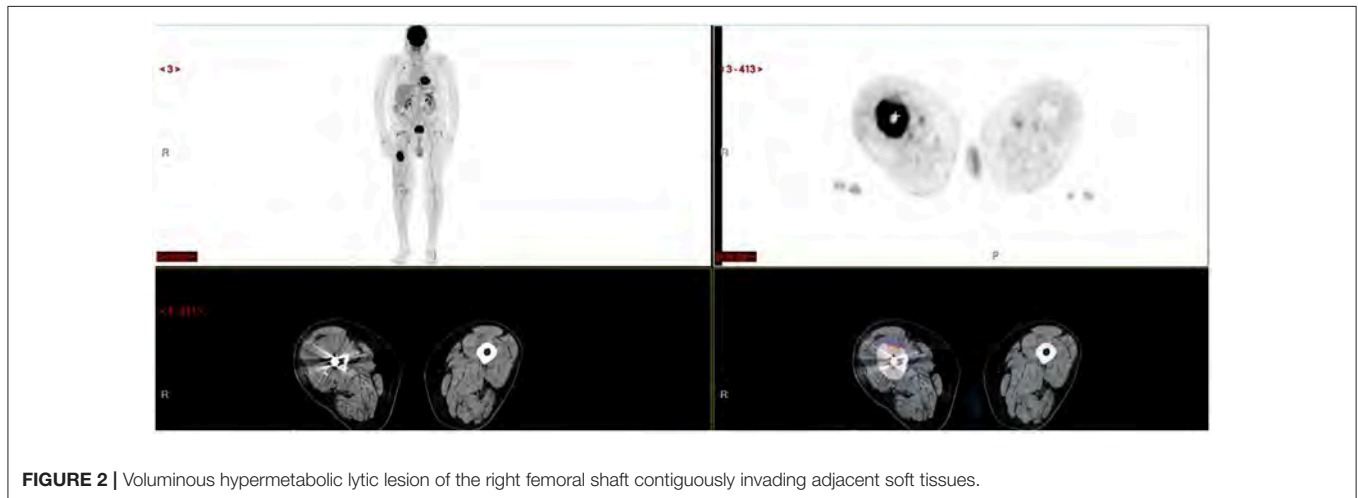
The sensitivity of FDG-PET is greater than whole-body radiology to detect bone lesions and comparable to or less than that of pelvic-spinal MRI (7, 12, 16–19). In the first small series of patients comparing FDG-PET and MRI, sensitivity of FDG-PET was less than that of pelvic-spinal MRI (PR-MRI) for diffuse medullary involvement but allowed detection of additional FL, especially outside the field of the MRI view (17). More recently, the French Imajem study (14) prospectively compared PR-MRI and FDG-PET at initial diagnosis and after therapy. In this cohort of 134 patients with symptomatic MM, PR-MRI was positive in 94.7% of cases and FDG-PET in 91% of cases, revealing an equivalent detection sensitivity.

FDG-PET also demonstrated interest in patients with solitary plasmacytoma (SP), allowing detection of additional lesions, with sensitivity, and specificity greater than MRI (5–7, 20). In addition, Fouquet et al. showed that the presence of at least 2 hypermetabolic lesions by FDG-PET was predictive of rapid progression to MM (21).

According to recent update data of the Southeastern Minnesota cohort (22) with a long-term follow-up, there are adversely risk factors for MGUS to active MM progression including an M-protein of 15 g/L or more and an abnormal free light chain ratio in patients with non-IgM MGUS. Patients with 2 risk factors showed a significantly higher progression rate to MM of 30% in 20 years than patients with no (7%) or 1 risk factor (20%). Therefore, there is a need of imaging for patients with high risk MGUS. To date, there are unfortunately no published data on FDG-PET findings in MGUS patients.



**FIGURE 1** | Patient with more than 10 focal lesions of the axial and appendicular skeleton. Note absence of osteolysis on opposite CT scan of right femur focal lesion.



**FIGURE 2** | Voluminous hypermetabolic lytic lesion of the right femoral shaft contiguously invading adjacent soft tissues.

## PROGNOSTIC VALUE OF FDG-PET IN SMM AND SYMPTOMATIC MM AT BASELINE EVALUATION

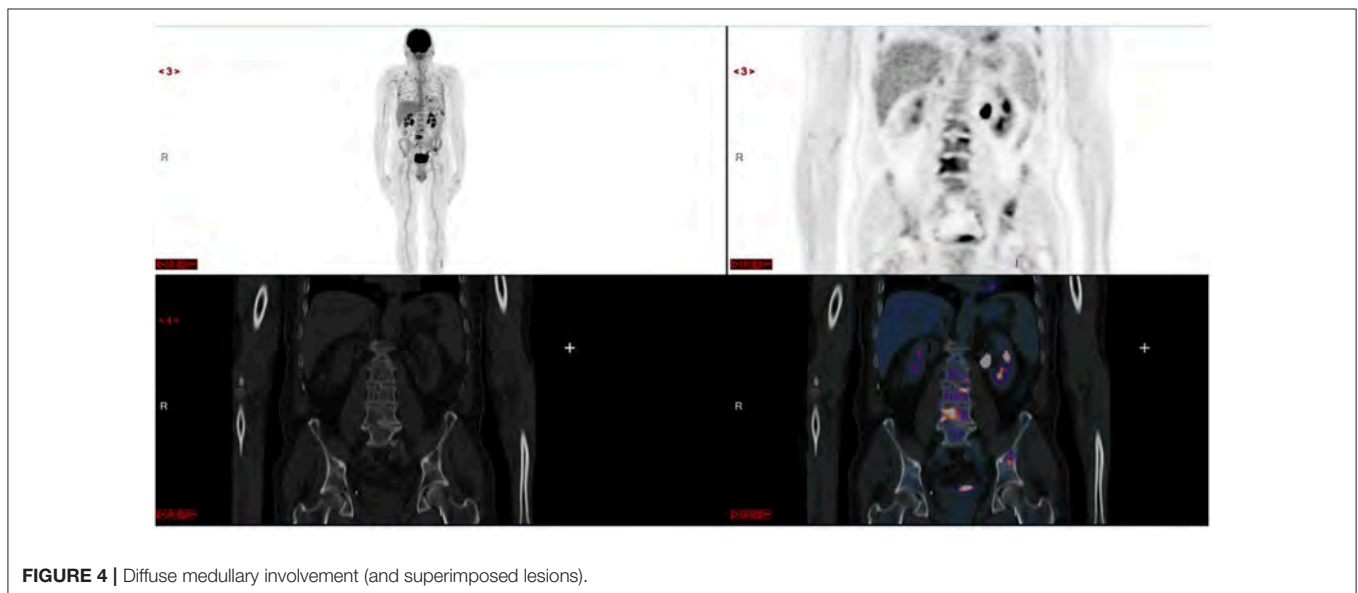
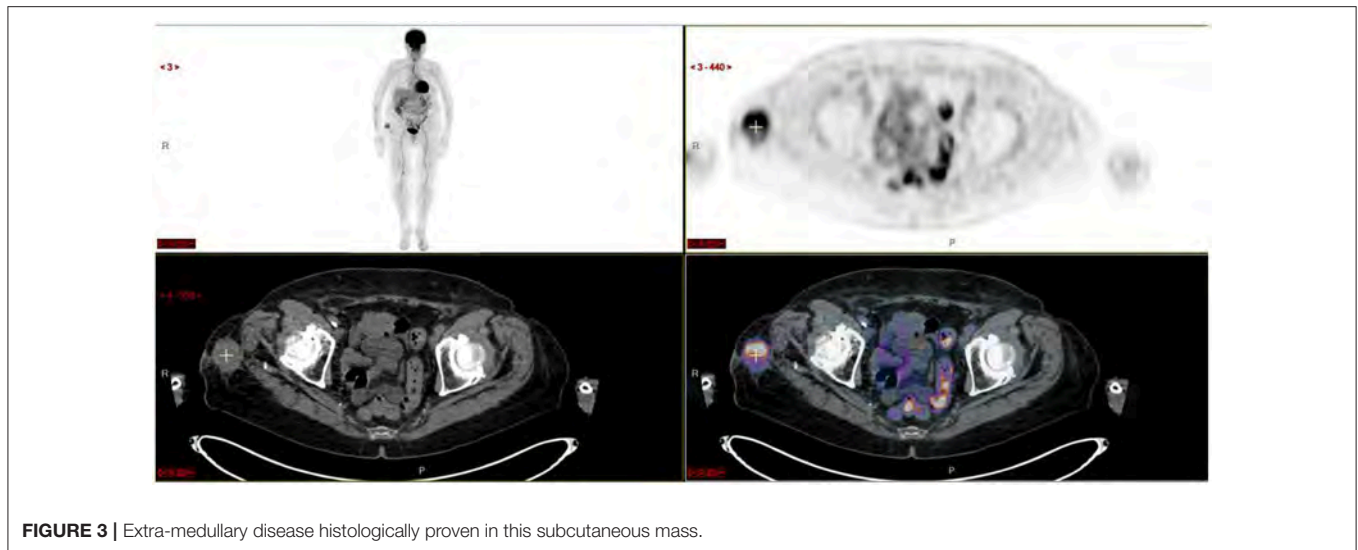
FDG-PET showed prognostic value in patients with SMM and symptomatic MM.

Even if the latest international recommendations of the IMWG (1) indicate that the presence of one or more FL with osteolysis on FDG-PET is considered a criterion for treatment at initial diagnosis, all prospective studies lead from 2009 defined FL as foci of uptake with or without osteolysis cause metabolic could precede morphological abnormalities.

Moreover, in SMM, a positive FDG-PET defined by the presence of FL without underlying osteolytic lesions is associated with a rapid progression to symptomatic MM. Indeed, in a cohort of 122 SMM patients, Siontis et al. (23) showed that the probability of progression to MM within 2 years for

positive FDG-PET patients was 75 vs. 30% for patients with a negative PET, without therapy. In another prospective study of 120 SMM patients, the group of Bologna (24) reported a rate of progression to symptomatic MM at 2 years of 58% for patients with positive PET vs. 33% for patients with a negative PET.

In symptomatic MM baseline evaluation, three large prospective studies have demonstrated important prognostic impact of FDG-PET results, which is particularly important at age of precision medicine and risk-based therapies. First of all, Bartel et al. in a large cohort ( $n = 239$ ) treated using the Total Therapy 3 strategy (25) showed that the only imaging examination (between FDG-PET and MRI) significantly associated with an adverse prognosis for both overall survival (OS) and event-free survival (EFS) was FDG-PET when the number of FL was  $>3$ . Then, the Bologna group, in a large series of 192 MM patients also enrolled in a double autologous



stem cell transplantation (ASCT) program after induction (26), confirmed the pejorative prognostic impact of more than 3 FL on progression-free survival (PFS) at 4 years as well as an SUV  $> 4.2$  and the presence of EMD. SUV  $> 4.2$  and the presence of EMD were also associated with a shorter OS. The prognostic value of EMD on PFS and OS was recently confirmed by the French Imajem study (14).

Two large retrospective studies found equal results about prognostic value of FDG-PET in symptomatic MM baseline evaluation. The Mayo Clinic team, in a 313 patient cohort showed that the presence of at least 3 FL and EMD predicted inferior OS (only by univariate analysis), with no clear SUVmax cutoff predictive of PFS or OS (27). In a smaller series of patients ( $n = 167$ ), Jung et al. (28) confirmed (in multivariate analyses) that presence of more than three FL or EMD was associated with significantly inferior PFS and OS, especially in

Revised International Staging System (R-ISS) II and III subgroups of patients.

More complex PET biomarkers, such as functional volumes and tumor heterogeneity, have also been studied or are being evaluated with promising results. First pre-therapeutic assessment of the whole-body total metabolic volume of FL and EMD (MTVWB) in 47 patients showed a poor prognostic value of high values on PFS and OS (29), with best discriminant cut-offs of 42.2 cm<sup>3</sup> for the PFS and 77.6 cm<sup>3</sup> for the OS. A second larger study of 192 patients confirmed the poor prognostic value of a high MTVWB, which was also similar for a high Total lesion glycolysis (TLG) WB (30). Indeed, by multivariate analysis, TLGWB  $> 620$  g or MTVWB  $> 210$  cm<sup>3</sup> at baseline significantly decreased PFS and OS after adjustment for known prognostic factors. Combined with the gene expression profiling prognostic score (GEP70), a TLGWB  $> 205$  g identified a high-risk subgroup

**TABLE 1** | What should be provided in the FDG-PET report at baseline?**MM related disease abnormalities**

- **Focal Lesion (FL):** With or without osteolysis on CT: Total Number: 0, 1–3, >3; Intensity: Hottest SUV<sub>max</sub> (Most intense FDG uptake identified among all foci determined by the nuclear physician);
- **Bone Marrow diffuse involvement (BMI):** Evaluation of the bone marrow diffuse uptake, regardless focal lesions: Visual analysis (Deauville 5-level scale): Positive if diffuse uptake of the axial (that may extend to the peripheral) skeleton is greater intensity than the liver (Deauville 4 or 5).
- **Extramedullary disease (EMD):** Soft tissue or nodal mass not directly adjacent to MM bone localization: Presence or not and localization.
- **Paramedullary disease (PMD):** Bone lesion involving surrounding soft tissues with bone cortical interruption: Presence or not, localization, clinical risk?

MM, multiple myeloma; CT, computed tomography; SUV, standardized uptake value.

and separated ISS II patients into two subgroups, with a similar outcome to ISS I and ISS III patients.

Finally, as described by Carlier et al. (31) for 66 patients of the Imajem study, intra-tumoral textural features (e.g., reflecting of tumor heterogeneity), especially energy, also seem to be of prognostic value (independent prognostic value of energy on PFS and OS). More work is in progress on this subject.

## PROGNOSTIC VALUE OF FDG-PET IN THERAPEUTIC EVALUATION

FDG-PET is considered as the reference imaging technique for therapeutic evaluation in MM with a strong independent prognostic value (5). FDG-PET allows evaluation of the response earlier than standard MRI but new MRI functional approaches, such as diffusion weighted imaging (DWI) measuring the apparent diffusion coefficient (ADC) influenced by tissue microarchitecture and related to marrow cellularity could be interesting tools to evaluate the disease after therapy (32, 33). However, homogeneous and prospective data about comparison between FDG-PET and WB-DWIMRI are lacking (34).

FDG-PET, coupled with a biological technique for the detection of minimal residual disease (MRD), makes it possible to improve the definition of complete response (35) clearly correlated with long-term outcomes.

All large prospective studies above mentioned have demonstrated the strong and independent prognostic impact of FDG-PET results after therapy of symptomatic MM.

The Little Rock team first showed in 2009 that normalization of FDG uptake of FL after chemotherapy induction cycles (before the transplant procedure) was associated with better EFS and OS (25). The same team reported in 2013 in a larger series of 302 patients (277 of them were also the object of a gene expression profile study) (36) treated according to the same intensive protocol that 3 FL on FDG-PET performed at Day 7 of induction was associated with lower PFS and OS, even in the high-risk group in relation to genetic profiling. FDG-PET could be considered as a tool for early therapeutic adaptation. They finally confirmed these results in 2018 from data collected in their TT4–TT6 clinical trials, in a very large cohort of more than

500 patients, showing patients achieving 100% suppression of FL signal following treatment at each time point studied (day 7, end of induction, post transplantation, and maintenance) had PFS and OS values that were not significantly different from cases with no FL present at baseline (37).

The Bologna group then showed that after induction therapy, a SUV > 4.2 was associated with a reduced PFS (26). Three months after ASCT, complete metabolic response (CMR) was achieved in 65% of patients, with PFS and OS at 4 years higher than those in PET-positive patients. Interestingly, 23% of patients achieving CR in accordance with conventional criteria were considered PET-positive. Multivariate analysis showed that post ASCT PET status was an independent prognostic factor of PFS. In 2015, the same group confirmed these results in 282 patients undergoing front line treatment between 2002 and 2012 (38). After treatment, a CMR was obtained in 70% of patients, whereas the conventional biological methods concluded at 53% of CR. The FDG-PET negativity affected the PFS and the OS positively.

The Imajem study more recently confirmed the major benefit of FDG-PET in therapeutic evaluation (14). Whereas, normalization of MRI after three cycle of combined induction therapy or before maintenance did not significantly affect either PFS or OS, FDG-PET normalization before maintenance was strongly associated with better PFS and OS. The PFS and OS of PET-negative patients were better than those of PET-positive patients (24-months PFS by 72 vs. 56.8%:  $p = 0.01$ ; OS at 24 months of 94.2 vs. 72.9%:  $p = 0.03$ ). In addition, multivariate analysis revealed that normalization of pre-maintenance FDG-PET was independently associated with longer PFS, such as absence of EMD at diagnosis and at least a very good partial biological response after three cycles of induction therapy.

Moreover, for the Imajem patients presenting a FDG-avid MM defined by lesion intensity higher than liver background, the prognostic value of FDG-PET after three cycles of induction therapy was also reported (39). Indeed, by multivariate analysis, only  $\Delta$ SUV<sub>max</sub> ( $p < 0.001$ ) and biochemical response ( $p = 0.025$ ) appeared as independent prognostic factors, with a more discriminative hazard ratio for  $\Delta$ SUV<sub>max</sub> analysis ( $> -25$  vs.  $\leq -25$ ) which identified patients with improved median PFS.

The benefit of post-ASCT FDG-PET was also reported in 2013 in a prospective series of 77 patients assessed by FDG-PET 3 months after ASCT, and then every 6–12 months during follow-up (40). The duration of the response was longer when the PET scan was negative (27.6 months) than when it was positive (18 months,  $p = 0.05$ ), whereas in patients with positive PET, SUV<sub>max</sub> was inversely correlated with the duration of the response ( $P < 0.01$ ).

However, the definition of CMR was not the same in these different clinical studies and a standardization of FDG-PET interpretation criteria should be done. Definition of cut-offs for FDG-PET positivity/negativity after therapy for MRD evaluation is currently underway. Preliminary results of a combined analysis of two European prospective trials have been presented by Zamagni et al. at the 2018 annual meeting of the ASH (41). In this joint analysis of 236 patients, attaining FL and bone marrow Deauville score <4 prior to maintenance therapy was the strongest independent predictor for prolonged PFS and OS



and could be identified as the most representative cut-off value for PET negativity after therapy. Moreover, the CASSIOPET study is on-going, aiming to determine the best CMR threshold (mediastinal vs. hepatic background) on FDG-PET and try to establish the concordance between CMR and MRD negativity in the bone marrow (by flow cytometry or sequencing) to confirm the complementary role of functional imaging with modern biological tools for the detection of MRD inside and outside the bone marrow.

## PROGNOSTIC VALUE OF FDG-PET AT RELAPSE SETTING

Although existing data are less available, FDG-PET seems to have also a prognostic impact at relapse workup. In a small series of 37 MM patients suspected of relapse after ASCT, it was shown that the absence of FL was a favorable prognostic factor for time to progression (TTP) and OS (42). The presence of more than 10 FL correlated with a shorter TTP and OS whilst a high SUV<sub>max</sub> and the presence of EMD resulted in a longer TTP.

More recently, in a retrospective series of 40 confirmed relapsed patients, Nantes' group have described that the presence of at least 6 FL in the peripheral skeleton was an independent pejorative prognostic factor on both the PFS and the OS by multivariate analysis (43). Moreover, a high SUV<sub>max</sub> (>15.9) was an independent negative prognostic factor on the PFS as was a high TLG of the hottest lesion (>98.1 g). Interestingly, 15% of the patients were FDG-PET positive without re-ascending the monoclonal peak and no change in the level of serum free-light chains.

Finally, scarce data on the value of FDG-PET before or after allo-SCT are available but two retrospective studies of heavily pre-treated MM patients showed FDG-PET results prior to and after allo-SCT were strongly associated with the outcome (44, 45).

## NEW PET TRACERS

It has been recently reported in a 227 patients study with an initial diagnosis of symptomatic MM a FDG-PET negativity rate of 11% (13). It was found in this subgroup of patients a low expression of the hexokinase 2 gene (which catalyzes the first step of glycolysis) and consequently a FDG trapping in the cells. Indeed, for these patients FDG-PET is not an appropriate tool to evaluate MRD. Development of nuclear medicine offer new perspectives for MM imaging and other PET tracers, preliminarily investigated in limited series of MM patients, targeting other metabolic pathways or plasma cell receptors, could be potentially more sensitive and specific than FDG.

<sup>11</sup>C-Methionine, which uptake reflects the increased protein synthesis of malignant cells seems to correlate well with bone marrow infiltration and could be more sensitive than FDG to detect intra- and extra-medullary MM lesions (46).

Choline is a lipid PET tracer clinically used for the evaluation of relapse of prostate cancer. This tracer labeled with <sup>11</sup>C was proposed years ago in a preliminary study in comparison

to FDG on 10 patients affected by symptomatic MM (47) and showed Choline would reveal more lesions. Another study on the comparison of FDG and <sup>18</sup>F-Choline presented similar results on 21 patients with symptomatic MM (48). Then it seems that Choline (either <sup>11</sup>C- or <sup>18</sup>F-) has a better detection rate as compared to FDG in MM patients at staging. However, unfavorable physiological biodistribution (increased background of the liver parenchyma and of the bone marrow) is a limitation.

Pilot study comparing other lipid tracer (<sup>11</sup>C-Acetate) and FDG at diagnosis of symptomatic MM also showed acetate would reveal more lesions (49).

Another new and potentially interesting tracer is CXCR4. C - X - C chemokine receptor 4 (CXCR4) is a G-protein-coupled chemokine receptor family implicated in the process of cell migration as well as in the homing process of hematopoietic stem cells to the bone marrow, angiogenesis and cell proliferation.

In multiple myeloma, CXCR4 expression is associated to disease progression and poor prognosis (50). Most experience with CXCR4-directed PET imaging has been gained in MM and around two thirds of patients could overexpress the receptor on the myeloma cell surface.

<sup>68</sup>Ga-Pentixafor, that targets CXCR4 is a promising PET ligand (51) especially as potential target for myeloma specific treatment (for CXCR4-positive tumors) in a theranostic approach with preliminary encouraging results with good tolerance of the treatment, high initial response rates in advanced-stage MM cases (52). However, it has been reported that, in a non-negligible number of cases, FDG provided better detectability so further studies would be important to clarify this aspect (53). Moreover, receptor expression seems to be a dynamic process that could be highly influenced by preceding or concomitant chemotherapy (53).

<sup>18</sup>F-fludarabine (54) and immuno-PET targeting CD138 (55) and CD38 (56, 57) also showed promising results in preclinical models.

However, pending issues with these new tracers are willingness, inter-patient tumor heterogeneity for specific targets and the lack of prognostic data reported.

## CONCLUSION

FDG-PET is a powerful diagnostic tool for the detection of medullary and extra-medullary disease at the initial diagnosis of symptomatic MM with a pejorative prognostic value for the presence of EMD. Moreover, FDG-PET is the reference imaging technique to assess therapeutic response of symptomatic MM, evaluation being available much earlier than by MRI. The negativity of pre-ASCT FDG-PET is a favorable prognostic factor and the positivity of FDG-PET after ASCT, especially in patients with complete biological response, is an independent pejorative prognostic factor. The negativity of FDG-PET, intramedullary flow cytometry, and the ratio of serum free light chains would make it possible to define an optimal complete response (eradication of monoclonal plasma cells in all compartments). Ongoing prospective trials will try to

establish the concordance between CMR and MRD negativity in the bone marrow to confirm the complementary role of functional imaging with modern biological tools for the detection of MRD inside and outside the bone marrow. We recommend to perform FDG-PET at initial work-up and after therapy (before maintenance) for detection of EMD, for patients with oligo/non-secretory MM and if a MRD assessment is performed. At relapse it is probably the best imaging technique to differentiate active disease from morphological scars and remodeling. Other PET tracers may also show interest in FDG-negative patients but should be evaluated in prospective clinical trials.

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# [<sup>18</sup>F]-Fludarabine for Hematological Malignancies

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With the emergence of PET/CT using <sup>18</sup>F-FDG, molecular imaging has become the reference for lymphoma lesion detection, tumor staging, and response assessment. According to the response in some lymphoma subtypes it has also been utilized for prognostication of disease. Although <sup>18</sup>F-FDG has proved useful in the management of patients with lymphoma, the specificity of <sup>18</sup>F-FDG uptake has been critically questioned, and is not without flaws. Its dependence on glucose metabolism, which may indiscriminately increase in benign conditions, can affect the <sup>18</sup>F-FDG uptake in tumors and may explain the causes of false-positive imaging data. Considering these drawbacks, <sup>18</sup>F-fludarabine, an adenine nucleoside analog, was developed as a novel PET imaging probe. An efficient and fully automated radiosynthesis has been implemented and, subsequently preclinical studies in xenograft murine models of hematological malignancies (follicular lymphoma, CNS lymphoma, multiple myeloma) were conducted with this novel PET probe in parallel with <sup>18</sup>F-FDG. The results demonstrated several crucial points: tumor-specific targeting, weaker uptake in inflammatory processes, stronger correlation between quantitative values extracted from [<sup>18</sup>F]-fludarabine and histology when compared to <sup>18</sup>F-FDG-PET, robustness during immunotherapy with rituximab, divergent responses between CNS lymphoma and glioblastoma (GBM). All these favorable findings permitted to establish a “first in man” study where 10 patients were enrolled. In DLBCL patients, increased uptake was observed in sites considered abnormal by CT and [<sup>18</sup>F]FDG; in two patients discrepancies were observed in comparison with <sup>18</sup>F-FDG. In CLL patients, the uptake coincided with sites expected to be involved and displayed a significant uptake in hematopoietic bone marrow. No uptake was observed, whatever the disease group, in the cardiac muscle and brain. Moreover, its mean effective dose was below the effective dose reported for <sup>18</sup>F-FDG. These preclinical and clinical findings revealed a marked specificity of <sup>18</sup>F-fludarabine for lymphoma tissues. Furthermore, it might well be a robust tool for correctly quantifying the disease, in the presence of confounding inflammatory processes, thus avoiding false-positive results, and an innovative approach for imaging hematological malignancies.

**Keywords:** <sup>18</sup>F-fludarabine, lymphoma, PET—positron emission tomography, imaging, diagnosis

## INTRODUCTION

Cancer diagnosis has significantly been improved over the past decades, due to novel imaging agents that enable earlier detection. The challenge of an imaging technique is to demonstrate with accuracy the morphology and functional status of a tumor tissue. Historically, the staging and restaging of lymphoma have been established using CT. The higher accuracy of PET/CT using  $^{18}\text{F}$ -FDG in baseline lymphoma staging compared with traditional anatomical imaging techniques such as CT or MRI has profoundly changed the management of patients. This investigation appears as the most efficient in the initial assessment and appreciation of the therapeutic response. In addition, this approach affords important information in terms of prognosis and can lead to an optimization of the therapeutic strategy. Although PET/CT is a non-invasive imaging technique, which constitutes one of its major advantages, the findings using  $^{18}\text{F}$ -FDG may be misinterpreted to differentiate uptake within a site of cancer from uptake in a site of inflammation or infection. In fact, false-positives occur because  $^{18}\text{F}$ -FDG is taken up in any process associated with increased glycolysis such as inflammation, infection, or granulomatous disease. On the other hand, it is to highlight that normal physiological uptake of  $^{18}\text{F}$ -FDG into the brain, heart, digestive tract will mask the lesion, and hence downgrade the disease falsely. A consensus exists to consider  $^{18}\text{F}$ -FDG-PET more valuable in Hodgkin's disease and early-stage aggressive non-Hodgkin's lymphoma (NHL) and less useful in indolent NHL which represent 40% of all non-Hodgkin lymphoma subtypes (1–3).

Based on the characteristics of  $^{18}\text{F}$ -FDG-PET, novel imaging probe must be developed to fulfill the need of a more specific radiopharmaceutical for a better tumor delineation and a more precise evaluation of the response to therapy. To improve the diagnostic accuracy, in particular in lymphoma with a fluctuating  $^{18}\text{F}$ -FDG avidity,  $^{18}\text{F}$ -fludarabine was introduced as a novel PET probe. Though,  $^{18}\text{F}$ -fludarabine appears to be an appealing tool in evaluation of suspicious finding on  $^{18}\text{F}$ -FDG PET both before or after treatment (4).

Our approach was based on the therapeutic activity of fludarabine, alone or in combination with other active drugs, in the clinical treatment of lymphoid malignancies and more particularly in the treatment of lymphoma that have a low proliferative index. Fludarabine is transported into the cells and phosphorylated intracellularly into its triphosphate form, by the deoxycytidine kinase, the principal active compound. One of the characteristics of this drug is its cellular accumulation, which is cell-cycle-independent (5). This nucleoside analog which has a fluorine atom is resistant to deamination resulting in a therapeutic activity. To elaborate a probe for PET imaging, the fluorine atom was replaced by a fluorine-18. The manufacturing process, which includes efficiency of radiolabeling, purification, and stability of the final product, automation, was subject to various quality control tests prior to  $^{18}\text{F}$ -fludarabine implementation for *in vivo* studies (6).

## RADIOSYNTHESIS OF $^{18}\text{F}$ -FLUDARABINE

The most reliable radiosynthesis of  $^{18}\text{F}$ -fludarabine involved a simple two-step procedure. The strategy reported for the radiolabeling was based on a nucleophilic substitution of a nitro group at the two-position on the purine ring to act as a leaving group. The protected nitro precursor, described as a 2-nitro-pentabenzoyl adenosine derivative, was involved in a classical fluorination reaction using  $\text{K}^{18}\text{F}/\text{K}222$  followed by an intermediate purification on a Sep-Pak silica.

To generate  $^{18}\text{F}$ -fludarabine, hydrolysis of benzoyl groups using a mixture of methanol/aqueous ammonia was applied before a final HPLC purification. The robustness of the described process (radiochemical yield  $48 \pm 3\%$ , specific activity  $310 \pm 72$  GBq/ $\mu\text{mol}$ , radiochemical purity up to 99%) allowed us to initiate several preclinical studies and a first-in-man clinical trial (6).

## PRECLINICAL STUDIES

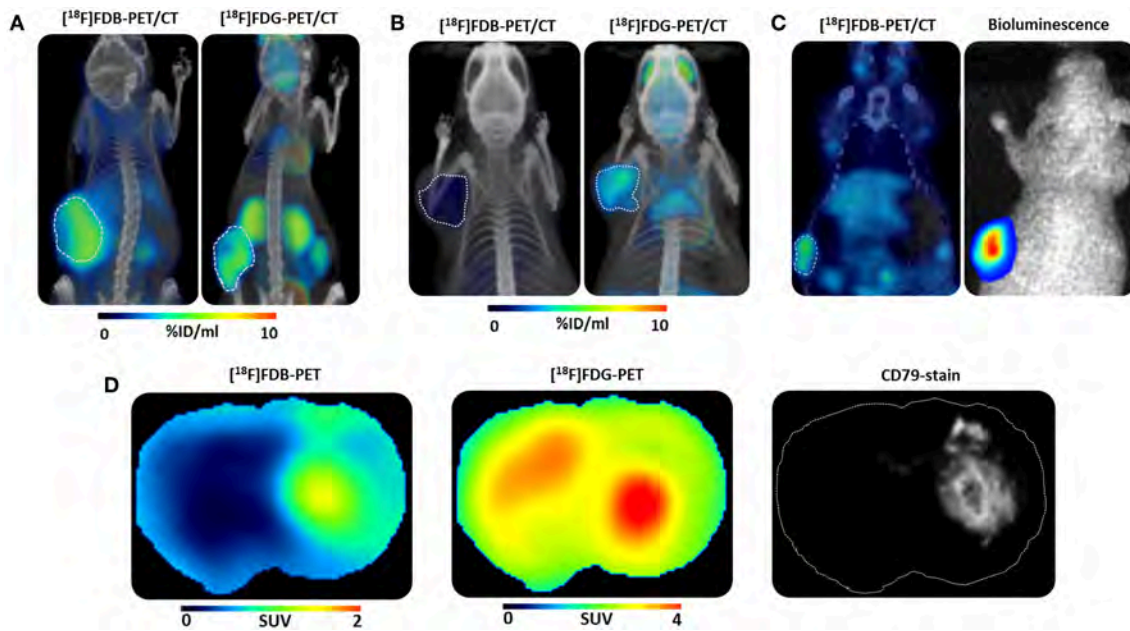
In preliminary studies biodistribution or pharmacokinetic properties, metabolism, and dosimetry were established on control animals which are important prerequisites, before testing  $^{18}\text{F}$ -fludarabine on animal models of hematological malignancies.

### $^{18}\text{F}$ -Fludarabine in Control Animals

The accumulated activity of  $^{18}\text{F}$ -fludarabine, over 1 h period and after i.v. injection (5–12 MBq), was preferentially in the spleen and the kidneys which, respectively, confirmed the selectivity for lymphoid organ, and demonstrated its renal excretion (7). Moreover, our *in vivo* findings indicated no degradation of the probe 60 min post injection, which is an ideal characteristic for an imaging agent. To estimate the maximum dosage of  $^{18}\text{F}$ -fludarabine that could be safely administered to patients, radiation dose was calculated in major organs; the results revealed that the urinary bladder wall, considered as a limiting organ, received the highest dose. Nevertheless, the effective dose obtained by extrapolation of animal data to humans, was consistent (7.3 mSv) with the previously reported values of  $^{18}\text{F}$ -FDG (3.8–10.7 mSv) (8).

### $^{18}\text{F}$ -Fludarabine in a Xenograft Model of Human Follicular Lymphoma

Follicular lymphoma is the most common subtype of indolent lymphoma. This lymphoma is FDG-avid and PET/CT using  $^{18}\text{F}$ -FDG is the current standard tool in its management in humans (9). To determine the potential of  $^{18}\text{F}$ -fludarabine and to acquire comparative preclinical data with  $^{18}\text{F}$ -FDG, biodistribution was carried out in parallel on a SCID xenografted tumor model. A marked difference in their behavior was observed which was in favor of  $^{18}\text{F}$ -fludarabine: in the tumor, its accumulation increased rapidly to reach a plateau within 20 min and its specific binding led to high-contrast images by comparison with  $^{18}\text{F}$ -FDG (Figure 1A). The clear positive correlation ( $p < 0.001$ ) between the tracer uptake in the tumor and the density of lymphoid cells (determined by histological



**FIGURE 1 |** Preclinical studies. 3D illustration of  $\mu\text{PET/CT}$  fused scans with  $[^{18}\text{F}]\text{Fludarabine}$  ( $[^{18}\text{F}]\text{FDB}$ ) and  $[^{18}\text{F}]\text{Fluorodeoxyglucose}$  ( $[^{18}\text{F}]\text{FDG}$ ) in (A) mice bearing human follicular lymphoma xenograft, reproduced from Hovhannisyán et al. (10), no permission required (B) inflammation-bearing mouse, reproduced from Hovhannisyán et al. Copyright 2016 American Chemical Society (11), permission obtained (C)  $\mu\text{PET/CT}$  scan with  $[^{18}\text{F}]\text{FDB}$  and corresponding bioluminescence image of a mouse bearing human multiple myeloma xenograft, reproduced from Hovhannisyán et al. (12), no permission required (D) Representative co-registered  $\mu\text{PET}$  scans and corresponding immunohistochemistry image of a mouse bearing human CNS lymphoma xenograft, reproduced from Hovhannisyán et al. (13), no permission required.

analysis) highlighted, the sensitivity of  $^{18}\text{F}$ -fludarabine. It was also important to demonstrate that the treatment with the anti-CD20 antibody rituximab did not have any negative influence on the tumor-targeting ability and, we hypothesized that  $^{18}\text{F}$ -fludarabine could be able to detect residual disease under treatment (10).

### $^{18}\text{F}$ -Fludarabine in a Murine Model of Inflammation

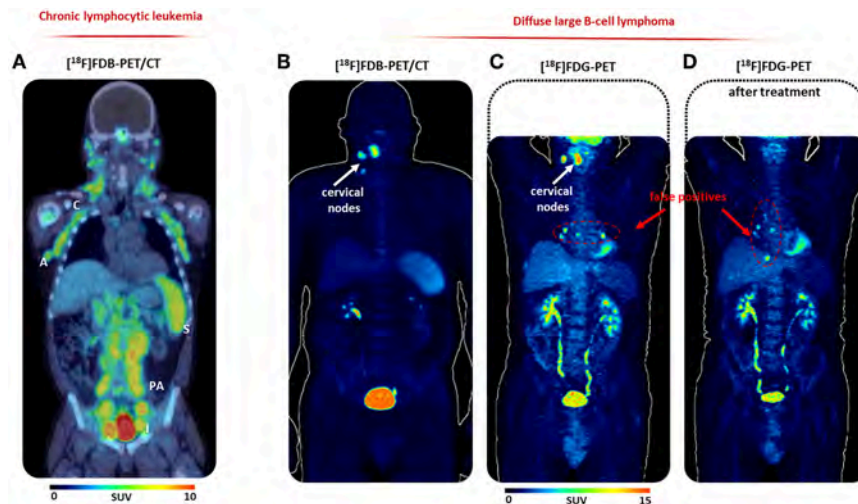
The major drawback of  $^{18}\text{F}$ -FDG is its uptake in inflammatory tissue providing false-positives which could lead to a misinterpretation and perhaps an overtreatment of the patient. For this purpose, our reflex was to test  $^{18}\text{F}$ -fludarabine and compare to  $^{18}\text{F}$ -FDG in a previously described murine model of inflammation (14). The PET image analysis revealed that the uptake of this novel radiopharmaceutical in the inflamed tissue is negligible compared to  $^{18}\text{F}$ -FDG (Figure 1B). These results enhance the potential of  $^{18}\text{F}$ -fludarabine as a more specific probe (11).

### $^{18}\text{F}$ -Fludarabine in Brain Tumors (CNS Lymphoma vs. Glioblastoma)

Primary central nervous system lymphoma (PCNSL) account for 5% of primary brain tumors and are predominantly diffuse large B cell lymphoma (90% of cases). MRI is the reference imaging for the diagnosis and monitoring (15). The evaluation of the therapeutic response, based on MRI, is perfectible. This imaging

modality can miss atypical forms not enhanced by gadolinium and the significance of contrast enhancement occurring under or after treatment is sometimes ambiguous (16).  $^{18}\text{F}$ -FDG is established as the reference imaging in systemic lymphomas, but its applications in PSNCL are restricted by the limited specificity of cerebral fixations, and high uptake in healthy brain tissue (17). Taken into account the limitations of  $^{18}\text{F}$ -FDG, we demonstrated in a human CNS lymphoma model the pertinence to use  $^{18}\text{F}$ -fludarabine to detect brain lesions and we established its superiority over  $^{18}\text{F}$ -FDG in differentiating brain tumors (13).

In the CNS lymphoma model, which closely mimics disseminated lesions, a marked retention was observed with  $^{18}\text{F}$ -fludarabine in accordance with histological findings (CD79 staining) representative of cells lymphoma ( $p < 0.001$ ).  $^{18}\text{F}$ -Fludarabine exhibited tumor to background ratio (TBR) 2 to 3-fold higher than  $^{18}\text{F}$ -FDG, this made delineation of the tumor more precise.  $^{18}\text{F}$ -FDG, on the other hand, is poor in accurate delineation of the lesion due to its normal physiological brain uptake and poor specificity (Figure 1D). Considering high-grade glioma (GBM) and CNS lymphoma differentiation, the diagnostic accuracy is uncertain due to a similar imaging appearance on MRI or the previously described limitations of  $^{18}\text{F}$ -FDG. The scenario to use  $^{18}\text{F}$ -fludarabine is relevant taking into consideration that this probe has a rapid clearance from glioblastoma and this feature can help to discriminate between both brain tumors.



**FIGURE 2** | First-in-man study. **(A)** [ $^{18}\text{F}$ ]FDB-PET/CT (30–50 min scan period) of a representative CLL patient; **(B)** [ $^{18}\text{F}$ ]FDB-PET (30–50 min scan period), **(C)** [ $^{18}\text{F}$ ]FDG-PET (60–80 min), and **(D)** post-treatment (60–80 min) of a representative DLBCL patient, reproduced from Chantepie et al. (18), no permission required. A, axillary nodes; C, cervical nodes; I, iliac nodes; PA, paraaortic nodes; S, spleen.

## $^{18}\text{F}$ -Fludarabine in Multiple Myeloma (MM)

Multiple myeloma (MM) is a clonal plasma cells that accounts for 15% of all hematological malignancies.  $^{18}\text{F}$ -FDG is an accepted imaging technique to assess and monitor myeloma therapy. Despite the fact that  $^{18}\text{F}$ -FDG is reasonably sensitive and specific for bone disease, the detection of diffuse infiltration of plasma-cells in bone marrow, and lytic lesions in the skull is underestimated (1, 19). Based on our previous results in the animal models,  $^{18}\text{F}$ -fludarabine was then considered in a xenograft MM murine model. The tumor growth was followed by bioluminescence (BLI), after injection of a luciferase reporter MM cell line and characterized by immunohistochemistry (IHC, CD 138 staining) (**Figure 1C**). To compare with  $^{18}\text{F}$ -FDG, the metabolically active tumor was defined for both radiotracers (12). Although the  $^{18}\text{F}$ -FDG uptake was superior, the quantitative data extracted from IHC or BLI are in better agreement with the  $^{18}\text{F}$ -fludarabine uptake. These findings enforce the hypothesis that this radiopharmaceutical could be more suitable to detect MM disease.

## CLINICAL STUDY

The reported preclinical studies revealed the real potential of  $^{18}\text{F}$ -fludarabine to detect hematological malignancies and have resulted in the design of a clinical research protocol. This novel PET probe has been evaluated in human to better identify pathological from physiological or inflammatory uptake at initial staging of the disease and, in the future to enhance PET performance for therapeutic evaluation. Ten untreated patients with either B-cell chronic lymphocytic leukemia (B-CLL,  $n = 5$ ) or diffuse large B-cell lymphoma (DLBCL,  $n = 5$ ) were included in the study (18). CLL imaging with  $^{18}\text{F}$ -FDG-PET is not recommended, except in the case of suspected disease transformation (Richter syndrome), in contrast

to DLBCL disease where it is being included as part of clinical practice. Nevertheless, despite an excellent sensitivity, the analysis of some areas remains difficult due to the lack of  $^{18}\text{F}$ -FDG specificity (bone marrow or spleen for example). Despite new criteria (20), the interpretation of  $^{18}\text{F}$ -FDG-PET positivity after therapy remains difficult, partly due to tumor-, and/or treatment-associated inflammation leading to false positives (4).

The design of the pilot clinical trial was to acquire six successive partial body scans for 250 min (0–10, 15–25, 30–50, 90–100, 180–190, 240–250 min) after i.v. injection of  $^{18}\text{F}$ -fludarabine (4 MBq/kg) in both groups. In all patients, any side effects were observed to the radiopharmaceutical injection. The average activity received by the patients was  $305 \pm 76$  MBq with a  $^{18}\text{F}$ -fludarabine mass of  $0.23 \pm 0.14$   $\mu\text{g}$ . The results with conventional modalities CT and [ $^{18}\text{F}$ ]FDG-PET (for DLBCL) were investigated. The imaging session was performed 60–80 min after injection of  $335 \pm 77$  MBq of  $^{18}\text{F}$ -FDG. In DLBCL patients, increased uptake of  $^{18}\text{F}$ -fludarabine was observed in sites deemed suspicious by CT and/or  $^{18}\text{F}$ -FDG. At 50 min, SUVs were significantly higher in involved lesions (SUVmax = 7.1) in comparison with histologically normal bone marrow (SUVmax = 2.3) or ascending aorta considered as reference (SUVmax = 1.4). In this group, aged 57–73 years, divergence was observed in two patients. In one patient a positivity with  $^{18}\text{F}$ -FDG was detected and not with  $^{18}\text{F}$ -fludarabine in bilateral hilar foci. These foci persisted at subsequent evaluation with  $^{18}\text{F}$ -FDG and were considered as false positives (**Figures 2B–D**). Indeed this patient was free from relapse more than 2 years after the end of treatment. In the second patient, unilateral testicular lymphomatous infiltration was not observed with  $^{18}\text{F}$ -fludarabine and could be attributed to the role of the testis barrier (21). In CLL patients, aged 51–70 years,  $^{18}\text{F}$ -fludarabine revealed all involved lymph nodes, with also a marked accumulation in the spleen and bone marrow



involvement. At 50 min, SUV<sub>max</sub> was 1.5 on the mediastinal vascular noise (taken as reference) against 6.05 in the affected lymph nodes, 7.7 for the spleen, and 4.4 in bone marrow, indicating a very good tumor/tissue contrast (**Figure 2A**). In both groups, no physiological uptake was noted in heart and brain.

## CONCLUSION

This recent study as a “proof of concept” in human paved the way to several underway national clinical trials including a larger cohort of patients to define the role and prognostic impact of <sup>18</sup>F-fludarabine-PET/CT in the management of hematological malignancies. An exploratory, multicenter prospective clinical trial to evaluate the interest of PET images using <sup>18</sup>F-fludarabine for initial staging and therapeutic evaluation in three subtypes of newly diagnosed lymphomas (DLBCL, Hodgkin lymphoma, and follicular lymphoma) is ongoing.

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## AUTHOR CONTRIBUTIONS

LB prepared a first draft of the manuscript. NH, CB-M, FK-B, and GD critically reviewed the manuscript. All authors conceived the idea of this review article and approved the final version.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# The Story of the Dopamine Transporter PET Tracer LBT-999: From Conception to Clinical Use

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The membrane dopamine transporter (DAT) is involved in a number of brain disorders and its exploration by positron emission tomography (PET) imaging is highly relevant for the early and differential diagnosis, follow-up and treatment assessment of these diseases. A number of carbon-11 and fluor-18 labeled tracers are to date available for this aim, the majority of them being derived from the chemical structure of cocaine. The development of such a tracer, from its conception to its use, is a long process, the expected result being to obtain the best radiopharmaceutical adapted for clinical protocols. In this context, the cocaine derivative (*E*)-*N*-(4-fluorobut-2-enyl)2 $\beta$ -carbomethoxy-3 $\beta$ -(4'-tolyl)nortropine, or LBT-999, has passed all the required stages of the development that makes it now a highly relevant imaging tool, particularly in the context of Parkinson's disease. This review describes the different steps of the development of LBT-999 which initially came from its non-fluorinated derivative (*E*)-*N*-(3-iodoprop-2-enyl)-2-carbomethoxy-3-(4-methylphenyl) nortropine, or PE2I, because of its high promising properties. [<sup>18</sup>F]LBT-999 has been extensively characterized in rodent and non-human primate models, in which it demonstrated its capability to explore *in vivo* the DAT localized at the dopaminergic nerve endings as well as at the mesencephalic cell bodies, in physiological conditions. In lesion-induced rat models of Parkinson's disease, [<sup>18</sup>F]LBT-999 was able to precisely quantify *in vivo* the dopaminergic neuron loss, and to assess the beneficial effects of therapeutic approaches such as pharmacological treatment and cell transplantation. Finally recent clinical data demonstrated the efficiency of [<sup>18</sup>F]LBT-999 in the diagnosis of Parkinson's disease.

**Keywords:** PET, dopaminergic neuron, Parkinson's disease, radiopharmaceutical, basal ganglia

## IN VIVO IMAGING OF THE DAT: A HIGHLY POTENT TOOL FOR BRAIN DISORDERS

The dopaminergic neurotransmission is strongly involved in the regulation of multiple brain functions such as locomotion, cognition and reward, and then plays a major role in a great number of brain disorders such as Parkinson's disease (PD) (1) but also several neuropsychiatric disorders (2). In this context, *in vivo* exploration of this system through molecular imaging methods is a

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real added value for the diagnosis, follow-up, and treatment of such disorders. Several molecular targets of the dopaminergic neurotransmission can be explored *in vivo*, at both the pre- and post-synaptic level. These explorations require the use of specific radiotracers able to bind specifically to each target and then to quantify it as accurately as possible. For this aim a high number of tracers have been developed, either labeled with  $\gamma$  emitters such as <sup>123</sup>I or <sup>99m</sup>Tc for single photon emission tomography (SPECT), or with  $\beta+$  emitters such as <sup>11</sup>C or <sup>18</sup>F for positron emission tomography (PET). Several tracers are yet available for the different types of post-synaptic dopaminergic receptors (3). Regarding pre-synaptic dopaminergic neurons, SPECT and/or PET exploration of three main molecular targets are to date available. The 6-[<sup>18</sup>F]-fluoro-L-dopa or [<sup>18</sup>F]DOPA uptake, which reflects both the conversion of Dopa into dopamine (DA) and the storage of DA into synaptic vesicles, has been the first gold standard tool (4). Besides, the vesicular monoamine transporter 2 (VMAT2) and the membrane dopamine transporter (DAT) can also be explored. The respective advantages and drawbacks related to imaging these different pre-synaptic molecular targets have mainly been compared in the context of PD, and prominent conclusions are summarized in **Table 1**.

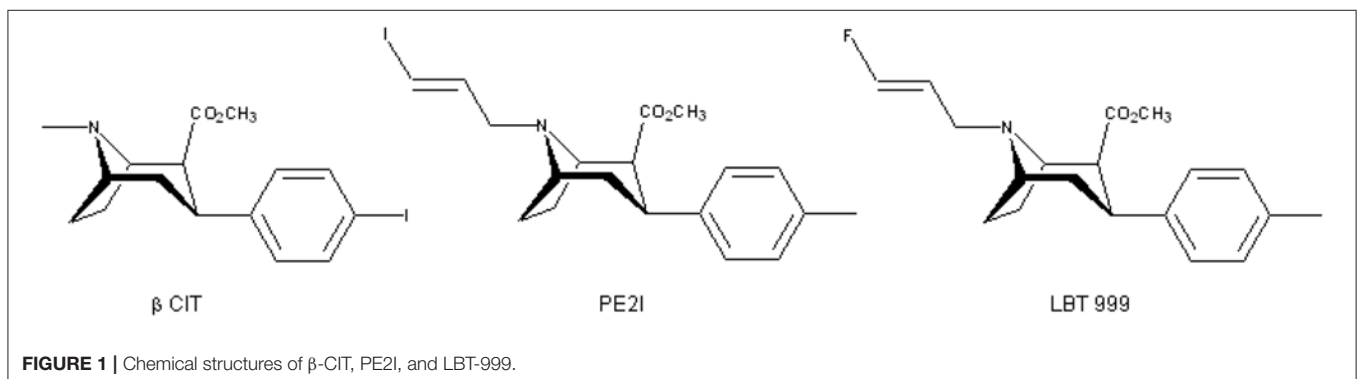
For a long time, the DAT has been identified as a target of choice because its localization makes it a marker of neuron integrity and density, and also because it is a key-actor in the regulation of synaptic dopamine levels (13). A high number of SPECT and PET tracers have been developed for DAT imaging. In all cases, they were derived from known ligands of the DAT, and most of them from the tropane structure characteristic of cocaine (14). The first of these tracers which demonstrated its potency in the field of PD using SPECT imaging was the 2 $\beta$ -carbomethoxy-3 $\beta$ -(4-iodophenyl)tropane ( $\beta$ -CIT) (15), which bound to the DAT with a high affinity (around 3 nM) and accumulated significantly in dopaminergic brain areas when labeled with iodine-123. Although  $\beta$ -CIT demonstrated its usefulness for the detection of DAT loss in PD, it had several drawbacks such as a similar affinity for the dopamine and serotonin transporters (16), a poor signal/noise ratio and an *in vivo* kinetics requiring as long as 24 h to reach equilibrium state allowing the DAT quantification in the striatum (17).

A number of new  $\beta$ -CIT derivatives were then proposed to overcome these weaknesses. Among them, the N-(3-iodopro-2E-enyl)-2 $\beta$ -carbomethoxy-3 $\beta$ -(4-methylphenyl)nortropane (PE2I) is structurally characterized by the presence of a methyl group on the phenyl ring of the  $\beta$ -CIT structure instead of an iodine, and

**TABLE 1** | Presynaptic molecular PET imaging targets of the dopaminergic neurotransmission.

Molecular target	Examples of tracer	Advantages	Drawbacks	References
DOPA decarboxylase	[ <sup>18</sup> F]F-DOPA	– Distinguishes patients with advanced PD from patients with <i>de novo</i> PD	– Reflects both the conversion of Dopa into DA and pre-synaptic storage of DA – Possible under-estimation of DA neurons loss in <i>de novo</i> PD patients due to an up-regulation of DA synthesis	(5–7)
Vesicular monoamine transporter 2 (VMAT2)	[ <sup>11</sup> C]DTBZ [ <sup>18</sup> F]AV-133	– Detects early PD vs. healthy controls – Improves diagnostic accuracy in clinically uncertain parkinsonian syndrome	– Present on all monoaminergic neurons	(8–10)
Membrane dopamine transporter (DAT)	[ <sup>18</sup> F]FP-CIT [ <sup>11</sup> C]PE2I [ <sup>18</sup> F]FE-PE2I	– Distinguishes patients with advanced PD from patients with <i>de novo</i> PD – Greater sensitivity than F-DOPA for detecting motor severity in PD – Identification of patients at risks for developing PSP or FTD	– Possible over-estimation of DA neurons loss due to a down-regulation of the DAT	(5, 11, 12)

AV-133, fluoropropylidihydrotrabenazine; DA, dopamine; F-DOPA, 6-fluoro-dopa; DTBZ, dihydrotrabenazine; FDT, frontotemporal dementia; PSP, progressive supranuclear palsy.



a 3-iodopro-2E-enyl group at the tropane nitrogen instead of a methyl carried by  $\beta$ -CIT (18). These chemical modifications have led to a significant improvement in the pharmacological profile of this ligand (19, 20), showing a high selectivity for the DAT toward the serotonin transporter (SERT). The high affinity and selectivity made PE2I a highly potent tracer to image the DAT *in vivo* either by SPECT when labeled with  $^{123}\text{I}$  and by PET when labeled with  $^{11}\text{C}$ . In this context,  $[^{123}\text{I}]\text{PE2I}$  demonstrated its usefulness for the differential diagnosis between patients suffering from PD and atypical parkinsonian syndromes without degeneration of striatal dopaminergic nerve endings (21). The PET imaging with  $[^{11}\text{C}]\text{PE2I}$  has also been successfully used in this same disease (11, 22) but also in schizophrenia (23, 24), attention deficit / hyperactivity disorders (25) and more recently in the exploration of the reward dopaminergic pathway (26).

## DEVELOPMENT OF LBT-999

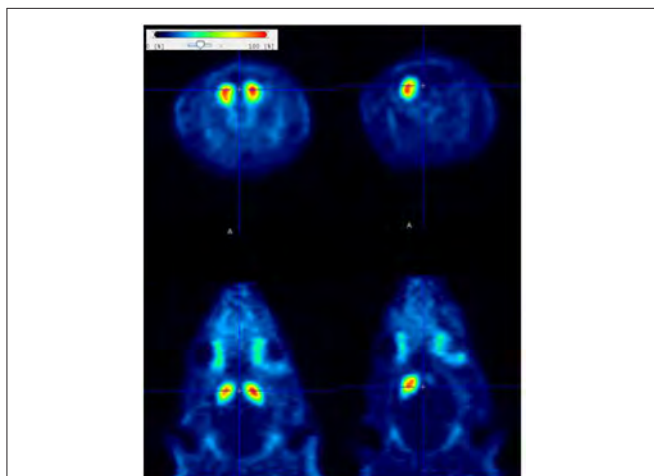
Regarding the high potency of binding of PE2I for the DAT and because PET imaging enables *in vivo* exploration at high resolution and high sensitivity, we developed the fluorinated derivative of PE2I, i.e., 8-((E)-4-fluoro-but-2-enyl)-3 $\beta$ -p-tolyl-8-aza-bicyclo[3.2.1]octane-2 $\beta$ -carboxylic acid methyl ester (LBT-999) (Figure 1).

The *in vitro* pharmacological evaluation of LBT-999 demonstrated that its properties was close to that of PE2I, with a good affinity for the DAT (9 nM) and a  $K_i > 1 \mu\text{M}$  for different ligands of the serotonin and norepinephrine transporters (27). Firstly, LBT-999 was labeled with carbon-11 (28) by methylation of the acid precursor that can be obtained in an easier way compared to a precursor useable for fluorine labeling. The  $[^{11}\text{C}]\text{LBT-999}$  shown to have a high *in vivo* accumulation in brain areas containing high levels of DAT both in rats and

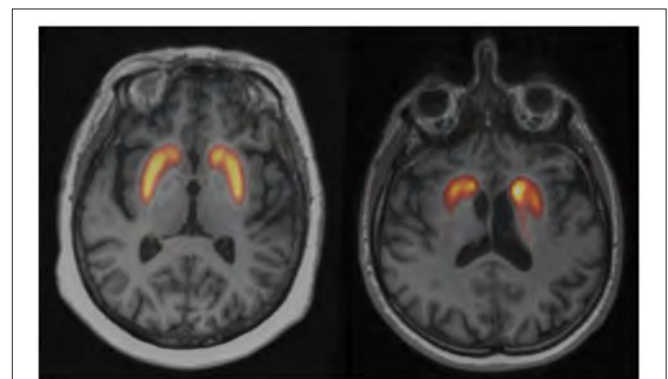
monkeys (27, 28). Based on these results, the development of the radiolabeling with  $[^{18}\text{F}]$  was then realized, first using a two-step methodology (29) followed by a one-step approach (30) required for rapid and reproducible radiofluorination dedicated to preclinical and clinical studies. As for the  $[^{11}\text{C}]\text{LBT-999}$ ,  $[^{18}\text{F}]\text{LBT-999}$  rapidly, and highly entered the rat brain where its distribution was in agreement with the DAT density. Importantly, 1 h post-injection, the *in vivo* specific binding represented by the ratio of accumulation in the striatum to cerebellum, was 10 times higher for LBT-999 (ratio of 25) (27) compared to that we obtained previously with PE2I in same experimental conditions (31). For LBT as for PE2I, the striatal accumulation at 1 h post-injection was around 70% decreased in the presence of a saturating dose of the DAT inhibitor GBR12909, whereas no significant effect was observed with a pre-injection of paroxetine (SERT ligand) or nisoxetine (NET ligand). In monkey, LBT-999 was also able to bind specifically to the DAT, either labeled with  $[^{11}\text{C}]$  (27) or with  $[^{18}\text{F}]$  (32). This last study demonstrated that LBT was also suitable for DAT exploration in extra-striatal regions, and that the estimated dosimetry was acceptable for human use.

## PRECLINICAL EXPERIMENTS IN ANIMAL MODELS

As the final aim of the development of a new PET tracer is its use for human health improvement, it is of high value to explore the properties of such a candidate tracer in animal models of human diseases. For this purpose, we performed in a first step in rats, an extensive test-retest study that demonstrated the ability of  $[^{18}\text{F}]\text{LBT-999}$  to quantify the DAT with high reproducibility (variability of 8–14%) and reliability (intra-class correlation coefficient, ICC, of 0.9) in the striatum, whereas these parameters were less accurate in the substantia nigra, in relation with the small size of this brain structure (33). In a rat model of early PD induced by a moderate unilateral striatal lesion using 6-hydroxydopamine (6-OHDA), we showed that  $[^{18}\text{F}]\text{LBT-999}$  was



**FIGURE 2** | Coronal (upper side) and axial (lower side) PET static images (30–50 min post-injection) obtained with  $[^{18}\text{F}]\text{LBT-999}$  in a normal rat (left) and in a rat lesioned with 6-OHDA in the right striatum. The quantitative analysis revealed a decreased of 70% in the tracer accumulation in the lesioned vs. intact striatum.



**FIGURE 3** | Fusion axial slices between PET and MRI of the  $[^{18}\text{F}]\text{LBT-999}$  uptake at the level of the striatum in a control subject (left) and a drug-naïve patient with early Parkinson disease (right). The radiopharmaceutical uptake is asymmetrically decreased in Parkinson patient.

able to accurately quantify *in vivo* the dopaminergic endings loss (Figure 2), in full agreement with the results obtained by *in vitro* autoradiography with [<sup>125</sup>I]PE2I on brain sections (34).

It was also important to assess the potency of [<sup>18</sup>F]LBT-999 to evaluate the efficacy of various therapeutic approaches aiming at the preservation or replacement of dopaminergic neurons *in vivo* in the rat model of 6-OHDA lesions. This property was demonstrated in the case of a pharmacological therapeutic approach (35) as well as for the graft of human embryonic stem cells-derived midbrain dopaminergic neurons (36). These whole findings provided strong preclinical support for clinical translation of [<sup>18</sup>F]LBT-999.

## THE USE OF [<sup>18</sup>F]LBT-999 IN HUMAN

[<sup>18</sup>F]LBT-999 has recently been evaluated in clinical setting (37, 38). Preliminary results on a small sample of 6 subjects with early Parkinson's disease and 8 healthy controls demonstrated that injection of [<sup>18</sup>F]LBT-999 is feasible and pharmacologically safe. [<sup>18</sup>F]LBT-999 distribution was consistent with DAT density in human brain and PET images in both caudate and putamen nuclei indicate that this tracer may successfully differentiate the two groups of subjects (Figure 3). On the basis of these initial findings, [<sup>18</sup>F]LBT-999 might be a suitable radiopharmaceutical for PET assessment of DAT in future clinical studies.

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## CONCLUSIONS

After the identification of a brain molecular target whose PET exploration would be crucial for improvement of the diagnosis and/or treatment of a particular disease, it is a long way to make available an optimal radiotracer. A very high number of tracers have been developed as potential DAT imaging agents, the most promising being based on the tropane scaffold derived from the structure of cocaine. Several SPECT compounds are used in clinical protocols, such as <sup>99m</sup>Tc-TRODAT (39) and [123I]FP-CIT (40). However, they suffer from many disadvantages such as poor sensitivity, spatial resolution, and slow kinetic uptake, and PET ligands should be a good alternative. We described in this paper the development of one of these tracers, [<sup>18</sup>F]LBT-999, which has the particularity to be highly specific for its target, and which is now ready to be used for clinical purpose.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# Clinical Results in Medullary Thyroid Carcinoma Suggest High Potential of Pretargeted Immuno-PET for Tumor Imaging and Theranostic Approaches

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Monoclonal antibody (mAb)-based therapies have experienced considerable growth in cancer management. When labeled with radionuclides, mAbs also represent promising probes for imaging or theranostic approaches. Initially, mAbs have been radiolabeled with single-photon emitters, such as <sup>131</sup>I, <sup>99m</sup>Tc, or <sup>111</sup>In, for diagnostic purposes or to improve radioimmunotherapy (RIT) using dosimetry estimations. Today, more accurate imaging is achieved using positron-emission tomography (PET). Thanks to the important technical advances in the production of PET emitters and their related radiolabeling methods, the last decade has witnessed the development of a broad range of new probes for specific PET imaging. Immuno-PET, which combines the high sensitivity and resolution of a PET camera with the specificity of a monoclonal antibody, is fully in line with this approach. As RIT, immuno-PET can be performed using directly radiolabeled mAbs or using pretargeting to improve imaging contrast. Pretargeted immuno-PET has been developed against different antigens, and promising results have been reported in tumor expressing carcinoembryonic antigen (CEA; CEACAM5) using a bispecific mAb (BsmAb) and a radiolabeled peptide. Medullary thyroid carcinoma (MTC) is an uncommon thyroid cancer subtype which accounts for <10% of all thyroid neoplasms. Characterized by an intense expression of CEA, MTC represents a relevant tumor model for immuno-PET. High sensitivity of pretargeted immunoscintigraphy using murine or chimeric anti-CEA BsMAB and pretargeted haptens-peptides labeled with <sup>111</sup>In or <sup>131</sup>I were reported in metastatic MTC patients 20 years ago. Recently, an innovative clinical study reported high tumor uptake and contrast using pretargeted anti-CEA immuno-PET in relapsed MTC patients. This review focuses on MTC as an example, but the same pretargeting technique has been applied with success for clinical PET imaging of other

CEA-expressing tumors and other pretargeting systems. In particular, those exploiting bioorthogonal chemistry also appear interesting in preclinical animal models, suggesting the high potential of pretargeting for diagnostic and theranostic applications.

**Keywords: medullary thyroid carcinoma (MTC), immunoPET, theranostic (therapeutic and diagnostic), pretargeted imaging, radioimmunoconjugate**

## INTRODUCTION

Targeting radionuclides to tumor cells using monoclonal antibodies (mAbs) has emerged for imaging and therapy purposes (1). The production of chimeric or humanized mAbs with lower immunogenicity than murine mAbs prompted the clinical development of immunotherapy, and the anti-tumor effects reported with trastuzumab in breast cancer (BC) expressing HER2 and of the anti-CD20 rituximab in B-cell non-Hodgkin lymphoma demonstrated for the first time the high potential of mAbs for cancer therapy. The clinical successes of rituximab and trastuzumab have accelerated the research for new target membrane proteins in different types of malignant tumors. Some monoclonal antibodies have also been radiolabeled for tumor imaging by scintigraphy with promising initial results. Yet, in spite of mAbs' good specificity, the expected success was limited by the low resolution of the images. Thanks to significant technical progress in the production of positron emitters and their labeling methods, as well as the development of more sensitive detectors and specific software, the last decade has seen the development of a wide range of new PET radiopharmaceuticals. In medical practice, the identification of biomarkers is gradually becoming a prerequisite for any treatment decision, along with the approach of personalized medicine. Immuno-PET, which combines the high sensitivity and resolution of a PET camera with mAb's specificity, is an excellent candidate for this new concept (2, 3). mAbs labeled with radionuclides represent promising probes for theranostic approaches, providing a non-invasive solution for *in vivo* evaluation of target expression, distribution and accessibility, and for obtaining reliable information for diagnosis, prognosis, and therapy. Based on immunoPET, treatment strategies could be adapted to each patient before pricey and potentially toxic treatments are administered (4, 5).

Medullary thyroid carcinoma (MTC) accounts for <10% of all thyroid cancers (6). After initial surgery, serum calcitonin is used to monitor residual disease, which is still detectable in nearly 20% of patients after surgery. Imaging including neck ultrasound, neck and chest computed tomography (CT), liver contrast-enhanced CT or magnetic resonance imaging (MRI), and spine and pelvis bone MRI are recommended when calcitonin exceeds 150 pg/ml (7). Due to their ability to characterize and quantify cancer molecular processes,  $^{18}\text{F}$ -DOPA or  $^{18}\text{F}$ -FDG PET tracers also have a major interest in patients with recurrent MTC and offer great potential as surrogate biomarkers, useful for early response evaluation and prediction of outcomes (8–11).

MTC is characterized by a high and homogeneous expression of ACE. Several clinical trials have shown pretargeted immunoscintigraphy's sensitivity, performed using the Affinity

Enhancement System (AES) based on the injection of murine or chimeric anti-CEA bispecific antibodies (BsMAb) and pretargeted haptent peptides radiolabeled with  $^{111}\text{In}$  or  $^{131}\text{I}$  (12, 13). Prolonged tumor efficacy was also observed using therapeutic haptens radiolabeled with  $^{131}\text{I}$  (14). These results and the high potential of pretargeting reported in other solid tumors using different radioimmunoconjugates suggested that pretargeted peptides labeled with PET emitters would take advantage of the better sensitivity and resolution of PET compared to SPECT and provide high sensitivity and specificity imaging under good conditions of radiation protection and dosimetry (15–17). However, no clinical study has yet compared pretargeted immuno-PET with pretargeted immuno-SPECT.

This review focuses on MTC as an example, but the same pretargeting technique has been applied with success for clinical PET imaging of other CEA-expressing tumors and, in mice, to other target antigens (18). Other pretargeting systems, in particular those exploiting bio-orthogonal chemistry, also appear interesting in preclinical animal models, suggesting the high potential of pretargeting for diagnostic or theranostic applications (19).

## Choice of Radionuclide for Immuno-PET

For nearly 30 years, mAbs have been labeled with gamma-emitting radionuclides, such as  $^{131}\text{I}$ ,  $^{99\text{m}}\text{Tc}$ , or  $^{111}\text{In}$  for planar or Single Photon Emission Computed Tomography (SPECT) imaging. However, the sensitivity of these techniques is low, the resolution poor and accurate quantitative information cannot be obtained. PET provides quantitative information and has a better spatial resolution that allows for good delineation of tumors and organs. In addition, exact attenuation correction, precise dispersion correction, improved sensitivity, good signal-to-noise ratios, and the ability to perform true whole body imaging within a reasonable time frame are key factors in the outperformance of PET over SPECT.

Marrying mAbs and PET emitters requires an appropriate match between the biologic half-life of the protein and the physical half-life of the isotope to achieve optimal tumor-to-background activity ratios (4, 5). Indeed, intact mAbs have a circulation time of several days, and longer imaging windows allow for both the accumulation of the tracer in the target tissue and the clearance of unbound tracer from the blood pool. This in turn leads to improved image contrast and tumor-to-background activity ratios.  $^{89}\text{Zr}$  and  $^{124}\text{I}$  are well suited to the labeling of large molecules, such as intact mAbs. The long half-life also offers an advantage for logistics related to transportation.  $^{64}\text{Cu}$ , with an intermediate half-life of 12.7 h, can be used for labeling a large number of molecules of different sizes  $^{18}\text{F}$  or  $^{68}\text{Ga}$ , with their short half-life, may be used to label small-size



molecules, such as peptides or small molecular weight binding proteins, that distribute rapidly in the body. They are appropriate for pretargeted PET imaging, as shown for  $^{68}\text{Ga}$  in the studies discussed here.  $^{18}\text{F}$  may also be used to label the haptens or small molecular weight tracers for pretargeting and, for example, this was done in preclinical studies with a NOTA-derivatized hapten by the formation of an aluminum-fluoride complex (20, 21).

From a “theranostic” perspective, the pairs of beta+ / beta emitting radionuclides ( $^{124}\text{I}/^{131}\text{I}$ ,  $^{86}\text{Y}/^{90}\text{Y}$ ,  $^{64}\text{Cu}/^{67}\text{Cu}$ ,  $^{44}\text{Sc}/^{47}\text{Sc}$ ) are very promising as the same distribution is expected both for imaging dosimetry and therapy.

Other considerations must also be taken into account when selecting appropriate radionuclides. In addition to the half-life, the existence of concomitant gamma emissions will have significant effects on the radiation dose received by the patient. Positron range may affect resolution if the positron travels a significant distance before annihilation. Finally, additional factors to consider include cost and availability.

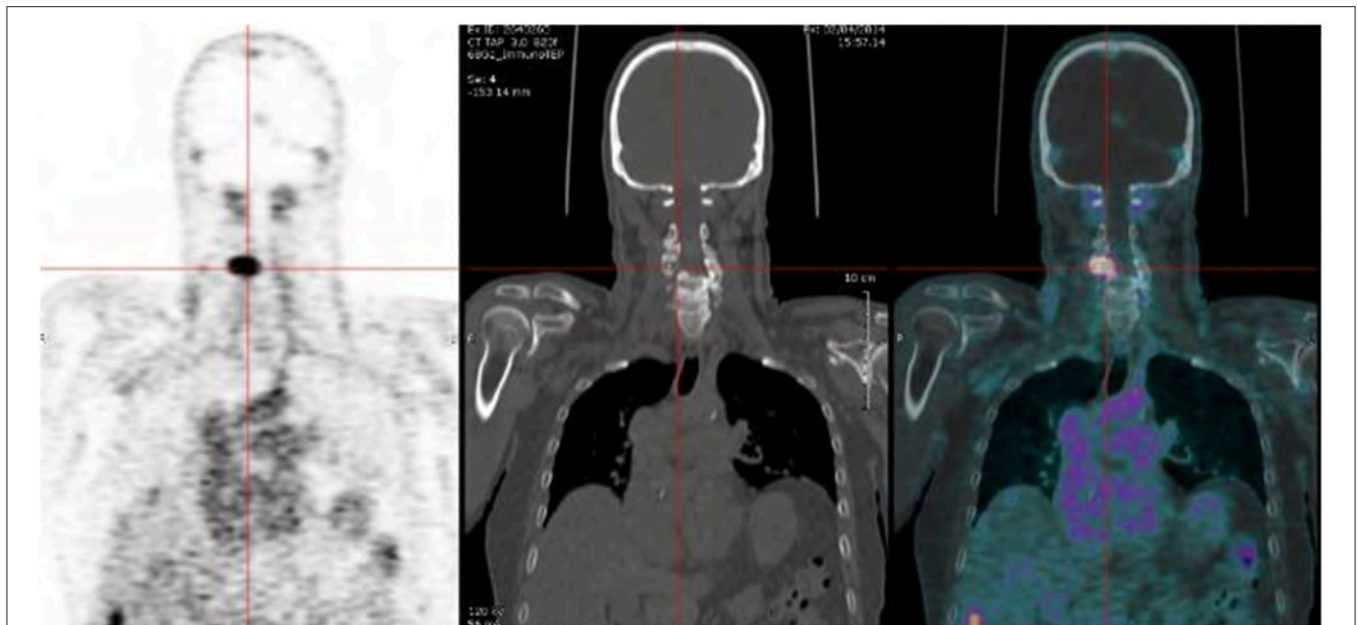
## Pretargeting for Immuno-PET

Since the first clinical pretargeted scintigraphy and radioimmunotherapy clinical studies discussed previously (12–14), new pretargeting reagents for the AES method have been designed (20–23). TF2 is an engineered BsMab composed of anti-hapten Fab-fragment derived from the murine 679 antibody recognizing the histamine-succinyl-glycine (HSG) motif, and two humanized anti-CEA Fab-fragments derived from the hMN-14 antibody, formed into a trivalent 157 kD protein by the Dock-and-Lock<sup>®</sup> procedure (22). IMP288 is a bivalent HSG hapten that can be labeled with a variety of radionuclides for therapy ( $^{90}\text{Y}$  and  $^{177}\text{Lu}$ ), scintigraphy ( $^{111}\text{In}$ ) or PET ( $^{124}\text{I}$ ,  $^{68}\text{Ga}$ , and  $^{18}\text{F}$ ) (20, 21, 23). The clinical implementation of pretargeting requires a first phase to optimize the BsMab and peptide molar doses and a delay between the two injections (24–27). The first clinical results were reported using TF2/ $^{177}\text{Lu}$ -IMP288 in colorectal carcinoma patients. Fast tumor uptake and high tumor-to-background activity ratios were observed within a few hours (24, 25). These results using TF2/ $^{177}\text{Lu}$ -IMP288 were confirmed in a phase I clinical trial performed in patients with CEA-positive lung cancer (27). This phase I study determined that a pretargeting delay of 24 h between the TF2 and the radiolabeled peptide injections was considered the best compromise between the high tumor uptake required to deliver a high irradiation dose to tumor cells and a high tumor-to-background activity ratio to reduce irradiation of normal tissues. Along the same line, high doses of TF2 (75 mg/m<sup>2</sup>) were used to deliver sufficient irradiation using a 10:1 TF2: hapten molar ratio. The rapid distribution of the reagents observed in these therapy trials, indicated that that labeling with the short-lived radionuclides,  $^{68}\text{Ga}$  or  $^{18}\text{F}$ , for PET should be feasible. Whereas,  $^{18}\text{F}$  allows PET imaging with better resolution than  $^{68}\text{Ga}$  possibly with lower cost, the choice between  $^{68}\text{Ga}$  and  $^{18}\text{F}$  would mostly depend on the logistics of the clinical centers. With  $^{68}\text{Ga}$  having the advantage of availability via a generator (20), the imaging performance of immuno-PET using TF2/ $^{68}\text{Ga}$ -IMP288 was tested in an orthotopic murine xenograft model of human colonic liver metastases (28).  $^{68}\text{Ga}$ -immuno-PET allowed

for better tumor/organ ratios compared to  $^{18}\text{F}$ -FDG-PET ( $P < 0.05$ ) for both imaging and biodistribution. Sixty-seven percent of tumors were detected with  $^{68}\text{Ga}$ -immuno-PET vs. 31% with  $^{18}\text{F}$ -FDG PET ( $P = 0.049$ ). For tumors <200 mg, the sensitivity was 44% with  $^{68}\text{Ga}$ -immuno-PET vs. 0% for  $^{18}\text{F}$ -FDG PET ( $P = 0.031$ ). Finally, tumor uptake measured on PET images was strongly correlated to biodistribution analyses ( $r^2 = 0.85$ ).

## Preliminary Results of Pretargeting Immuno-PET in MTC Patients

A pilot clinical study was designed in relapsed MTC patients to transfer TF2 / $^{68}\text{Ga}$ -IMP288 pretargeting to the clinic. The first part of the study aimed at determining the best pretargeting parameters. Different cohorts of patients were injected with variable TF2 and IMP288 molar doses at variable pretargeting delays. The second part was designed to assess immuno-PET performance. Adults with a histological diagnosis of MTC treated by complete surgery and presenting a calcitonin serum level  $\geq 150$  pg/ml, with at least one lesion  $\geq 10$  mm on conventional imaging, were eligible. The results of the first part of the study have been published, and the analysis of the second part is in progress (29). First, the molar doses of TF2 and hapten were reduced as compared to the therapy studies, because the injected activity of short-lived  $^{68}\text{Ga}$  was set to 150 MBq, as compared to GBq activities of  $^{177}\text{Lu}$  for therapy. According to a PET semi-quantitative analysis and pharmacokinetic studies, the 30-h pretargeting delay between BsMab and peptide injections was the most favorable for imaging: tumor uptake was not significantly reduced as compared to 24-h and tumor/background ratios were better. Pretargeted immuno-PET detected MTC confirmed foci in all patients except one. Our previous studies showed that CEA expression seemed to be almost constant in MTC, and that high sensitivity PET imaging using CEA as a target would detect the disease independently of the prognosis, in contrast to  $^{18}\text{F}$ -FDG or  $^{18}\text{F}$ -DOPA PET/CT (10, 12, 13, 29). The preliminary results obtained in the first 12 MTC patients already suggested that high tumor contrast can be obtained using this novel whole-body imaging (**Figure 1**) (29, 30). In this small cohort of metastatic patients with a median calcitonin of 915 pg/ml (249–5,300) and CEA of 29.5 ng/ml (7.4–257), a total of 110 lesions were detected by immuno-PET, whereas CT detected 59 lesions, bone MRI 12 lesions, liver MRI 13 lesions, and  $^{18}\text{F}$ -DOPA-PET/CT 63 lesions. Since pathological confirmation was generally not possible, in the MTC studies and in other pathologies such as colorectal cancer and breast cancer discussed below, the Gold Standard was defined as follow. A lesion detected by immuno-PET was considered to be related to cancer when it was confirmed by histology and/or detected by another imaging method and/or confirmed by follow-up. Complementary imaging (for example CT, MRI,  $^{18}\text{F}$ -DOPA PET and  $^{18}\text{F}$ -FDG PET in the MTC study) could be prescribed within 3 months after immuno-PET to confirm anomalies detected by immuno-PET but not visualized on the inclusion imaging assessment. The preliminary analysis then resulted in an overall sensitivity of 89% for immuno-PET, with 100% sensitivity for



**FIGURE 1** | Immuno-PET performed using TF2 BsMAb and  $^{68}\text{Ga}$ -IMP288 peptide in a MTC patient with a spinal lesion.

lymph nodes and liver, 87% for bone, and 42% for lungs. Overall sensitivities of CT, bone MRI, liver MRI and  $^{18}\text{F}$ -DOPA-PET/CT were 77, 92, 76, and 66%, respectively.

### Promising Performance of Pretargeted $^{68}\text{Ga}$ -IMP288 in Other CEA-Positive Tumors

CEA is expressed in other solid tumors, and pretargeted immuno-PET has also been assessed with promising results in BC and colorectal carcinoma (CRC). Preliminary results have been reported at international congresses (31, 32). In the 9 metastatic BC patients enrolled in an optimization immuno-PET study evaluating TF2/ $^{68}\text{Ga}$ -IMP288, with median CA15-3 was 249.3 kU/L (40–2,448) and a median CEA of 76  $\mu\text{g/L}$  (9.5–1359.0), pretargeted anti-CEA immuno-PET allowed the detection of a total of 533 lesions, whereas 245 lesions were detected by CT, 160 by bone MRI, and 425 by  $^{18}\text{F}$ -FDG-PET/CT (Figure 2). Immuno-PET showed 92.5% overall sensitivity, with, respectively, 100% sensitivity for bone, liver, skin, and brain, 91% for lymph nodes, and 28.5% for lung. Brain lesions were only seen on immuno-PET imaging and secondly confirmed by MRI.

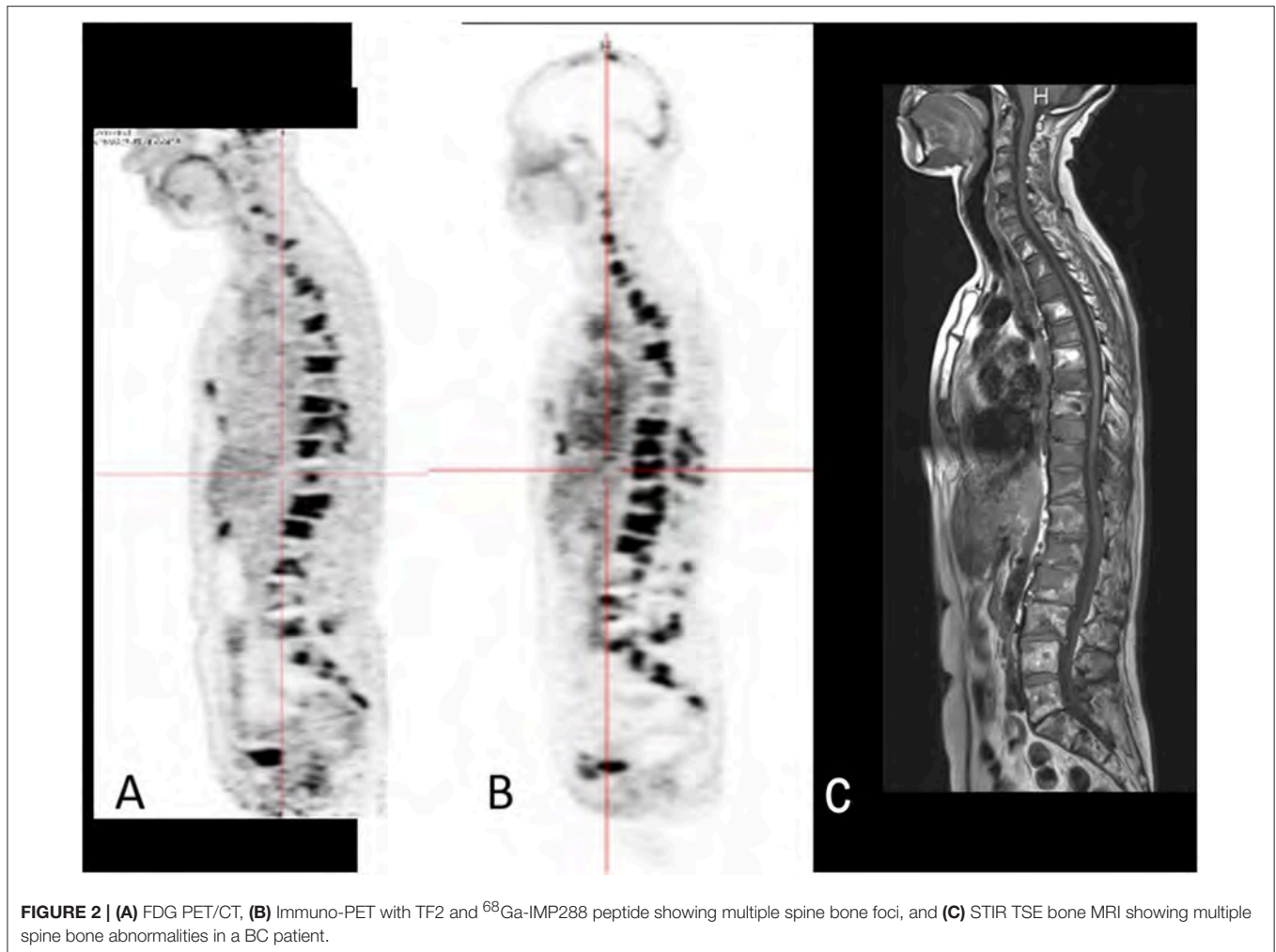
Another pilot study included 11 patients who received an imaging work-up for the diagnosis of metastases from CEA-expressing CRC, comprising TF2/ $^{68}\text{Ga}$ -IMP288 immuno-PET,  $^{18}\text{F}$ -FDG-PET, thoraco-abdominopelvic CT, liver MRI, and abdominal ultrasound scanning. In the per-patient analysis, immuno-PET was positive in 9/11 patients. The two negative patients were, respectively, one false-negative (single lung metastasis) and one true-negative (mediastinal sarcoidosis). On a per-lesion analysis, the sensitivity, specificity, positive predictive value and negative predictive value were, respectively, 82, 25, 82, and 25% for the morphological assessment (CT + ultrasound +

MRI); 76, 67, 87, and 33% for  $^{18}\text{F}$ -FDG-PET; and 88, 100, 100, 67% for immuno-PET (32).

These data show high performances of pretargeted immuno-PET in tumor detection, except for lung lesions. Several hypotheses can explain the low sensitivity in this organ. On the one hand, the current generations of CT scanners are very sensitive and can diagnose very small pulmonary nodules. Thus, since our Gold standard does not require histological evidence, micronodules considered as discretely progressive on follow up CT scans were validated as related to tumor. The very small size of some of these lesions could explain the low sensitivity of immuno-PET since immuno-PET images were recorded in “spontaneous breathing” thus underestimating the uptake in infra-centimetric pulmonary nodules due to the partial volume effect related to the amplitude of respiratory movements. On the other hand, immunoscintigraphy images recorded 5–10 days after hapten injection showed better sensitivity in lung lesions (10). So, it is possible that immuno-PET images have been recorded too early after hapten injection to allow the visualization of some infra-centimetric pulmonary nodules. Later images may have improved tumor contrast. A longer half-life radionuclide like  $^{64}\text{Cu}$ , permitting later images, could improve PET sensitivity to detect lung nodules.

### Other Pretargeting Systems

Pretargeted immuno-PET using the AES method also has been successfully assessed against other tumor antigens in preclinical models (18). TF12 is a trivalent BsMAb consisting in two anti-TROP-2 Fab fragments and one anti-HSG Fab fragment. Many epithelial cancers, including prostate cancer (PC) express the TROP-2 antigen. The potential of pretargeted immuno-PET with TF2/ $^{68}\text{Ga}$ -IMP288, was studied in mice with



subcutaneous and intraperitoneal PC3 human prostate tumors, using  $^{18}\text{F}$ FDG-PET as a reference.  $^{68}\text{Ga}$ -IMP288 demonstrated a rapid accumulation in the TF12 pretargeted subcutaneous tumors ( $7.2 \pm 1.1\%$  ID/g), and low blood levels and kidney uptake resulting in high tumor/blood ratios ( $17.4 \pm 11.2$ ) at 1 h p.i.  $^{18}\text{F}$ FDG's uptake was significantly lower ( $3.4 \pm 0.9\%$  ID/g,  $P = 0.008$ ), with lower tumor/blood ratios ( $3.0 \pm 1.9$ ,  $P = 0.011$ ). Immuno-PET identified both subcutaneous and intraperitoneal tumors as small as  $5 \text{ mm}^3$ , suggesting that the method was efficient for rapid, sensitive, and specific imaging of PC.

Recently, entirely different pretargeting approaches have been developed or revisited. One is based on the *in vivo* formation of an oligonucleotide duplex. A first oligonucleotide analog (e.g., peptide nucleic acid or PNA) is coupled to an antibody or binding protein (an anti-HER2 Affibody) for pretargeting of a radiolabeled complementary oligonucleotide analog (33). Good tumor targeting was achieved with a significant reduction in blood and kidney retention 1 h after activity injection, as compared to the directly-labeled Affibody in a human ovarian cancer model in mice. The other approach, which attracts even

more interest, is based on bio-orthogonal chemistry, also known as click chemistry. While click chemistry became quite popular about 15 years ago for various coupling reactions *in vitro*, it was soon discovered that these very fast chemical reactions can occur *in vivo* as well with similar efficiency and specificity. They were soon proposed for pretargeting applications (34). The CC49 antibody recognizing the TAG72 antigen derivatized with trans-cyclooctene (TCO) was used for pretargeting  $^{111}\text{In}$ -labeled DOTA-dipyridyltetrazine, demonstrating fast and high tumor activity uptake and high tumor-to-muscle ratios in a mouse model. This pioneering work was followed by a large number of preclinical investigations aiming at further improving the pretargeting performance by testing alternative bio-orthogonal chemistry reagents, adding a chase step between the injection of the antibody and that of the labeled compound, and also by applying bio-orthogonal pretargeting to small-binding proteins, such as diabodies or Affibodies. These efforts have been reviewed recently in a broad comparison of all pretargeting approaches by Altai and coworkers (19). Translation of such new pretargeting approaches to the clinic should come soon, both for PET imaging and therapy.

## CONCLUSION

An increased interest for immuno-PET is found in the recent literature (17), where targeted therapies using antibodies are experiencing a considerable growth in cancer management. Immuno-PET can offer a non-invasive solution to quantitatively assess whole-body tumor biomarker cartography. Based on immuno-PET, treatment strategies could be adapted to each patient before costly and potentially toxic treatments are administered.

Pretargeted immuno-PET represents a sensitive and specific imaging method, with promising results reported in MTC and also in other solid tumors. Pretargeted immuno-PET could indeed be a specific diagnostic tool for tumor detection, but also a theranostic companion approach to select patients to be treated with radioimmunconjugates or antibody-drug conjugates.

Pretargeting has advantages and limitations. It can be used to visualize or treat tumor lesions, depending on the radionuclide used, for example by using  $^{111}\text{In}$  and  $^{99\text{m}}\text{Tc}$  for SPECT imaging,  $^{68}\text{Ga}$  or  $^{18}\text{F}$  for PET imaging, or  $^{131}\text{I}$ ,  $^{90}\text{Y}$ , and  $^{177}\text{Lu}$  for radioimmunotherapy. Using BsMab as the pretargeting agent has several advantages: BsMab can be humanized to minimize their immunogenicity and tailored to clear from the circulation more rapidly than intact IgG's. Then, together with the limited affinity of the BsMab-hapten binding that allows for dissociation of BsMab-hapten complexes in the circulation, there is no need for a clearing agent. Over the past decade, several improvements have been made to this system, resulting in a flexible, and efficient pretargeting system. However, it requires careful optimization, both for

the design of the appropriate pretargeting reagents and for the definition of dosing and administration schedules. In addition, optimal reagents doses and injection schedules are not identical for imaging, where rapidly achieving high tumor to non-tumor activity ratios is the goal, and therapy where sufficient irradiation of tumors is also needed. Several roads of improvement exist. The non-covalent binding between the radiolabeled hapten and BsMab on the surface of tumor cells limits the retention of the radiolabeled hapten-peptide in the tumor. Recent developments in the use of bio-orthogonal chemistry are very promising and represent an attractive alternative to the use of BsMab. The question of cost should also be examined. Although these innovative technologies are certainly costly, but this could be acceptable if the advantage in patient selection for expensive therapies and drug development is confirmed. Large-scale, randomized, multicenter clinical trials are warranted.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# Hypoxia Imaging and Adaptive Radiotherapy: A State-of-the-Art Approach in the Management of Glioma

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Severe hypoxia [oxygen partial pressure (pO<sub>2</sub>) below 5–10 mmHg] is more frequent in glioblastoma multiforme (GBM) compared to lower-grade gliomas. Seminal studies in the 1950s demonstrated that hypoxia was associated with increased resistance to low-linear energy transfer (LET) ionizing radiation. In experimental conditions, the total radiation dose has to be multiplied by a factor of 3 to achieve the same cell lethality in anoxic situations. The presence of hypoxia in human tumors is assumed to contribute to treatment failures after radiotherapy (RT) in cancer patients. Therefore, a logical way to overcome hypoxia-induced radioresistance would be to deliver substantially higher doses of RT in hypoxic volumes delineated on pre-treatment imaging as biological target volumes (BTVs). Such an approach faces various fundamental, technical, and clinical challenges. The present review addresses several technical points related to the delineation of hypoxic zones, which include: spatial accuracy, quantitative vs. relative threshold, variations of hypoxia levels during RT, and availability of hypoxia tracers. The feasibility of hypoxia imaging as an assessment tool for early tumor response to RT and for predicting long-term outcomes is discussed. Hypoxia imaging for RT dose painting is likewise examined. As for the radiation oncologist's point of view, hypoxia maps should be converted into dose-distribution objectives for RT planning. Taking into account the physics and the radiobiology of various irradiation beams, preliminary *in silico* studies are required to investigate the feasibility of dose escalation in terms of normal tissue tolerance before clinical trials are undertaken.

**Keywords:** glioblastoma, hypoxia, imaging, PET, MRI, radiation therapy

## INTRODUCTION

### Brain Tumors and Hypoxia

Brain tissue physiologically has a tissue  $pO_2$  ( $ptO_2$ ) of  $\sim 40$  mmHg, referred to as a normoxic or aerobic state. Hypoxia, generally defined when  $ptO_2$  falls below 10 mmHg, is the result of an imbalance between oxygen consumption and delivery, a common situation in various types of malignancies.

Tumor growth was initially modeled by Gompertzian curves in the 1970s, in which the growth saturates when the tumor volume reaches the carrying capacity (1, 2). However, this model has some limitations and has been improved by incorporating various parameters such as angiogenesis and necrosis. A specific focus was placed on hypoxia, known to play a crucial role in tumor angiogenesis, genetic instability, and tumor invasion (3). More recently, hypoxia has also been shown to induce pro-tumoral activity by macrophage polarization (4). It is evident that hypoxia has a positive role in tumor growth and a negative role in therapeutic response (5) and is ultimately related to poor prognosis (6–8).

In primary brain tumors, hypoxia is also associated with malignant tumor growth. Glioblastoma multiforme (GBM), the most aggressive glioma and most frequent primary brain tumor, is particularly hypoxic. Using the Eppendorf needle electrode, previous works demonstrated that while the oxygenation in the normal brain ranges around 40 mmHg of oxygen, it falls below 10 mmHg in GBM (9, 10). However, hypoxic components are highly heterogeneous both within a single tumor and among patients. It has been proposed that tumors could be separated into three compartments: well oxygenated, acutely hypoxic, and chronically hypoxic (11).

Hypoxia also induces resistance to radiotherapy (RT) (12). In the early 1950s, Gray and colleagues reported that the radiosensitivity of mammalian cells was dependent on oxygen concentration (13). Hypoxia was therefore assumed to contribute to the failures after RT in cancer patients. It has also been suspected to be involved in resistance to various chemotherapies (14, 15). Explored solutions to target hypoxia included the use of hyperbaric oxygen chambers, hypoxic radiosensitizers, and, in recent years, hypoxia image guided radiotherapy (HIGRT) (16).

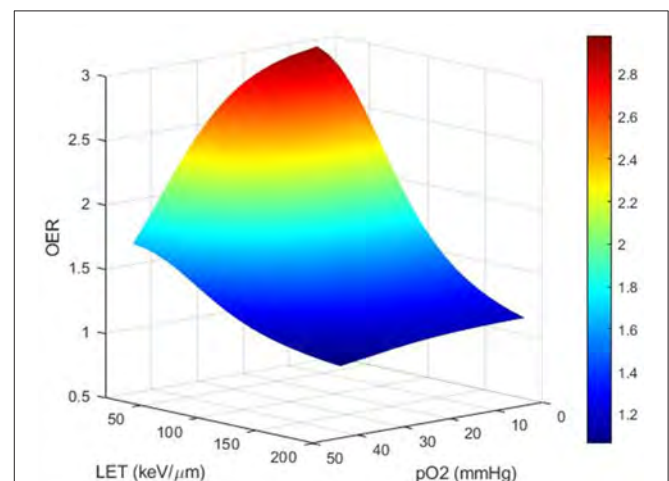
More recently, various publications have demonstrated that hypoxia changes during tumor growth. Hypoxia is a result of an increased oxygen demand not only from tumor cells but also from immune cells, coupled with a perturbed vasculature (17). While in normal situations, the capillary density allows oxygen to be delivered to the cells with distances ranging from 30 to 60  $\mu\text{m}$ , within a tumor, the distance to the closest capillary dramatically increases and causes a decrease in oxygen pressure. The concept of perfusion-limited hypoxia resulting from vessel obstruction and perturbed blood flow (poorly oxygenated blood) has introduced the concept of dynamic or cycling hypoxia (18–20). Temporal instability of  $ptO_2$  has been observed with intermittent periods of reoxygenation. The kinetics of cycling hypoxia follow a complex timescale and occur with two frequencies: a few cycles per hour and cycles lasting from hours to days (21, 22). At present, no clear distinction exists between chronic and cycling hypoxia.

### Hypoxia and Radiobiological Basis

In the presence of molecular oxygen at the time of or within microseconds after exposure, low-LET radiation ionizes water molecules, producing high-energy electrons and highly reactive oxygen species (ROS) (23). DNA damage results from either a direct or an indirect (via ROS) effect of irradiation. In the absence of oxygen, ROS are not produced, and DNA damage is reduced for a given RT dose. *In vitro*, the ratio of the doses yielding the same level of cell mortality in anoxic (100%  $N_2$  atmosphere) vs. oxic (100%  $O_2$  atmosphere) conditions is 2.5–3, corresponding to the oxygen enhancement ratio (OER) (24–26). This “oxygen effect” is not associated with oxygen-dependent differences in DNA repair processes (27). Therefore, oxygen is considered as the strongest existing radiosensitizing agent. Hypoxic tumors are thus considered radioresistant and are harder to control with conventional RT doses.

OER and OER modeling: As a function of  $pO_2$  and LET, OER increases nonlinearly with decreasing  $pO_2$  as described by the Alper and Howard-Flanders formula (28) and with decreasing LET (27–29) (Figure 1). Under exposure to low-LET radiation, OER is around 2 for a  $pO_2$  value of around 10–15 mmHg, and a maximum is reached with  $pO_2 < 5$  mmHg (30, 31). For high LET (over a few hundred  $\text{keV}/\mu\text{m}$ ), OER remains around 1, whatever the  $pO_2$  (29, 32). Thus, high-LET radiation therapy is supposed to be more efficient than low-LET conventional RT (photons or protons) when treating hypoxic tumors (33, 34). This could be explained by the *in situ* “oxygen production in the heavy ion track” phenomenon (35–38).

For a precise modeling of OER dependence, a rigorous analysis should include several parameters:  $ptO_2$  in both the



**FIGURE 1** | Theoretical computational modeling of the OER as a function of  $pO_2$  and LET (performed on MATLAB). OER increases nonlinearly with increasing degree of hypoxia and decreases with increasing LET. Compared to low-LET conventional RT (photons or protons), high-LET RT, over a few hundreds of  $\text{keV}/\mu\text{m}$  (carbons), is expected to be less sensitive to hypoxia and could be more efficient for treating hypoxic tumors.

**TABLE 1** | Imaging biomarkers to evaluate oxygenation in glioblastoma: advantages and limitations.

	Advantages	Limitations
StO <sub>2</sub>	<ul style="list-style-type: none"> <li>• Easy setup and application in clinical routine</li> <li>• Sensitive</li> <li>• Assuming fully oxygenated arterial blood, the fraction of deoxygenated blood corresponds to the OEF</li> <li>• Spatial resolution is better than PET biomarkers</li> </ul>	<ul style="list-style-type: none"> <li>• Indirect assessment of p<sub>t</sub>O<sub>2</sub></li> <li>• Specificity for hypoxia needs to be validated</li> </ul>
OE-MRI	<ul style="list-style-type: none"> <li>• Showed promising results in the characterization of intratumor hypoxia heterogeneity in one GBM model</li> <li>• Spatial resolution is better than PET biomarkers</li> </ul>	<ul style="list-style-type: none"> <li>• Indirect assessment of p<sub>t</sub>O<sub>2</sub></li> <li>• Specificity for hypoxia needs to be validated</li> <li>• Needs to be validated in other GBM models and in the clinical setting</li> </ul>
MOBILE	<ul style="list-style-type: none"> <li>• No need to inject contrast agent</li> <li>• Spatial resolution better than PET biomarkers</li> </ul>	<ul style="list-style-type: none"> <li>• Indirect and relative assessment of p<sub>t</sub>O<sub>2</sub></li> <li>• No studies in brain tumors</li> </ul>
MR fingerprint	<ul style="list-style-type: none"> <li>• Multi-parametric (vascularization, oxygenation...) characterization with rapid acquisition</li> <li>• Spatial resolution is better than PET biomarkers</li> </ul>	<ul style="list-style-type: none"> <li>• Indirect and relative assessment of p<sub>t</sub>O<sub>2</sub></li> <li>• Needs to be validated in other GBM models and in the clinical setting with multiple slices</li> </ul>
<sup>15</sup> O-oxygen	<ul style="list-style-type: none"> <li>• Allows direct quantification of OEF</li> </ul>	<ul style="list-style-type: none"> <li>• Very short radioactive decay</li> <li>• No linear relation between oxygen consumption and cellular hypoxia</li> <li>• Spatial resolution</li> </ul>
[ <sup>18</sup> F]-FMISO	<ul style="list-style-type: none"> <li>• Current gold standard for hypoxia imaging</li> <li>• Indicator of cellular hypoxia</li> </ul>	<ul style="list-style-type: none"> <li>• Injection of a radioactive compound</li> <li>• Relatively prolonged time before steady-state acquisition (2h)</li> <li>• Spatial resolution</li> </ul>
[ <sup>18</sup> F]-FAZA	<ul style="list-style-type: none"> <li>• Indicator of cellular hypoxia</li> <li>• More rapid clearance than [<sup>18</sup>F]-FMISO</li> </ul>	<ul style="list-style-type: none"> <li>• Injection of a radioactive compound</li> <li>• Needs to be validated in a more important number of studies</li> <li>• Spatial resolution</li> </ul>
[ <sup>18</sup> F]-HX4	<ul style="list-style-type: none"> <li>• Indicator of cellular hypoxia</li> <li>• More hydrophilic tracer allowing more rapid clearance than [<sup>18</sup>F]-FMISO/FAZA</li> </ul>	<ul style="list-style-type: none"> <li>• Injection of a radioactive compound</li> <li>• Not recommended for brain tumors</li> <li>• Spatial resolution</li> </ul>
[ <sup>18</sup> F]-DIFA	<ul style="list-style-type: none"> <li>• Indicator of cellular hypoxia</li> <li>• More hydrophilic tracer allowing more rapid clearance than [<sup>18</sup>F]-FMISO/FAZA</li> </ul>	<ul style="list-style-type: none"> <li>• Injection of a radioactive contrast agent</li> <li>• Needs to be validated in a more important number of studies</li> <li>• Spatial resolution</li> </ul>
[ <sup>62</sup> Cu]/[ <sup>64</sup> Cu]-ATSM	<ul style="list-style-type: none"> <li>• Characterization of moderate hypoxia</li> <li>• Promising tracer for imaging hypoxia thanks to its high membrane permeability and low redox potential</li> </ul>	<ul style="list-style-type: none"> <li>• Injection of a radioactive compound with long half-life (12.7 h)</li> <li>• Specificity to hypoxia is questionable</li> <li>• Spatial resolution</li> </ul>

hypoxic and aerobic conditions, LET, cell survival end point, dose per fraction, particle species, tissue, and cell cycle phase. These variables are derived from *in vitro* survival data and may overestimate or underestimate the effects of hypoxia *in vivo*. Due to the complexity of dependencies, results of experimental data on OER measurements possess significant uncertainty. Improved understanding of the physical and chemical basis of the OER would add useful information on top of current empirical models. An accurate OER model is necessary to calculate doses necessary for RT dose escalation. Numerous mathematical OER models have been proposed, based on a range of experimental data from literature (Figure 1). However, the optimal mathematical function remains unknown, and estimation remains empirical. Once known, the model will be of invaluable aid to radiation oncologists in performing “hypoxia dose painting” in treatment planning for photon and ion beam RT.

Characterizing the heterogeneity of hypoxia necessitates tools with good temporal and spatial resolution to enable its eventual use in personalized medicine. Medical imaging is a promising tool, as it allows repeated noninvasive measurements to track both the temporal and spatial heterogeneity of tumor hypoxia. This is particularly relevant in RT, where constant technological

advancements may permit treatment personalization based on the local p<sub>t</sub>O<sub>2</sub>. There are, however, numerous points that require validation before using imaging of hypoxia for radiation therapy guidance.

## MAPPING OF HYPOXIA IN CLINICAL SITUATIONS: CURRENT DEVELOPMENTS

Various approaches have been designed to assess hypoxia in tissues. The use of implantable probes or needles is still the gold standard for p<sub>t</sub>O<sub>2</sub> measurement (5). In a clinical environment, however, tissue p<sub>t</sub>O<sub>2</sub> cannot be mapped with probes (39), and biomedical imaging based on positron-emission tomography (PET) and magnetic resonance imaging (MRI) serves as a surrogate biomarker of hypoxia or of cerebral oxygenation (Table 1).

### MRI Markers

MRI has the advantage of being nonionizing and can be used to quantify the blood oxygenation level in tissue (StO<sub>2</sub>) (40). In particular, a BOLD-based MRI method for the measurement of relative oxygen extraction fraction (rOEF) showed that high rOEF was present in high-grade but not low-grade gliomas.



However, confounding factors such as cerebral blood volume (CBV), tissular T2, and contrast agent leakage need further investigation (41). Oxygen-enhanced MRI (OE-MRI) is likewise useful, based on the correlation between hypoxia and the variation in longitudinal relaxation rate ( $\Delta R1$ ) during oxygen challenge (42). In a preclinical model of GBM, Fan et al. have shown that OE-MRI is able to show intratumoral hypoxic heterogeneity and present an interesting correlation of OE-MRI with hypoxia by histological staining (24). However, OE-MRI still has to be validated in other GBM models and in the clinical setting. Mapping of oxygen by imaging lipids relaxation enhancement (MOBILE) (25) has also been proposed and also needs validation. OE-MRI and MOBILE present the advantage of repeated measurements of oxygenation without the need for exogenous contrast agents. Recently, an original approach termed MR fingerprint has also been proposed, which simultaneously obtains data on CBV, mean vessel radius, and blood oxygen saturation and creates high-resolution parametric maps of the microvascular network of the brain (26).

## PET Markers

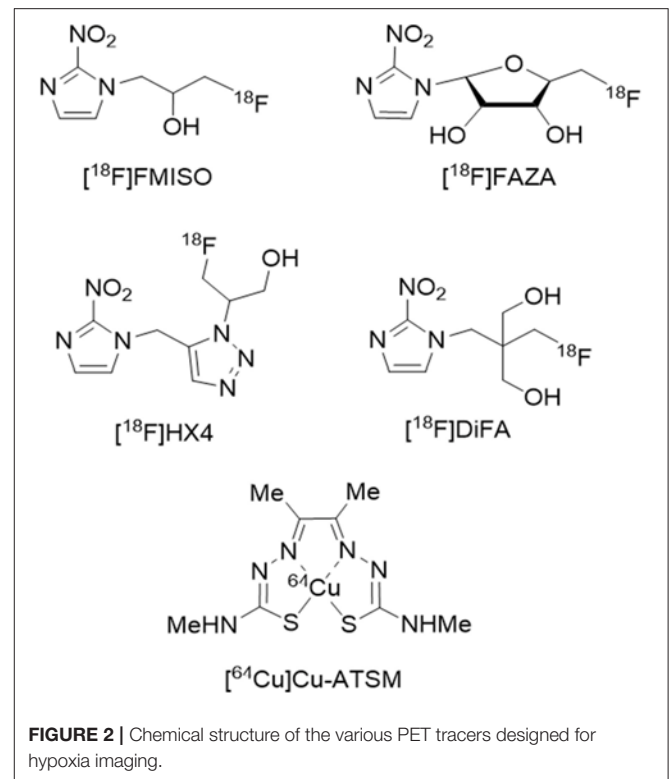
PET can also be used to map the OEF with radioactive molecular oxygen ( $^{15}\text{O}_2$ ) as a tracer. It can also be used to estimate  $\text{ptO}_2$  by mapping of tracers trapped in areas with low  $\text{ptO}_2$ . This approach is achieved with a variety of PET tracers based on an imidazole structure such as 3- $^{18}\text{F}$ fluoro-1-(2-nitro-1-imidazolyl)-2-propanol ( $^{18}\text{F}$ -FMISO) (43, 44) and  $^{18}\text{F}$ -fluoroazomycin arabinoside ( $^{18}\text{F}$ -FAZA), the uptake of which depends on a  $\text{ptO}_2$  threshold (45). After cell penetration by passive diffusion, these tracers are reduced in a two-step process, with the first step being reversed if oxygen is present and with the tracer becoming irreversibly trapped in the absence of oxygen.

It takes time to visualize hypoxic regions using  $^{18}\text{F}$ -FMISO or  $^{18}\text{F}$ -FAZA due to lipophilicity and slow clearance in normoxic tissues. More recently, 3- $^{18}\text{F}$ fluoro-2-(4-((2-nitro-1H-imidazol-1-yl)methyl)-1H-1,2,3-triazol-1-yl)propan-1-ol ( $^{18}\text{F}$ -HX4 or  $^{18}\text{F}$ -flortanidazole) (46) and 1-(2,2-dihydroxymethyl-3- $^{18}\text{F}$ fluoropropyl)-2-nitroimidazole ( $^{18}\text{F}$ -DiFA) (47) have been developed as more hydrophilic tracers with the potential advantages of shorter acquisition times. However, formal validation in clinical situations is required.

Other radiopharmaceuticals have been described. Cu(II)-diacetyl-bis(N4-methylthiosemicarbazone) ( $^{64}\text{Cu}$ -ATSM) seems to be a promising tracer for imaging hypoxia thanks to its high membrane permeability and low redox potential. However, the selectivity of Cu-ATSM to hypoxia has been challenged and discussed (48). See **Figure 2** for the chemical structures of the various PET tracers designed for hypoxia imaging.

## ROBUSTNESS AND ACCURACY OF AVAILABLE TECHNIQUES TO ASSESS HYPOXIA IN THE BRAIN

MRI and PET biomarkers have the advantage of being available and regularly utilized in the clinics; however, in assessing



**FIGURE 2** | Chemical structure of the various PET tracers designed for hypoxia imaging.

hypoxia, they have several limitations, which presently hinder routine clinical utilization for RT dose modulation (**Table 1**).

## Limitation of MRI Markers

Mapping  $\text{StO}_2$  or the OEF yields a continuous signal with high temporal and spatial resolutions, but the relationship to  $\text{ptO}_2$  is indirect, and vascular changes indirectly reflect tissue changes. In particular, their relationship depends on the dissociation curve of hemoglobin, which itself depends on pH and temperature, among other factors. For example, a lower blood pH or a higher blood temperature would lead to a higher blood  $\text{ptO}_2$  for the same blood oxygen saturation.

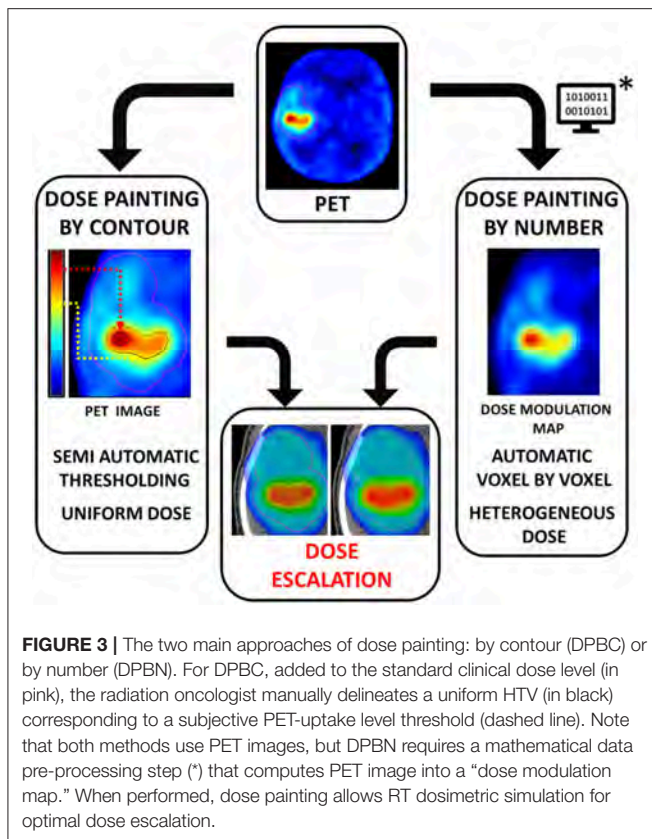
In addition, OE-MRI and MOBILE have to be validated in various GBM models and in the clinical setting. MR fingerprint has been validated in patients but only for a single slice; thus, further developments are necessary.

## Limitation of PET Markers

**Accessibility:** One of the main drawbacks of the extensive use of PET tracers of hypoxia in oncology is that tracer production is cost-intensive and only available at selected centers, in part due to limited manufacturers.

## Poor Spatial Resolution

As discussed in the review of Grimes et al. (49) the molecular effect of oxygen is in the range of nm to  $\mu\text{m}$ , while PET resolution is about 3–4 mm. This raises various concerns about the interpretation of the PET results. It was shown that the PET signal would be similar between two voxels if 25% of a voxel



was anoxic (but viable) and the remainder well oxygenated, if the voxel was 50%/50% split between 1.4 mmHg and oxyc, or if the whole voxel was at 4.2 mmHg (49).

### Impact of Altered Blood Flow in Tracer Uptake

PET tracers are delivered to the hypoxic tumor cells via the bloodstream. However, GBM vascularization is highly perturbed, which could impact the tracer biodistribution, notably in anoxic areas without any functional vascularization where delivery of the tracer might not be achieved (50). This could result in a very low tracer uptake in highly hypoxic areas. Vessel permeability may also have an impact in tissue biodistribution if more hydrophilic tracers have to be used. Dynamic PET has been proposed as an alternative to address the issues of both tumor perfusion and hypoxia, but the increased duration of the examination is a limitation for its routine use.

### Poor Temporal Resolution

The 109-min half-life of  $^{18}\text{F}$  is hardly ideal for examining temporal resolution. In general, the radioactive nature and short half-lives of PET tracers make it difficult to assess the evolution of hypoxia over hours or days. For instance, a study on head and neck cancers demonstrated that variability in spatial uptake can occur between repeated  $^{18}\text{F}$ -FMISO PET scans (51). These results could be either a reflection of the poor reproducibility of FMISO PET due to confounding influences (perfusion, permeability) or a reflection of cycling hypoxia.

Molecular oxygen, with its very short half-life, would in theory address the dynamic nature of tissue oxygenation. However, its access is limited to a few centers worldwide, and  $^{15}\text{O}$  has a poor intrinsic spatial resolution in comparison to  $^{18}\text{F}$ .

In summary, while being of major importance for tumor growth and resistance to treatment, the mapping and routine assessment of hypoxia remains a challenge. Among the various markers, [ $^{18}\text{F}$ ]-FMISO PET remains the most extensively studied and most accurate approach to map hypoxia in the clinical situation (52), but for brain tumors where PET imaging is not standard practice, MRI may provide surrogate biomarkers of oxygenation.

## HYPOXIA FROM THE RADIATION ONCOLOGIST'S POINT OF VIEW

### Hypoxia and the Dose Painting Concept

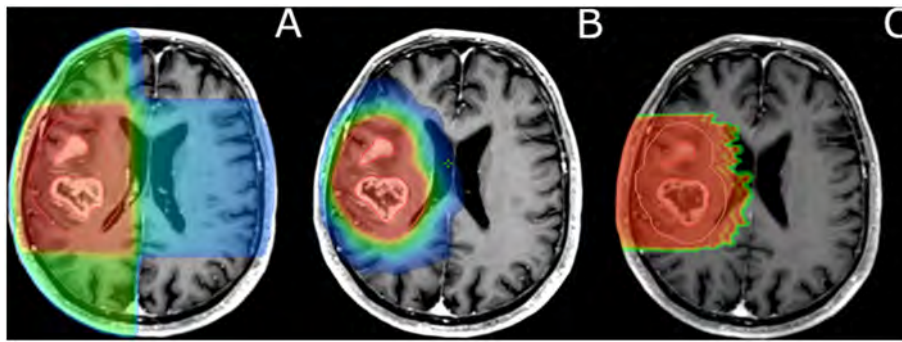
At present, the same radiation dose is delivered to all subregions of the tumor volume regardless of their individual biology and radiosensitivity. The RT concept of dose painting involves adapting the dose prescriptions for tumor subvolumes as a function of the tumor's heterogeneous biology. This could be done with functional imaging that maps different dose–response levels (53) over anatomic contours provided by morphological imagery, resulting in a “biological target volume” (BTV), where dose escalation could be applied. Hypoxia imaging could be used to provide the level of  $\text{pO}_2$  and, subsequently, the spatial distribution of potentially radioresistant regions (54). These hypoxic target volumes (HTVs) are given a higher dose to achieve better tumor control (54), taking care not to compromise normal tissue tolerance (55, 56). To counteract radioresistance associated with hypoxic tumors, radiation oncologists need accurate calculations of the biologically optimal RT doses. The technical feasibility of optimizing RT plans has been well documented, mostly in head and neck cancers (57, 58). A similar study has never been done in gliomas.

To define the HTV, there are two main approaches: dose painting by contour (DPBC) or by number (DPBN) based on PET images (Figure 3).

### Dose Painting by Contour

Also called multilevel or subvolume boosting, DPBC defines the HTV by segmenting a volume based on an uptake threshold on hypoxia functional images. This approach delivers a uniform boost dose to hypoxic subvolumes (59). Pixels with intensities higher than a defined value are considered as potentially hypoxic volumes. The cutoff is based on an empirical uptake threshold relative to a well-oxygenated reference, such as tumor-to-muscle and tumor-to-blood ratios (>95% of normal tissue voxels had a tissue/blood ratio of  $\leq 1.2$ ) or  $\text{SUV} > 1.4$  (60, 61).

DPBC is the most common approach in studies for several reasons. First, it is easier to integrate into conventional clinical workflows using commercial RT treatment planning systems (TPS). Second, it is easier to prescribe uniform dose boost regions. Lastly, it is more robust to spatial errors (62). In practice, dose escalation is achievable for the vast majority of



**FIGURE 4** | Comparison of dose distribution and target coverage (GBM) in 3D-CRT (A), IMRT (B), and protontherapy (C). (B,C) show finer target coverage with increased normal tissue sparing. For clinical implementation of dose painting, these accurate RT techniques are needed (B,C). In current routine clinical practice, the target volume receives a homogeneous dose prescription and distribution regardless of potential hypoxic subvolumes.

cases (63, 64). However, the absence of consensus on the most appropriate threshold cutoff, the fact that high values can be found outside the tumor, and disparate tracer characteristics (intrinsic biochemical, uptake, clearance, etc.) make this method clinically debatable.

### Dose Painting by Numbers

DPBN is a voxel-by-voxel level dose prescription based on a relationship between the intensities of neighboring voxels integrated in a “dose modulation map.” This is achieved through a mathematical transformation of the spatial distribution of hypoxia from noninvasive methods such as PET scans, named “ $ptO_2$ map.” The  $ptO_2$  and OER levels enable algorithms to compute the heterogeneous doses to be prescribed (65, 66).

Several attempts have been made to estimate  $ptO_2$  and include OER in RT treatment planning. However, these methods are much more complex than DPBC and require specific algorithms and software for numerical processing steps (67). Some methods are proposed (68) but remain subject to discussion (69). Some authors consider a linear transformation of the image intensity into a prescribed dose (65, 67), whereas others assume a “dose redistribution” between hypoxic and normoxic pixels resulting in the same average dose as a conventional RT plan (58, 62, 70).

For head and neck tumors, Toma-Dasu et al. used a nonlinearity approach, which considers that the relationship between  $[^{18}F]$ -FMISO uptake and  $ptO_2$  follows a hyperbolic function (65). This equation was adapted for brain tumors and fine-tuned patient by patient using two healthy regions of interest for calibration of the model (68). This approach enables the computation of  $ptO_2$  maps. However, once  $ptO_2$  maps are calculated, dose modulation maps must also be computed. To do this, authors reported an equation that links dose modulation to  $ptO_2$  by incorporating the OER effect (65). Another approach used was to compute an inverted dose prescription map that can be directly imported into the RT TPS without any modifications (71). To the best of our knowledge,

these dose modulation maps have never been proposed for brain tumors.

To conclude, the adaption in clinical practice of both DPBC and DPBN to address tumor hypoxia remains to be validated before becoming a clinical routine.

### Intensity-Modulated Radiation Therapy

In GBM, the standard RT dose prescription is 60 Gray, in 1.8–2 Gray daily fractions, administered 5 days per week for 6 weeks. However, radioresistance is almost constant, inevitably leading to subsequent tumor relapse (72). RT dose escalation is one of the avenues of research being explored to improve local control (73). Because GBMs are infiltrative, diffuse, and often diagnosed late, these usually require irradiation of large volumes encompassing normal brain tissue. Thus, increased doses may potentially lead to unacceptable radiation-induced toxicities (edema, inflammation, necrosis, etc.) and severe sequelae.

Several methods have been identified to overcome the dose-limiting tolerance of the brain, especially in the era of constant technological medical advancements. The improved resolution of MRIs allows better visualization of the brain anatomy and, in consequence, a more accurate delineation of organs at risk (OARs). Furthermore, newer RT planning techniques such as intensity-modulated radiation therapy (IMRT) make dose painting feasible. Compared to 3-D conformational radiotherapy (3D-CRT), IMRT allows highly conformal dose distributions of X-rays in target volumes with low levels of radiation to the surrounding normal tissues (74) (Figures 1–4). Using IMRT, very steep dose gradients in tumor subvolumes without unacceptable increased doses to OARs are achievable (53, 63, 64, 75).

Boron neutron capture therapy (BNCT) is another way to enhance the dose delivery in the tumor while preserving surrounding tissues. It can be done by boron administration into the tumor via the intravenous route or by perioperative intratumoral injection. BNCT relies on epithermal neutrons, which below 10 keV are not toxic to healthy tissues. Excellent spatial distribution is, however, critical due to their lack of spatial selectivity, with depth distribution profiles like photons but with a 3-fold biological efficacy, which can thus turn into a drawback if

not targeted properly. Also, obtaining only a low energy spectrum of neutrons (below 10 keV to protect healthy tissues) can be quite challenging, and specific equipment has been designed that might only be adequate for superficial tumors (10 cm deep). Recent approaches suggest that proton and carbon ion beams could also be used to produce epithermal neutrons at the site of boron capture within the tumor (76–80). Thus, the need for specific neutron therapy machines, which are likely inadequate for the treatment of deep-seated tumors, might be surpassed by the use of proton and carbon ion accelerators. BCNT techniques are being investigated by a few teams worldwide, mostly in Japan and Sweden.

While being relevant from a radiobiological point of view, the concept of HIGRT has not entered clinical routine utilization, with some limiting factors being the difficulties tied to OER modeling,  $ptO_2$  mapping, and evolution of hypoxia during the course of RT.

## REOXYGENATION STRATEGIES TO IMPROVE RT EFFICACY

### Reoxygenation During the Course of RT

The adaptation of RT based on hypoxia imaging also raises some questions about the evolution of hypoxia during the course of RT. Tumor reoxygenation is a phenomenon wherein cells that are hypoxic before RT become oxygenated during or after RT (81). For example, in head and neck cancers, it was recently published that during the course of RT, tumor hypoxia decreases (82). In this review, authors also discuss oxygenation in various tumor types, namely, lung, cervical, and rectal carcinomas. For these tumors, a decrease in hypoxia was likewise seen during RT. Thus, existing OER models do not incorporate variations of a tumor's radiosensitivity or reoxygenation during the course of treatment.

Rapid reoxygenation affects acutely hypoxic cells, while slow reoxygenation affects chronically hypoxic cells. These two processes may provide specific windows of opportunity. The RT fraction should be delivered when tumor reoxygenation is expected to be at its maximum so as to optimize the OER. Consequently, the HTV may not be spatially fixed over time, and a single pre-treatment PET may not be pertinent, especially for adaptive RT (83). PET scans may be repeated (over 5–7 days) to monitor hypoxia dynamics during RT (84). To this aim, numerous studies, mostly in head and neck cancers, have been published (82). Consistent with the reoxygenation model, results show that PET hypoxia uptake decreases during RT (82). Increasing PET uptake during RT has been correlated with loco-regional failure (85–87); however, disappearance of hypoxia was not correlated with better prognosis (88, 89).

With regard to the reproducibility of intratumor uptake among repeated scans during RT, results are ambiguous, with a study reporting highly reproducible uptake (90) and another reporting high uptake variability (51). Nevertheless, repeat imaging during the course of treatment might improve measurements (83). It is clear that further work is required to understand the spatio-temporal intratumor distribution of radiotracers before and during RT.

## External Reoxygenation Strategies

New radiosensitizing drugs and radio-enhancing nanoparticles may be delivered into the tumor to improve oxygenation. Among the radiosensitizers, some have been designed so as to overcome the effect of hypoxia by inducing reoxygenation of the tumor [reviewed in Graham and Unger (91)]. Of these, fluorochemicals can dissolve considerable amounts of oxygen and could be considered to deliver oxygen through passive diffusion in hypoxic regions. As an example, NVX-108 is a radiosensitizer composed of dodecafluoropentane (DDFP) exhibiting 200 times the oxygen carrying capacity compared to human hemoglobin (92), that demonstrated its promise in preclinical studies, with a clinical study ongoing for GBM.

Breathing of oxygen under normobaric or hyperbaric conditions has also been investigated. As discussed by Graham et al. hyperbaric oxygenation has an overall positive effect on RT but has not been adapted and remains to be validated as standard treatment. To further improve the reoxygenation, the use of carbogen has been proposed for GBM. However, overall results were unsatisfactory, and we recently demonstrated using advanced MRI that this failure was attributable to facilitated reoxygenation in the normal brain relative to the tumor (93).

In endogenous reoxygenation or external reoxygenation strategies, one can observe that hypoxia remains highly dynamic during the course of treatment. This reinforces the need for accurate imaging strategies that quantify temporal variations in tumor hypoxia to be able to adapt the RT regimen based on the hypoxic component of the tumor.

## INNOVATIVE RADIATION THERAPY MODALITIES TO OVERCOME HYPOXIA-INDUCED RADIORESISTANCE IN GBM

The efficacy of photon-based RT critically depends on the presence of molecular oxygen. To achieve higher equivalent doses into the tumor, hadrontherapy such as proton therapy has also been proposed, advantageous due to its better spatial distribution and normal tissue sparing (and thus potential for accurate dose escalation). Carbon ion therapy is also a promising option, representing an increase in the biological efficacy of RT by a factor of 3 to 4 relative to photons, thus potentially overcoming radioresistance and achieving better tumor control while sparing healthy tissues.

### Proton Therapy

The depth dose distribution of a proton beam, represented by the Bragg peak, can be used to reduce radiation exposure of healthy tissues beyond the tumor (94) (**Figure 4**). These properties are particularly relevant to pediatric malignancies and benign/low-grade intracranial tumors. However, GBMs are rapidly progressive, poorly limited tumors. Thus, proton therapy should be used carefully to avoid marginal misses, with careful monitoring of tumor volumes over the weeks of RT. The process of rescanning, and replanning if necessary, is called adaptive RT. Provided that such caution is employed, proton therapy may be used to perform dose escalation. Proton therapy has a relative

biological effectiveness (RBE) relative to high-energy photons of 1.1. Thus, protons are 10% more biologically efficient than high-energy photons. Although the OER of protons is similar to that of photons, the increased RBE might partially counteract the radioresistance of hypoxic areas. A dosimetric study indicated that for a subpopulation of patients with GBM, at least 90 Gray RBE (Gy RBE) could be delivered to the tumor with proton therapy, with only small volumes of normal brain structures receiving more than 70 Gy RBE. In a phase I–II proton therapy-based dose escalation study by Mizumoto et al. patients received photon-based RT or 250 MeV proton therapy (50.4 Gy RBE in 28 fractions) to a large tumor volume with a concomitant proton therapy boost (23.1 Gy RBE in 14 fractions) to MRI gadolinium-enhanced areas, which included hypoxic zones (95, 96). Overall, patients received a total dose of 96.6 Gy RBE in 56 fractions. The 1- and 2-year overall survival rates were 78% (95% CI, 61%–95%) and 43% (95% CI, 23%–63%), respectively, with a median survival of 21.0 months (range, 5.5–81.0 months; 95% CI, 16.1–25.9 months). This proof-of-concept study shows an overall survival gain of 6 months in comparison with historical series, but results have yet to be reproduced.

## Carbon Ion Beam Irradiation

Carbon ions have, to an even higher degree, the spatial selectivity of protons and can exhibit a very high LET of  $\sim 100$  keV/ $\mu\text{m}$ . Carbon ions are densely ionizing, releasing their energy in a constant and very close manner, contrary to photons or protons. They possess, physical doses being equal, a higher RBE (around 3), as they more likely interact with DNA and produce complex damage that is difficult or impossible to repair (97). This direct effect of carbon ions is less influenced by the presence of oxygen. OER values of hypoxic cells are, respectively, 1.5 for high-LET ions and 3.0 for X-rays. For a similar effect in hypoxic conditions, the dose needed for conventional RT is three times higher than in normoxic conditions, but such increase in dose is not achievable without compromising OAR dose limits. For carbon ions, the 1.5 $\times$  increase needed is achievable. Preclinical studies have reported that accelerated heavy ion particles may have an advantage over X-rays in overcoming GBM radioresistance (98, 99). A phase I–II study combined 50 Gy X-ray RT with chemotherapy, followed by a carbon ion boost in the contrast enhancing region with doses from 16.8 to 24.8 Gray (RBE) (100). For the 32 GBM patients included, the median survival time was 17 months and reached 26 months for the high-dose group, with dose escalation having a significant impact. In line with these results, the randomized CLEOPATRA trial compares low- and high-LET irradiation in GBM patients (101).

## Spatial Fractionation, Hypofractionation, and Flash Dose

Alternative approaches also include modulation of radiation delivery to deliver tumoricidal doses to large volumes, using

adaptations that allow an enhanced differential effect between normal tissues and the tumor. Spatial fractionation has been identified as a promising approach to such aim. This is particularly relevant to GBMs because of the large volumes irradiated and the radiosensitivity of the brain (102–104). Specific devices are being designed and adapted on various types of treatment machines using different radiation modalities, including synchrotron radiation, very-high-energy electrons, and proton beams (either double scattering with a grid or with modified pencil beam scanning).

Hypofractionation has been originally defined as the use of doses above 2.5 Gy per fraction. However, the concept of hypofractionation has now been extended to very high doses per fraction using photon-based stereotactic irradiation. Fraction doses commonly use 3 times 20 Gy (in lung cancers) but may even use 90 Gy in a single fraction for conditions such as trigeminal neuralgia. An extension of the concept is a flash (ultra-high) dose that combines hypofractionation with a very high dose rate (105, 106). Animal models have consistently shown excellent skin sparing and tumor response equivalent to standard regimens (107, 108).

## CONCLUSIONS

It is widely accepted that hypoxia is a poor prognostic factor in GBM. Among the key effects of hypoxia, radioresistance is a promising and potentially actionable factor. Imaging offers the opportunity to map tumor hypoxia or oxygenation before and during the course of RT and consequently opens an avenue for treatment adaptation. These adaptations can be by modulating doses based on  $\text{ptO}_2$  and OER measurements, by introducing reoxygenation strategies in combination with conventional RT, or by adapting the RT techniques. All these developments require accurate characterization of hypoxia. In this review, we argue that while various strategies are being developed, at present, PET remains the most relevant strategy with the most evidence.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# Rhenium-188 Labeled Radiopharmaceuticals: Current Clinical Applications in Oncology and Promising Perspectives

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Rhenium-188 (<sup>188</sup>Re) is a high energy beta-emitting radioisotope with a short 16.9h physical half-life, which has been shown to be a very attractive candidate for use in therapeutic nuclear medicine. The high beta emission has an average energy of 784 keV and a maximum energy of 2.12 MeV, sufficient to penetrate and destroy targeted abnormal tissues. In addition, the low-abundant gamma emission of 155 keV (15%) is efficient for imaging and for dosimetric calculations. These key characteristics identify <sup>188</sup>Re as an important therapeutic radioisotope for routine clinical use. Moreover, the highly reproducible on-demand availability of <sup>188</sup>Re from the <sup>188</sup>W/<sup>188</sup>Re generator system is an important feature and permits installation in hospital-based or central radiopharmacies for cost-effective availability of no-carrier-added (NCA) <sup>188</sup>Re. Rhenium-188 and technetium-99m exhibit similar chemical properties and represent a “theranostic pair.” Thus, preparation and targeting of <sup>188</sup>Re agents for therapy is similar to imaging agents prepared with <sup>99m</sup>Tc, the most commonly used diagnostic radionuclide. Over the last three decades, radiopharmaceuticals based on <sup>188</sup>Re-labeled small molecules, including peptides, antibodies, Lipiodol and particulates have been reported. The successful application of these <sup>188</sup>Re-labeled therapeutic radiopharmaceuticals has been reported in multiple early phase clinical trials for the management of various primary tumors, bone metastasis, rheumatoid arthritis, and endocoronary interventions. This article reviews the use of <sup>188</sup>Re-radiopharmaceuticals which have been investigated in patients for cancer treatment, demonstrating that <sup>188</sup>Re represents a cost effective alternative for routine clinical use in comparison to more expensive and/or less readily available therapeutic radioisotopes.

**Keywords:** bone pain palliation, oncology, peptides, radioembolization, radionuclide therapy, radiopharmaceuticals, Rhenium-188

## INTRODUCTION

During the last decades, new radionuclide-based targeted therapies have arisen as efficient tools for cancer and inflammatory lesions treatment. They are based on the use of unsealed radioactive sources emitting  $\beta^-$  or  $\alpha$  particles, or Auger or low energy conversion electrons and aim at delivering tumoricidal ionizing radiation to tumor cells, while sparing healthy tissues (1–8). Several therapeutic radionuclides, essentially  $\beta^-$  emitters, are routinely used in clinics or actively investigated in clinical trials. Some of them are summarized in **Table 1**. Among them,  $^{188}\text{Re}$  is particularly attractive, thanks to its ideal properties [ $t_{1/2} = 16.9$  h,  $E_{\beta_{\text{max}}} = 2.12$  MeV,  $E_{\gamma} = 155$  keV (15%)] and its on-demand availability at high-specific activity through its generator mode of production.

Rhenium is the 3rd-row congener of transition metal elements in Group VIIB, after manganese and technetium, which, with its isotope technetium-99m ( $t_{1/2} = 6$  h,  $E_{\gamma} = 141$  keV), has been the workhorse of nuclear medicine for more than half a century (9–11). It has a rich chemistry, with oxidation states ranging from  $-1$  to  $+7$  and coordination numbers up to nine. Rhenium is able to complex with a variety of ligands and bifunctional chelating agents (12–16). It possesses two potentially useful therapeutic isotopes,  $^{186}\text{Re}$  and  $^{188}\text{Re}$  (1). Both can be produced non-carrier-added (nca), but  $^{188}\text{Re}$  is produced with high specific activities, thanks to its generator mode of production, while  $^{186}\text{Re}$  is essentially reactor-produced with low specific activity, but research is currently conducted on cyclotron production of nca  $^{186}\text{Re}$  (17, 18). Likewise, both possess  $\gamma$  emissions which allow for imaging and dosimetry calculations.  $^{186}\text{Re}$  has a lower  $\beta^-$  emission with a maximum tissue penetration of 4.5 mm, which is more or less half that of  $^{188}\text{Re}$  (11 mm), making  $^{186}\text{Re}$  particular suitable for treating small to mid-sized tumors while  $^{188}\text{Re}$  is a better match for larger-sized tumors. Considering half-lives,  $^{188}\text{Re}$  has a relatively short one (17 h) which restricts its use to agents with rapid target uptake and non-target tissue clearance, while  $^{186}\text{Re}$  can also be employed in targeting agents with longer biological half-lives, like antibodies. Based on chemical similarities and the availability of non-radioactive isotopes—which is not the case for technetium—rhenium has been used as a surrogate for technetium-99m to elucidate structures and mechanisms (19–21). On the other hand,  $^{99\text{m}}\text{Tc}$ -labeled radiopharmaceuticals likewise serve as a model to prepare  $^{186/188}\text{Re}$ -radiotracers using similar labeling methods (22, 23). However, despite close properties, there are notable differences in the reactivity of technetium and rhenium, particularly concerning their reaction kinetics and redox behaviors (24, 25). Perrhenate is much more difficult to reduce than pertechnetate, which is of prime importance, since this is the form obtained from the generators. This rich but difficult chemistry—which has been thoroughly reviewed recently and do not enter the scope of this review (26), coupled with the current limited availability of pharmaceutical-grade rhenium-188, may explain why  $^{188}\text{Re}$ -radiopharmaceuticals have not yet gained wide acceptance, while the use of more convenient therapeutic isotopes (simple, straightforward chemistry, and high production capacities), such as  $^{90}\text{Y}$  and  $^{177}\text{Lu}$ , is steadily

increasing. This is clearly visible when making a bibliographical search on these isotopes, combined with “clinical” research term (**Figure 1**), despite the expected considerably higher costs. There are nonetheless research groups actively working on  $^{188}\text{Re}$ -labeled compounds all over the world, aiming at demonstrating the potential clinical usefulness of  $^{188}\text{Re}$ -radiopharmaceuticals for the treatment of various benign and malignant conditions.  $^{188}\text{Re}$ , under different forms, from small labeled molecules to large antibodies, or loaded into particles, from nanosized colloids to microspheres, has been investigated in various malignant diseases. Several clinical trials are currently going in progress, and some very promising new compounds are in advanced preclinical evaluation and deserve further investigation in patients.

## $^{188}\text{RE}$ PRODUCTION

The attractive performance properties of the alumina-based  $^{188}\text{W}/^{188}\text{Re}$  generator system have been widely described (27–32). However, factors which will affect the hopeful broader use of  $^{188}\text{Re}$  in routine clinical practice include the costs and required routine reactor production of sufficient activity levels of  $^{188}\text{W}$ . These are key issues which have challenged the broader use and routine clinical introduction of  $^{188}\text{Re}$ -labeled radiopharmaceuticals. One very attractive characteristic for routine clinical use of the  $^{188}\text{W}/^{188}\text{Re}$  generator is the relatively rapid  $^{188}\text{Re}$  daughter in-growth ( $\sim 60\%$  in 24 h) following bolus elution, which means the generator can be used on a daily basis to optimize clinical use of  $^{188}\text{Re}$ -labeled therapeutic agents (**Figure 2**). The many advantages for radiotherapy with  $^{188}\text{Re}$  would be expected to maintain broad interest in the continued availability of the  $^{188}\text{W}/^{188}\text{Re}$  generator system. Unfortunately, efficient generator utilization has generally not been the case at most institutions evaluating the early stage clinical trial-based evaluation of  $^{188}\text{Re}$  therapeutic agents. The limited *ad hoc* use of the  $^{188}\text{W}/^{188}\text{Re}$  at many institutions has been often particularly inefficient, because of relatively high generator costs, discussed below. To offset these high costs, one strategy for the most cost-effective generator use, is installation of the generator at a central radiopharmacy site located in a high-density patient population area, where unit  $^{188}\text{Re}$  doses can be dispensed to surrounding clinics. Another strategy would be generator installation at specialized regional clinical centers where patients could be referred from the surrounding area. The cost-effective use of the  $^{188}\text{W}/^{188}\text{Re}$  generator is particularly attractive for use in developing countries because of the low unit dose costs generator system is effectively used (33).

## Reactor Production of $^{188}\text{W}$

The reactor production of  $^{188}\text{W}$  by double neutron capture of enriched  $^{186}\text{W}$  targets by the [ $^{186}\text{W}(n,\gamma)^{187}\text{W}(n,\gamma)^{188}\text{W}$ ] double neutron capture pathway has been demonstrated in some detail (34, 35). Naturally occurring stable tungsten isotopes are:  $^{182}\text{W}$  (26.5%),  $^{183}\text{W}$  (14.3%),  $^{184}\text{W}$  (20.64%), and  $^{186}\text{W}$  (28.43%). Since neutron capture will thus produce a variety of generally unwanted radioisotope products, isotopically enriched  $^{186}\text{W}$  ( $> 90\%$ ) is used for reactor production of  $^{188}\text{W}$ . Facilities in the U.S. (ORNL) and in the Russian Federation had traditionally

**TABLE 1** | Characteristics of important  $\beta^-$  emitters studied for radionuclide therapy.

Radionuclide	$t_{1/2}$ (days)	$E_{\beta}$ (MeV) (%)	$E_{\gamma}$ (keV) (%)	Tissue penetration range (mm)	Production method
$^{32}\text{P}$	14.3	1.71 (100)	/	8.7	Nuclear reactor
$^{47}\text{Sc}$	3.4	0.600 (32)	159.4 (68.3)	3	Nuclear reactor Cyclotron
$^{67}\text{Cu}$	2.6	0.575 (20)	184.6 (49.6) 93.3 (3) 91.3 (7.6)	2.2	Nuclear reactor Cyclotron
$^{89}\text{Sr}$	50.5	1.492 (100)	/	8	Nuclear reactor
$^{90}\text{Y}$	2.7	2.284 (100)	/	12	$^{90}\text{Sr}/^{90}\text{Y}$ generator Nuclear reactor for microspheres labeling
$^{131}\text{I}$	8	0.81 (90)	0.364 (81)	2.4	Nuclear reactor
$^{153}\text{Sm}$	1.95	0.808 (21)	103 (28.3)	3	Nuclear reactor
$^{161}\text{Tb}$	6.9	0.593 (100)	74.6 (10.2)	3	Nuclear reactor
$^{166}\text{Ho}$	1.1	1.84 (50.5)	81 (6.4)	8.7	Nuclear reactor
$^{177}\text{Lu}$	6.7	0.497 (79)	208 (11) 113 (6.4)	2.2	Nuclear reactor
$^{186}\text{Re}$	3.8	1.07 (72)	137 (9)	4.5	Nuclear reactor
<b><math>^{188}\text{Re}</math></b>	<b>0.7</b>	<b>2.118 (72)</b>	<b>155 (15)</b>	<b>11</b>	<b><math>^{188}\text{W}/^{188}\text{Re}</math> generator Nuclear reactor</b>

$t_{1/2}$  (days), radioisotope half-life in days;  $E_{\beta}$  (MeV) (%), maximum particle energy and respective decay abundance shown in parentheses;  $E_{\gamma}$  (KeV) (%), gamma ray energy useful for imaging and respective abundance in total energy emission shown in parentheses; Tissue penetration range (mm), maximum tissue penetration shown in millimeters. Bold values indicates  $^{188}\text{Re}$ .

enriched isotopes of high Z metallic elements such as  $^{186}\text{W}$ , and significant inventories of  $^{186}\text{W}$  are still available. However, the aging and expensive calutron enrichment facilities which had been operated at ORNL since the 1940's, were taken out of operation in 1998. Significant inventory levels of  $^{186}\text{W}$  are still available at ORNL, and the good news is that the ORNL isotope enrichment capability is now being re-established. A comprehensive detailed overview on the issues associated with reactor production of  $^{188}\text{W}$  was published by the International Atomic Energy Agency (IAEA) in 2010 (36). Although the availability and broad use of particle accelerators for the production of many medical radioisotopes would be expected to be considerably less expensive than reactor production, no methods have yet been described for the practical accelerator production of  $^{188}\text{W}$ .

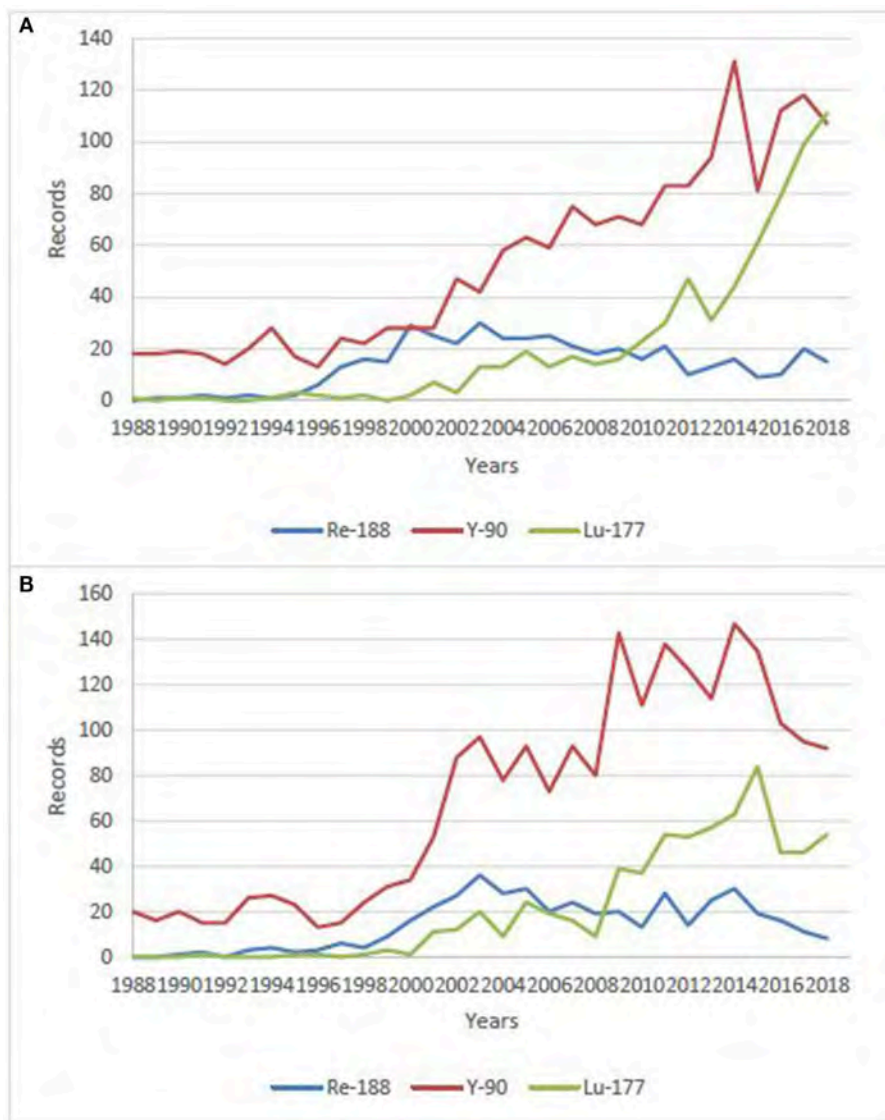
The neutron cross sections ( $\sigma$ , probability of nuclear neutron capture) for  $^{188}\text{W}$  production from neutron irradiation of  $^{186}\text{W}$  have been well studied (37). Since the thermal neutron cross section values are a function of the square of the thermal flux for such a double neutron capture process, the  $^{188}\text{W}$  product yield, for instance, is essentially doubled by a two-fold increase in neutron flux. Thus, the thermal neutron flux is an important crucial issue for production of  $^{188}\text{W}$ . For this reason, high flux nuclear reactors with thermal neutron flux values of at least  $10^{14}$  thermal neutrons/cm<sup>2</sup> are generally felt to be required for effective  $^{188}\text{W}$  production (i.e., sufficient specific activity for generator use). The  $^{188}\text{W}$  yields at these thermal neutron flux values are about 5–10 mCi/mg  $^{186}\text{W}$  target, but depend on a variety of factors regarding the reactor used.

Important factors for reactor production which are beyond the scope of this discussion include the reactor neutron flux spectrum, thermal flux values, reactor cycle, target volume capabilities, shutdown between reactor cycles, etc. The saturation

of  $^{188}\text{W}$  production and maximization of specific activity are important factors to optimize  $^{188}\text{W}$  production and processing costs. At the ORNL HFIR, for instance, two successive reactor cycles are optimal and practical for  $^{188}\text{W}$  saturation as a balance between specific activity increase and operation costs, since the down time between cycles is usually only 1 week. Another issue is the radioactive impurities which are produced as irradiation increase and which should be minimized. By many standards, these modest production activity yields and low specific activity may seem low, but in the case of the  $^{188}\text{W}/^{188}\text{Re}$  generator, these factors are significantly and practically off-set by several attractive operational parameters (38). These factors include the long  $^{188}\text{W}$  60-day physical half-life, the high routine daily  $^{188}\text{Re}$  generator elution yields of 60–80% and the very long useful  $^{188}\text{W}/^{188}\text{Re}$  operational shelf-life of several months.

### **$^{188}\text{W}$ Target Material, Irradiation, and Processing**

Because reactor irradiation costs are usually based on the target volume, the early use of low density encapsulated  $^{186}\text{W}$  targets was replaced at some institutions by use of high density pressed/sintered  $^{186}\text{W}$  targets (39), which greatly increases the  $^{186}\text{W}$  mass within the target capsule, thus significantly decreasing the costs per Ci of the  $^{188}\text{W}$  produced. Ergo, more target mass allows production of higher product activity levels. For this reason, the  $^{188}\text{W}$  has been usually produced at the following three institutions (33): High Flux Isotope Reactor in Oak Ridge, TN ( $1.8 \times 10^{15}$  neutrons/cm/sec), the SM3 Reactor in Dimitrovgrad, Russian Federation ( $3 \times 10^{15}$  neutrons/cm/sec), and the BR2 reactor in Mol, Belgium ( $1 \times 10^{15}$  neutrons/cm/sec) (40). Traditional processing of reactor-irradiated enriched  $^{186}\text{W}$  metal oxide powder targets involved caustic dissolution (41, 42). Processing of the preferred pressed  $^{186}\text{W}$  metal targets, involves initial high temperature conversion of the irradiated



**FIGURE 1** | Number of publications/year on clinical use of  $^{188}\text{Re}$ ,  $^{177}\text{Lu}$  and,  $^{90}\text{Y}$  over the last 30 years. **(A)** data from Sci-Finder, ©2019 American Chemical Society, **(B)** data from Web of Science, ©2019 Clarivate Analytics.

metallic  $^{188}\text{W}/^{186}\text{W}$  (i.e., only low percent of  $^{186}\text{W}$  atoms are activated) with the oxygen in atmospheric air using a quartz glass reaction apparatus (39). Subsequent dissolution of the  $[\text{}^{188}\text{W}]\text{WO}_2$  product with caustic provides the  $^{188}\text{W}$ -tungstate ( $[\text{}^{188}\text{W}]\text{Na}_2\text{WO}_4$ ) stock solution which is then acidified to tungstic acid ( $[\text{}^{188}\text{W}]\text{HWO}_4$ ) on an on-required basis for generator fabrication.

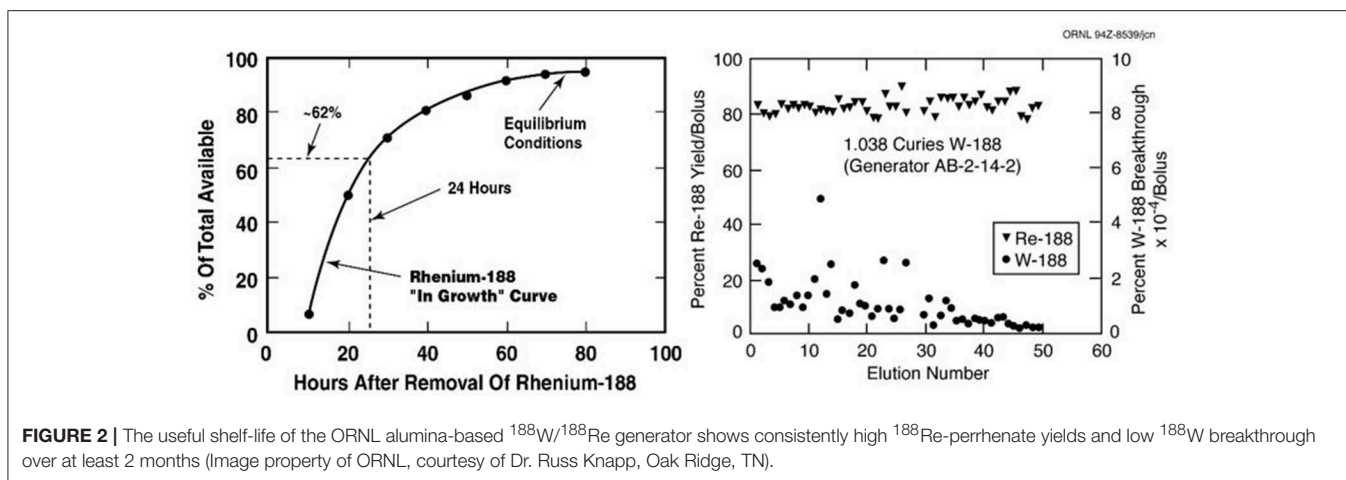
### $^{188}\text{W}$ Target Material Recovery

Because only a small fraction of the enriched  $^{186}\text{W}$  target atoms are activated to  $^{188}\text{W}$  during the reactor irradiation, once the activity levels of eluted  $^{188}\text{Re}$ -perrhenate equilibrium from the generators reach activity levels which are too low and are impractical for radiopharmaceutical preparation, the

non-activated  $^{186}\text{W}$  remaining on the generator matrix can be removed by basic elution and then reprocessed for subsequent activation (43).

### $^{188}\text{W}/^{188}\text{Re}$ Generator Fabrication and Use Generator Fabrication

Similar to fabrication of the  $^{99}\text{Mo}/^{99m}\text{Tc}$  generator, activated alumina is currently the most widely used absorbent for fabrication of the  $^{188}\text{W}/^{188}\text{Re}$  generator column (44, 45). Significant R&D has been devoted over the last three decades to the development of  $^{188}\text{W}/^{188}\text{Re}$  generator prototypes, most notably in studies supported by the IAEA. A variety of other methods have been evaluated for separation of  $^{188}\text{Re}$  from  $^{188}\text{W}$ , although detailed discussion of these strategies is beyond



**FIGURE 2 |** The useful shelf-life of the ORNL alumina-based  $^{188}\text{W}/^{188}\text{Re}$  generator shows consistently high  $^{188}\text{Re}$ -perrhenate yields and low  $^{188}\text{W}$  breakthrough over at least 2 months (Image property of ORNL, courtesy of Dr. Russ Knapp, Oak Ridge, TN).

the scope of this overview and has been reviewed elsewhere (32). As a brief summary, in addition to the use of alumina, other metal oxides, such as zirconium and titanium tungstates, nanocrystalline titania, polymeric titanium oxychloride sorbets and hydroxyapatite, have also been evaluated, and alternative methods which have been investigated for separation of  $^{188}\text{Re}$  from  $^{188}\text{W}$  include solvent extraction and electrochemistry. Evidently, these methods have not progressed further since the alumina-based  $^{188}\text{W}/^{188}\text{Re}$  adsorbent has been extensively evaluated in the clinical setting with excellent performance.

For the alumina-based generator, the processed basic sodium tungstate stock solution ( $[\text{}^{188}\text{W}]\text{Na}_2\text{WO}_4$ ) is then converted to tungstic acid by acidification with HCl to pH 2–3 and then slowly percolated through the saline-washed alumina column which is then washed thoroughly with additional saline solution.

### $^{188}\text{W}/^{188}\text{Re}$ Generator Elution

The standard alumina-based generator is eluted with saline at a slow flow-rate of typically 1–2 mL/min., with the volume based on the size of the generator (i.e., “void volume”) to insure complete removal of the  $^{188}\text{Re}$  bolus. Some institutions have instituted the use of semi- or totally-automated elution systems (46–48). These methods have helped move use of the generator forward, and are important to insure reproducible results and reduce the user radiation burden. Microprocessor-controlled detector systems have also been often incorporated for selection of only the peak  $^{188}\text{Re}$  activity volume, in order to optimize the bolus  $^{188}\text{Re}$  volume. The potential importance for use of these methods is dependent on the particular clinical application and thus the total  $^{188}\text{Re}$  activity and specific activity requirements.

### $^{188}\text{Re}$ Eluent Concentration

Because of the relatively low specific activity of reactor-produced  $^{188}\text{W}$  (typically 5–10 Ci/g  $^{186}\text{W}$ ), the mass of alumina to bind the tungstic acid solution ( $[\text{}^{188}\text{W}]\text{HWO}_4$ ) must be sufficient for irreversible  $^{188}\text{W}$ -tungstic acid binding, typically 10 grams alumina/Ci of  $^{188}\text{W}$ . In contrast, because of the very high specific activity of fission-produced  $^{99}\text{Mo}$ , only very low amounts of alumina are required for the  $^{99}\text{Mo}/^{99\text{m}}\text{Tc}$  generator system,

resulting in very high specific volume of the saline bolus eluents (mCi/mL saline). Because of the much lower specific activity of  $^{188}\text{W}$ , higher volumes of saline are thus required for elution of  $^{188}\text{Re}$  eluents, resulting in relatively low specific volumes. With high activity (5–10 Ci)  $^{188}\text{W}/^{188}\text{Re}$  generators, especially for initial use, bolus concentration is often unnecessary since the  $^{188}\text{Re}$  specific volume is adequate. However, use of bolus concentration is very important to extend generator shelf-life almost indefinitely and for use of generators fabricated with lower specific activity  $^{188}\text{W}$ .

Thus, a convenient and useful strategy for extending the  $^{188}\text{W}/^{188}\text{Re}$  generator half-life involves post-elution concentration of the  $^{188}\text{Re}$  bolus solution. Generally, all methods which have been evaluated are based on a similar strategy, focused on the separation of the eluent anions for subsequent specific trapping of the eluted  $^{188}\text{Re}$ -perrhenate. The first and currently most widely used convenient method involves a simple two-column tandem flow-through system based on the specific separation of the macroscopic levels of the chloride anions ( $\text{Cl}^-$ ) from the saline eluting solution from the microscopic levels of the eluted perrhenate anions ( $[\text{}^{188}\text{Re}]\text{ReO}_4^-$ ) (49, 50). The system, which was first described by Blower for concentrating  $^{99\text{m}}\text{Tc}$  generator eluates (51), is based on the specific trapping of the chloride anions on a silver-nitrate-based anion trapping column through which the perrhenate anions flow through and then are subsequently retained in a second anion trapping column. The perrhenate is then obtained by low volume elution of the second column, providing very high  $^{188}\text{Re}$  specific volume solutions. The increase in  $^{188}\text{Re}$  specific volume from elution of the initial of the generator column can be at least 8–10-fold. An effective similar system uses salts of weak acids such as ammonium acetate for generator elution with subsequent trapping of  $[\text{}^{188}\text{Re}]\text{-perrhenate}$  (52). Subsequently, a variety of potentially useful alternative methods have also been described (53–57).

### Availability of GMP/Pharmaceutical-Grade Generators

Of course, for both early stage through routine clinical applications of  $^{188}\text{Re}$ -labeled therapeutic radiopharmaceuticals,

GMP-manufactured generators are required, with subsequent GMP preparation of specific therapeutic agents. One previously widely used  $^{188}\text{W}/^{188}\text{Re}$  generator had been available for several years from the Oak Ridge National Laboratory (ORNL) in the U.S., which were manufactured and distributed throughout the world as a non-sterile GMP-generator. Over about a 20-year period, several hundreds of these generators had been used in both pre-clinical and for a variety of clinical applications. The GMP generators are no longer available from ORNL. More recently, IRE in Fleurus, Belgium, has begun routine production and distribution of the “Rheni Eo”  $^{188}\text{W}/^{188}\text{Re}$  generator system equipped with a GMP remote-controlled bolus concentration system. Because the reactor-production/processing/cGMP costs are not insignificant, the radiopharmacy use of the generator system and use of the eluted  $^{188}\text{Re}$  must be optimized to amortize the initial generator investment costs. In many cases through the last decades, the radiopharmacy/clinical use of these generators had not been optimized, thus resulting in unacceptably high unit  $^{188}\text{Re}$  costs.

## $^{188}\text{RE}$ -LABELED SMALL MOLECULES

[ $^{188}\text{Re}$ ]-perrhenate, due to its structural analogy with iodide (near ionic radii, identical charge), has been tested in models of cancers expressing the sodium/iodide transporter (NIS). NIS is a plasma membrane protein that mediates active iodide transport into the thyroid gland and several extra-thyroidal tissues, and notably breast cancer, which naturally expresses NIS in more than 80% of cases. Besides, NIS can be used both as a reporter and as a therapeutic gene, making it possible to image and treat the tumor with radioiodide ( $^{131}\text{I}$ ), just as in differentiated thyroid cancer (58, 59). Using  $^{188}\text{Re}$  instead of  $^{131}\text{I}$  seems to be a potential alternative (60), and has been investigated in NIS-expressing mammary tumors (61, 62), as well as prostate (63), liver (64) and cervical cancers (65), after NIS gene transfection with adenoviruses or lentiviruses. This use of a virally-directed radioisotope therapy, called radiovirotherapy, seems particularly attractive (66), but it needs to be demonstrated in patients.

Apart from this above example, to be able to deliver its therapeutic activity to the tumor cells, rhenium-188 needs to be attached to a tumor-seeking agent, either based on specific site affinity or a particular mechanism (67).

## $^{188}\text{Re}$ -DMSA for Medullary Carcinoma

DMSA (meso-2,3-dimercaptosuccinic acid) is a small molecule which exists in two forms labeled with technetium-99 m. Tc(III)-DMSA is a routinely used radiopharmaceutical useful for renal imaging, to evaluate renal structure and morphology, particularly in pediatric imaging for detection of scarring and pyelonephritis (68), while  $^{99\text{m}}\text{Tc(V)}$ -DMSA is useful for imaging medullary carcinoma of thyroid, head and neck tumors and metastasis from breast carcinoma to liver, brain and skeleton (69). It was thus logical that  $^{188}\text{Re(V)}$ -DMSA was envisaged to be useful for the treatment of the above cancers. Three isomers (syn-endo, syn-exo and anti) are formed (Figure 3). The isomeric composition may vary depending on the conditions of preparation. The complex is synthesized from the commercial kit for  $^{99\text{m}}\text{Tc}$ . Bolzati

et al. have proposed a new approach (70) for the synthesis of  $^{188}\text{Re(V)}$ -DMSA, requiring less stringent conditions. The biological properties of  $^{188}\text{Re(V)}$ -DMSA have been studied in animals and humans (71, 72). The results in patients showed a selective attachment to tumor tissues, particularly to metastatic bone cancer originating from prostatic carcinoma, similar to that of the technetium analog (73). The limiting factor for the use of  $^{188}\text{Re(V)}$ -DMSA may be its high renal accumulation, higher than the  $^{99\text{m}}\text{Tc}$ -counterpart (74), though, according to Blower et al. (73), this potential kidney irradiation should not be precluding a therapeutic or palliative use of  $^{188}\text{Re(V)}$ -DMSA.

## Bone Pain Palliation Agents

Skeletal metastases occur in ~50% of women with breast cancer, the most common cancer in women, and in 80% of patients with prostate carcinoma, the second most common cancer in men, as well as some other tumors, such as myeloma or lung cancer (75). Medullary infiltration and matrix involvement are usually associated. Tumor infiltration is directly responsible for the pain phenomenon. Approximately half of the patients will continue to have substantial bone pain after the standard surgical and/or non-radiologic treatment options are exhausted. Metabolic radiotherapy offers a therapeutic alternative that is particularly noteworthy (76–78). All localizations are treated immediately by means of a single intravenous injection. Peptide receptor radionuclide therapy (PRRT) with somatostatin analogs ( $^{177}\text{Lu}$ -octreotate) and PSMA ligands has also demonstrated its potential clinical usefulness for bone metastases arising from neuroendocrine tumors and metastatic castration-resistant prostate cancers (mCRPC), respectively, (79, 80). The idea of using therapeutic radioisotopes to treat the pain of bone metastases dates back to the 1940s. The first tests were due to Lawrence (81) who used phosphorus-32 as an orthophosphate. However, the major disadvantage of  $^{32}\text{P}$  is its high hematological toxicity related to the importance of the activity delivered to the bone marrow. For over 20 years, a wide variety of radiopharmaceuticals that can be used to deliver radiation to metastatic bone sites have been developed (82–87). Currently, four are commercially available:  $^{89}\text{SrCl}_2$  (Metastron®),  $^{223}\text{RaCl}_2$  (Xofigo®),  $^{153}\text{Sm-EDTMP}$  (Quadramet®), and  $^{186}\text{Re-HEDP}$  ( $^{186}\text{Re}$ -etidronate®).  $^{89}\text{Sr}$  and  $^{223}\text{Ra}$  are used as such because of their natural tropism for bone, mimicking the  $\text{Ca}^{2+}$  cation, whereas  $^{153}\text{Sm}$  and  $^{186}\text{Re}$  are used as phosphonates (EDTMP = ethylenediaminetetramethylene phosphonate and HEDP = hydroxyethylidene diphosphonate), which are molecules having a very strong affinity toward calcium present in the actively growing bone. To date,  $^{223}\text{RaCl}_2$  is the only one with a proven benefit on overall survival (86, 88).

In a recent review on new radionuclides for bone pain palliation,  $^{188}\text{Re}$  appears to be one of the most promising candidates (89). The first example of the use of  $^{188}\text{Re-HEDP}$  to treat patients was reported by Maxon et al. (90). The cost and availability of  $^{188}\text{Re}$  make it a radioisotope more interesting than  $^{186}\text{Re}$ . In addition, it is expected that the maximum tolerated dose by the patient is more important for  $^{188}\text{Re}$  than for  $^{186}\text{Re}$  (91) and the shorter life of  $^{188}\text{Re}$  allows to fractionate the injected doses (92–94). The comparison of the biodistribution

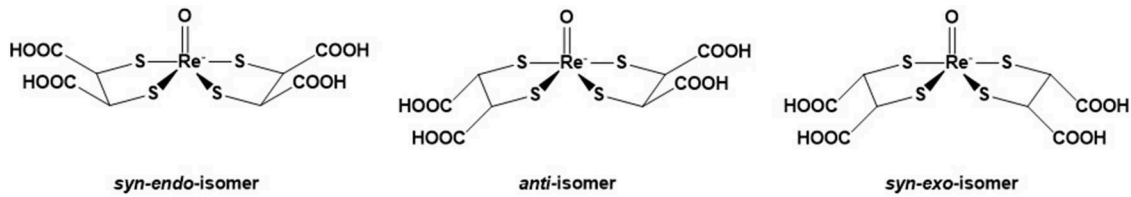


FIGURE 3 |  $^{188}\text{Re}$ -DMSA isomers.

of  $^{186}\text{Re}$ -HEDP and  $^{188}\text{Re}$ -HEDP showed an identical behavior for the two molecules (95, 96).  $^{188}\text{Re}$ -HEDP also demonstrated similar efficacy in comparative studies with  $^{186}\text{Re}$ -HEDP,  $^{153}\text{Sm}$ -EDTMP and  $^{89}\text{SrCl}_2$  (97, 98). A Phase III trial has recently started to compare its efficacy to  $^{223}\text{RaCl}_2$ , in patients with castration-resistant prostate cancer metastatic to bone (RaRe trial, NCT03458559). The maximum tolerated dose (MTD) of  $^{188}\text{Re}$ -HEDP was established to be 3.3 GBq in a dose escalation study by Palmedo et al. (91). Two other dosimetry-based studies demonstrated treatment was safe with an acceptable radiation-absorbed dose to the normal bone-marrow and no limiting hematological toxicity (92, 99). In a study with 15 patients suffering from breast or prostate cancer bone metastases (100), Liepe et al. reported pain relief in 80% of the patients, with 20% patients who were pain-free and could discontinue their analgesics. The same team later reported similar results in 27 prostate cancer patients (101). In a study on patients with lung cancer bone metastases (102), 46% of the patients were able to suspend their analgesics intake. As can be seen, tolerance and efficacy are highly dependent on the primary tumor site. In a study with 61 patients with skeletal metastases from lung, prostate, breast, renal, rhinopharyngeal and bladder cancers, pain reliefs were achieved for, respectively, 77, 80, 83, 50, 50, and 100% of the patients (103), while in another study with 64 patients with prostate, breast, lung and liver cancer (104), pain relief was reported for 84.62, 78.57, 62.50, and 55.56%, respectively. In a very recent study by Shinto et al. (105), overall response rate was 89.5% in 48 patients with metastases from different types of cancers. Results were not detailed according to the primary tumor (Figure 4). Lange et al. specifically studied the impact on quality of life, proving the routine clinical benefit of  $^{188}\text{Re}$ -HEDP therapy (106). A small study by Sabet et al. on 6 patients, failed to demonstrate the usefulness of salvage therapy with  $^{188}\text{Re}$ -HEDP for patients with progressive bone metastases after  $^{177}\text{Lu}$ -octreotate therapy (107). It has been demonstrated that combination with a radiosensitizer, like capecitabine or taxane, could prove useful and lead to increased efficacy (108, 109). There is also evidence that, compared to single injection, multiple injection could lead to improved overall survival (88, 93, 94). In their retrospective analysis, Biersack et al. reported overall survivals increasing with the number of injections (from 1 to 3), from 4.50 to 15.66 months. The ongoing RaRe trial should answer this question.

An important point in the preparation of  $^{188}\text{Re}$ -HEDP is the necessity of decreasing the specific activity by adding “cold”

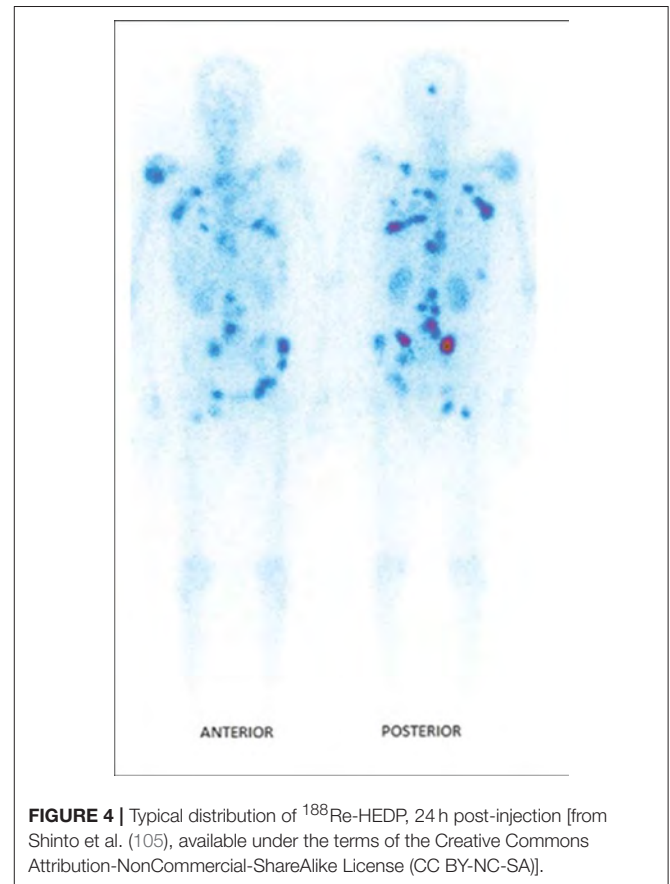


FIGURE 4 | Typical distribution of  $^{188}\text{Re}$ -HEDP, 24 h post-injection [from Shinto et al. (105), available under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike License (CC BY-NC-SA)].

rhenium (*aka* carrier) in order to have good bone fixation. Several studies have investigated the influence of the reaction conditions and kit composition on final product's stability and *in vivo* behavior (110–118). All of them pointed out that the addition of carrier was crucial. A GMP grade kit for the preparation of  $^{188}\text{Re}$ -HEDP has recently been described (119) and a standard procedure following the ICH Q8 guideline, and investigating the critical step parameters, has been reported by the same team (118).

Another bisphosphonate has recently been investigated in patients (120). In a Phase I/II trial including 63 patients,  $^{188}\text{Re}$ -zoledronic acid was compared with  $^{89}\text{SrCl}_2$ , and demonstrated similar safety profile. In terms of survival, it seems treatment was more beneficial to breast cancer patients than prostate cancer

ones, although the difference was not significant. Several other bisphosphonates and aminophosphonates derivatives have been the subject of development, but have not reach the clinic yet (121). As noted above,  $^{188}\text{Re(V)}$ -DMSA exhibited a high affinity for bone metastases from prostate cancer, but no further study was ever carried out following the one by Blower et al. (73).

## **$^{188}\text{Re}$ -LABELED PEPTIDES AND ANTIBODIES FOR HEMATOLOGICAL AND SOLID TUMORS**

Tumor cells overexpress a large range of cellular receptors not or poorly expressed by normal tissues. It is, in consequence, possible to selectively target these receptors through the use of targeting moieties with high affinity and selectivity for these receptors. For instance, antibodies targeting antigens expressed on the surface of the tumor or peptides acting as agonist or antagonist to those receptors. Radioimmunotherapy (RIT) and peptide receptor radionuclide therapy (PRRT) have demonstrated their clinical effectiveness, with some radiopharmaceuticals currently approved and a many more under clinical investigation (122–126). Best responses to RIT have been obtained with hematopoietic malignancies, in contrast to solid tumors, in spite of the delivery of somewhat low doses. This can be explained by a better vascularization, more homogenous tumor cell population and the contribution of apoptotic and immune mechanisms (127).

### **RIT With $^{188}\text{Re}$ -Labeled Antibodies**

Antibodies have long circulating times, so  $^{188}\text{Re}$ , with its short half-life, might not be the best suited radionuclide for antibody labeling, for which  $^{186}\text{Re}$ , with its 3.8-day half-life, could be more appropriate (Table 1). Nonetheless, several antibodies or antibody fragments have been labeled with  $^{188}\text{Re}$ , by direct or indirect methods (128), and investigated preclinically in a wide variety of tumors, like anti-CD52 and anti-CD66 in leukemia (129, 130), anti-CD20 (rituximab) in lymphoma (131), trastuzumab derivatives in breast, nasopharyngeal or prostate carcinomas (123–134), bevacizumab in non-small-cell lung cancer (135), cetuximab in lung cancer (136), anti-EGFR antibody h-R3 (nimotuzumab) in glioma (137), anti-CEA MN-14 antibody in gastrointestinal cancers (138), C595 (anti-MUC1) in transitional cell bladder carcinoma (139), MEM238 (IGF2R-specific) in osteosarcoma (140), mAbCx-99 (anti-Ck19 antigen) and C1P5 (targeting E6 viral oncoprotein in human papillomavirus positive cervical cancers) in cervical cancers (141, 142), Listeria-binding antibodies in metastatic pancreatic cancer (143) or melanin-binding IgG or IgM in melanoma (144). Some of them have made their way to the clinics.

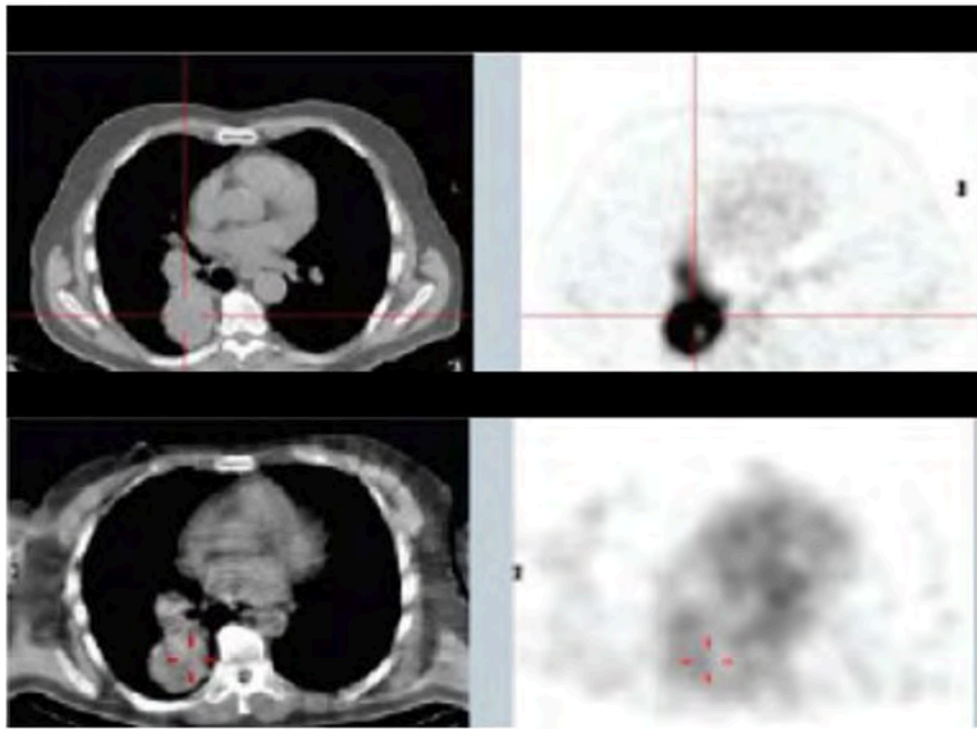
BW 250/183 [anti-CD66 (a, b, c, e) antibody], of murine origin and of IgG1 isotype, has a high affinity for the CD-66 antigen present on the cells of the granulocyte line. It is non-specifically directed against a surface glycoprotein, NCA-95, overexpressed on the membrane surface of human myelocytes and metamyelocytes. Radiolabeled with  $^{188}\text{Re}$ , it has been tested as an adjunct in marrow transplant packaging

in 12 patients with acute leukemia (145) and in 36 patients with acute myeloid leukemia or myelodysplastic syndrome (146). Initial results suggest delivery of a significant radiation dose to bone marrow and minimal toxicity, demonstrating its potential clinical interest prior to bone marrow transplantation. Indeed, injection of radiolabeled antibodies maximizes immunosuppression in the marrow while avoiding extramedullary adverse effects (147). A phase I/II study was of particular interest in patients over 55 years of age with a high risk of acute leukemia (148, 149). Nevertheless, one of the main complications is the appearance of transplantation-related toxicity (150) and particularly nephropathies (151). To minimize its adverse effects, the use of ACE inhibitors, angiotensin receptor blockers or forced diuresis is recommended (152). A Phase II study demonstrated that combination of  $^{188}\text{Re}$ -radioimmunotherapy with reduced-intensity conditioning was feasible and effective (149), but that dose-reduction of alemtuzumab did not impact overall and disease-free survival (152).  $^{188}\text{Re}$ -RIT has also been investigated in patients with non-Hodgkin's lymphoma, using  $^{188}\text{Re}$ -rituximab (131). Preliminary dosimetric results indicate it could compare favorably with  $^{131}\text{I}$ -rituximab.

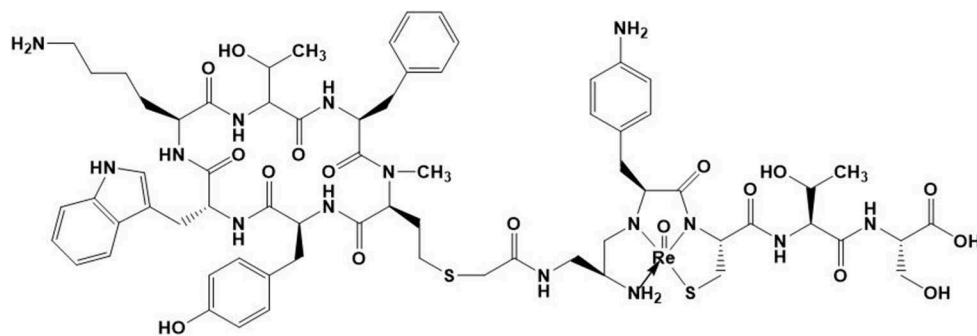
A study by Juweid et al. investigated the use of  $^{188}\text{Re}$ -labeled antibodies in solid tumors such as gastrointestinal or pancreatic cancer (138). They used an antibody of murine origin, MN 14, directed against the specific CEA epitope. Their results showed that the stability of the selected antibody was not the most suitable especially in patients with weak CEA expression and low tumor burden. The presence of a tumor that is too large and poorly vascularized decreases the therapeutic efficacy given the slow biodistribution of the antibodies. The authors proposed to develop more stable compounds *in vivo* using multi-step delivery system, to use bivalent antibodies or antibody fragments. However, the use of antibody fragments could increase the dose delivered to the kidneys. It would then be advisable to use cationic amino acid infusions to prevent these adverse effects. Another way to maximize the dose to the tumor while sparing healthy tissue is to administer radiolabeled antibodies locoregionally, or directly into the tumor cavity (153). This is the case of nimotuzumab radiolabeled with rhenium-188 in the management of high-grade gliomas in adults (154, 155). Indeed, some patients are not eligible for complete surgical resection or irradiation of lesions by conventional radiotherapy. Therefore, an uncontrolled, open-label, clinical phase I study was conducted to evaluate the safety and maximum tolerated dose of single intracavitary administration of radiolabeled nimotuzumab with  $^{188}\text{Re}$ , in 3 patients with anaplastic astrocytoma and 8 with glioblastoma multiforme. It is a humanized monoclonal antibody of IgG1 isotype that recognizes an epitope located in the extracellular domain of EGF-R receptors. Administration of a maximum activity of 10 mCi in brain tissue showed a high tumoricidal dose with acceptable irradiation of the kidneys, liver and bladder.

In consecutive Phase Ia and Phase Ib studies (156), Klein et al. demonstrated that  $^{188}\text{Re}$ -6D2, a radiolabeled IgM targeting melanin, was well tolerated, localized in melanoma metastases





**FIGURE 5** | Patient from Phase Ia study with mediastinal and lung metastases: top panel— $^{18}\text{F}$ FDG PET/CT 10 days before the study, lower panel—SPECT/CT of  $^{188}\text{Re}$ -6D2 mAb at 2 h after injection [from Klein et al. (156), available under the terms of the Creative Commons Attribution (CC BY)].



**FIGURE 6** | Structure of  $^{188}\text{ReO}$ -P2045.

(Figure 5), and had antitumor activity, with a median overall survival of 13 months and no dose-limiting toxicities. The advantage of targeting melanin instead of ordinary antigens is that, in rapidly growing melanoma tumors, cell necrosis releases melanin into the extracellular space where it can easily be targeted (157). Moreover, melanin is insoluble, resistant to degradation, and can be expected to accumulate in targeted tissues.

Some other really intriguing potential applications of  $^{188}\text{Re}$ -labeled antibodies, but falling out of the scope of this review, have been proposed by Dadachova's team. They aim at treating infectious diseases, such as microbial or fungal infection (158, 159) or HIV (160).

## PRRT With $^{188}\text{Re}$

Peptides have several advantages over antibodies such as low immunogenicity, rapid penetration in the target tissue and clearance from plasma and non-target tissues. Moreover, due to the relatively short half-life of  $^{188}\text{Re}$  and the long circulating time of antibodies, radiolabeling peptides might be more suitable. Research on the labeling of peptides with  $^{188}\text{Re}$  has been very active, either on the search for the ideal chelating system (161) or on the quest for the analog having the highest affinity and stability (162, 163). A number of peptides have been radiolabeled with  $^{188}\text{Re}$ , mainly somatostatin derivatives (164–168). Other considered targets include gastrin releasing peptide receptor

(GRPr) with bombesin (169) or GRPr-antagonist RM26 (170),  $\alpha_v\beta_3$  integrin (169), NK1 receptors, with Substance P (171), HCC with SP94 peptide (172), VEGFR (173) or GRP78, a specific cancer cell-surface marker (174). Much work has also been done on targeting melanoma, either through melanin or melanocortin-1 receptor (MC1-R) (162, 175, 176).

There is, to date, however only one  $^{188}\text{Re}$ -labeled peptide that has been clinically investigated. It is  $^{188}\text{Re}$ -P2045 (Figure 6), an 11-amino acid peptide derived from  $^{99\text{m}}\text{Tc}$ -P829 (depreotide) targeting SST receptors, which has been studied in patients with advanced pulmonary cancer (177). 5 of the 8 patients had stabilized disease for at least 8 weeks, and median overall survival was 11.5 months. Nevertheless, this study has shown a dose delivered to the kidneys that can cause irreversible damage, which prevented further escalation. This renal toxicity can occur in the long term without having early indicators of this failure. Future challenges for the development of radiolabeled antibodies and peptides will notably be to minimize these toxicities, in particular to minimize renal failure.

## $^{188}\text{RE}$ PARTICULATES

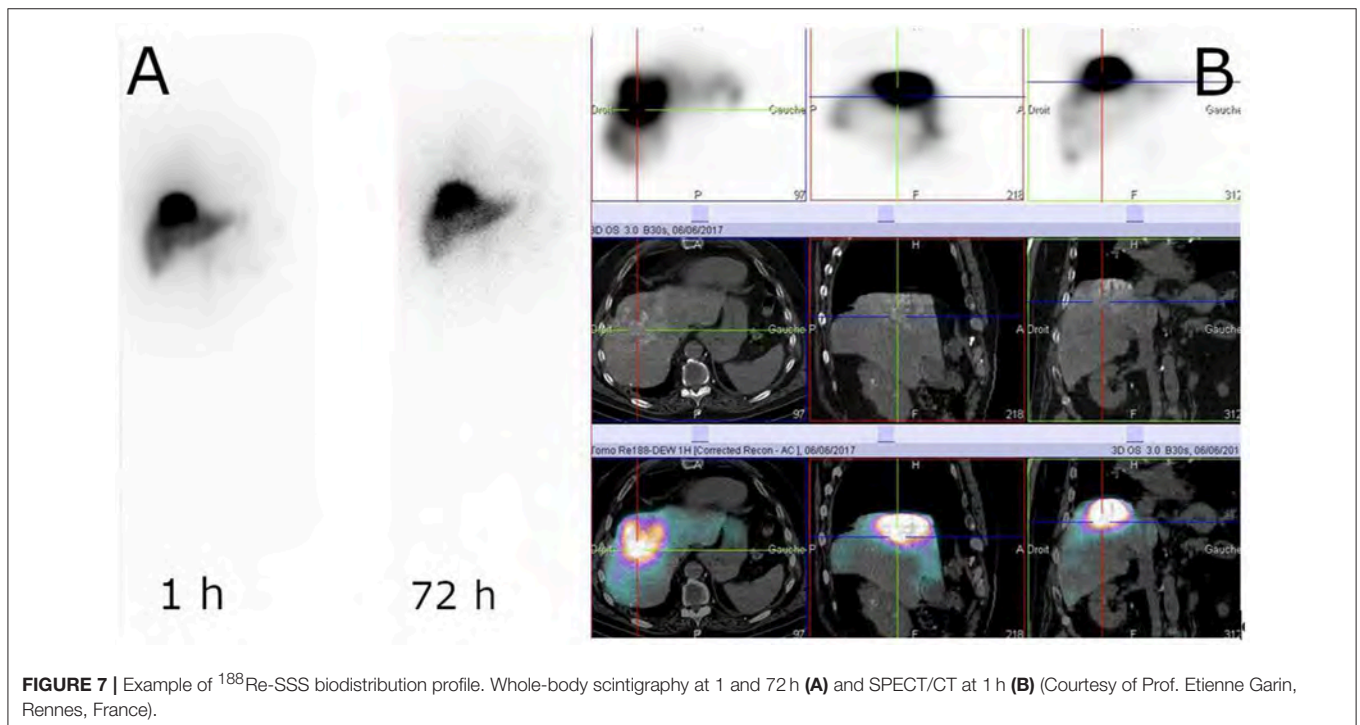
### Radiolabeled Lipiodol and Microspheres for Liver Cancers

Primary and secondary liver tumors are a major cause of death, and their incidence is increasing. Among them, hepatocellular carcinoma (HCC), the major primary liver cancer, often appears on an underlying disease (fibrosis, cirrhosis) and is usually detected late, with a curative treatment which therefore can only be proposed to a small minority of patients. Taking advantage of the dual blood supply and rich vasculature of the liver, transarterial radioembolization (TARE) with radiolabeled

Lipiodol or microspheres has demonstrated its interest for the management of HCCs at intermediate to advanced stages and intra-hepatic metastases (178–180). Notably, two  $^{90}\text{Y}$ -microspheres devices (SIR-Sphere<sup>®</sup> and TheraSphere<sup>®</sup>) have been successfully used for ~2 decades, and have been recently FDA-approved. Thanks to its on-site availability, and to its low-energy gamma-emission authorizing imaging,  $^{188}\text{Re}$  represents a potential alternative to  $^{90}\text{Y}$ .

### Radiolabeled Lipiodol

There has been very active research on radiolabeling of Lipiodol with rhenium-188 (181). Three different  $^{188}\text{Re}$ -chelates are currently evaluated for the preparation of clinical  $^{188}\text{Re}$ -labeled Lipiodol, i.e.,  $^{188}\text{Re}$ -HDD (182),  $^{188}\text{Re}$ -N-DED (183) and  $^{188}\text{Re}$ -SSS (184), most clinical studies being carried out with the first one (185–198).  $^{188}\text{Re}$ -Lipiodol has been investigated in several early phase feasibility studies in non-operable HCC, with patients with advanced cirrhosis (189), or with extensive portal vein thrombosis (191), in second-line therapy to manage recurrences after a curative treatment (192, 193) and to stabilize patients on the liver transplant waiting list (190). To assess the maximum tolerated dose, several dose-escalation studies have been carried out (183, 186, 194, 199). The main at-risk organs are the lungs and healthy liver. In the frame of a Coordinated Research Project funded by the IAEA (200), Phase I (186) then Phase II (196) trials were undertaken in several countries. The overall results demonstrated favorable responses and potential usefulness of  $^{188}\text{Re}$ -Lipiodol for the therapy of HCC, which is now almost routinely used in several centers in India. One limitation of these studies is that, except the IAEA-sponsored trials, all of them included a very small number of patients, making it difficult to be conclusive. More trials, including larger cohorts of patients,



are warranted. Another limitation, specifically with  $^{188}\text{Re}$ -HDD, is the low labeling yields and high urinary excretion (more than 40% at 72 h) (198). The next generation compounds, such as  $^{188}\text{ReN-DEDC}$  and  $^{188}\text{Re-SSS}$ , demonstrated higher yields and higher *in vivo* stabilities (183, 199) (Figure 7). A newly developed HDD complex (201) is expected to solve the problems encountered with the previous HDD, but no clinical data are available yet.

### Radiolabeled Microspheres

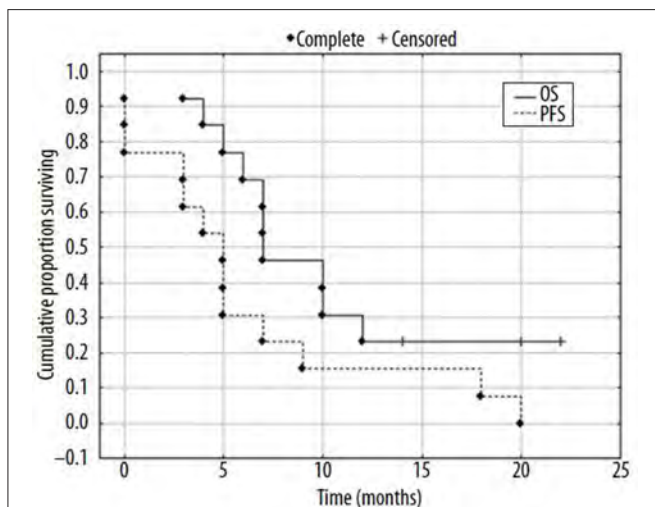
Different materials have been investigated for the preparation of  $^{188}\text{Re}$ -microspheres (202–205), but, to date, only human serum albumin (HSA) microspheres have made their way to the clinic. One advantage of HSA is that it is an approved drug, with  $^{99\text{m}}\text{Tc-HSA}$  routinely used in nuclear medicine centers. Two feasibility studies, with patients suffering from HCC or metastatic tumors from various origin, have been published (206, 207). Both studies demonstrated a high product stability, with a low urinary excretion (208), and good tolerance, with acceptable toxicity. In the first study, 2 patients out of 10 demonstrated a partial response (PR) at 3 months, while, in the second one, 5 out of 13 had a PR (Figure 8). These encouraging studies included a small number of patients, with heterogeneous tumors. Larger cohorts are mandatory to be able to conclude on the usefulness of this device.

### Radiocolloids and Liposomes

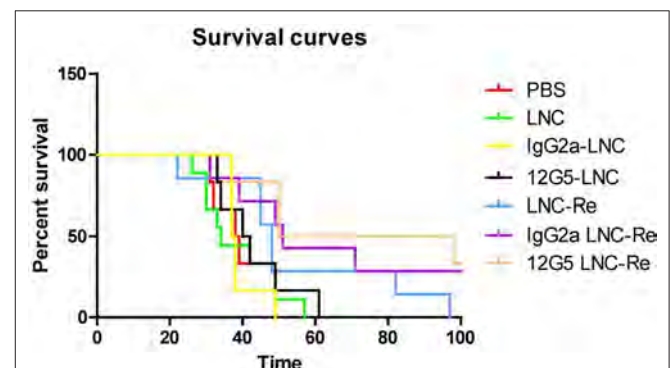
An alternative route to target and deliver radioactivity into close contact with tumors that are spread out over the serous membrane of cavities and to tumor cells present in the malignant effusions, is to inject the radiopharmaceutical directly into these cavities, as exemplified above with RIT. Intracavitary radionuclide therapy can be applied to the pleural, pericardial and peritoneal cavities, intrathecally and also into cystic tumors.

For this purpose, radiolabeled colloids have been proven safe and effective (209), but most of the research conducted with  $^{188}\text{Re}$  has been preclinical. Melanoma-bearing mice have been treated with intra-peritoneal injection of  $^{188}\text{Re}$ -colloids, leading to an increased survival of the treated animals compared to control group (210).  $^{188}\text{Re}$ -microspheres embedded in a fibrin glue gel have been proposed as a potential adjuvant treatment to be applied in the tumor bed immediately after resection of glioblastomas (211).  $^{188}\text{Re}$ -loaded lipid nanocapsules demonstrated outstanding efficacy in rat glioblastoma models, after convection-enhanced delivery into the tumor, with a significant increase in the survival and induction of an immune response (212–214) (Figure 9). A Phase I/II study is expected to start soon. A radiobiological study by Hrycushko et al. aimed at demonstrating the potential usefulness of  $^{188}\text{Re}$ -loaded liposomes to prevent recurrence after surgical resection of breast tumors. Based on biodistribution results in rats, dose distributions were modeled and radiobiological indexes determined, following direct injection of  $^{188}\text{Re}$ -liposomes into the lumpectomy cavity (215, 216). The same group also carried out a similar work with head and neck squamous cell carcinoma, following direct intratumoral infusion of  $^{99\text{m}}\text{Tc}$ -labeled liposomes (217, 218). These theoretical results would need to be confirmed *in vivo*. There is currently a clinical trial running in Taiwan, on  $^{188}\text{Re}$ -liposomes in patients with primary solid tumor in advanced or metastatic stage (NCT02271516). To date, only preliminary results have been published (219). One patient with advanced serous ovarian adenocarcinoma and one patient with endometrioid ovarian adenocarcinoma were treated twice with intraperitoneal injection of  $^{188}\text{Re}$ -BMEDA-liposome, leading to a decrease of cancer antigen 125 in serum, used as a biomarker of treatment response, and a longer than expected survival. The completion of the trial is thus expected to confirm these results.

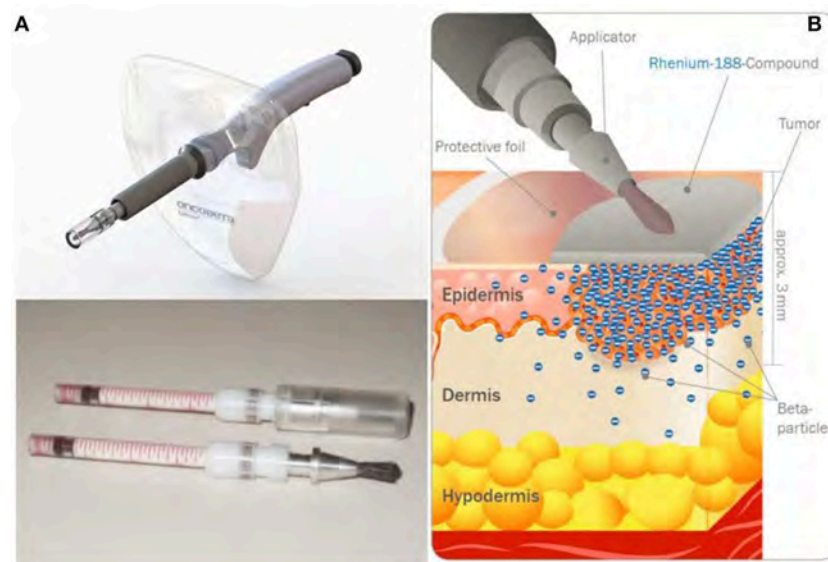
Another intracavitary application of  $^{188}\text{Re}$  and other  $\beta$ -emitter-labeled radiocolloids is the radionuclide treatment of benign diseases by intra-articular injection in cases of persistent



**FIGURE 8** | Kaplan-Meier surviving curves for patients ( $N = 13$ ) after radioembolization of liver tumors with  $^{188}\text{Re}$ -HSA microspheres [from Nowicki et al. (207), available under the terms of the Creative Commons Attribution Non Commercial-No Derivs 3.0 (CC BY-NC-ND 3.0)].



**FIGURE 9** | Kaplan Meier curves of mice treated with saline solution (PBS), blank LNC, immuno-LNCs (12G5-LNCs and IgG2a-LNCs) and internal radiation therapies (LNC $^{188}\text{Re}$ , IgG2a-LNC $^{188}\text{Re}$ , and 12G5-LNC $^{188}\text{Re}$ ) after single infusion through convection-enhanced delivery into CXCR4-positive brain tumors [from S  h  dic et al. (214), available under the terms of the Creative Commons Attribution Non Commercial 4.0 (CC BY-NC 4.0)].



**FIGURE 10** | Rhenium-SCT device developed by OncoBeta<sup>®</sup> GmbH (Garching, Germany). Applicator and dispensing carpoules filled with <sup>188</sup>Re-cream **(A)** and illustration of the principle **(B)** (Courtesy of Dr. Shannon Brown III, OncoBeta<sup>®</sup>).

synovial effusions due to rheumatoid arthritis and other inflammatory joint disease (220).

### Brachytherapy of Skin Cancers

A particularly original and attractive treatment modality using <sup>188</sup>Re-particulates is the use of <sup>188</sup>Re-colloids within a brachytherapy device for skin cancer treatment. Radioactive patches made of nitrocellulose filter paper loaded with <sup>188</sup>Re-tin colloids were developed by Jeong et al. (221). This method was successfully used in patients with keloids, a benign dermal fibro proliferative tumor, and non-melanoma skin cancers (222, 223).

An alternative device embeds radiocolloids inside a mix of synthetic acrylic co-polymers inert matrix, and tensioactives, and has been investigated in patients with basal and squamous cell carcinomas (224). Fifty-three patients with histologically confirmed basal cell carcinoma (BCC) or squamous cell carcinoma (SCC) were treated. Three months later, complete healing was obtained in 100% of the treated patients; even after a single application in 82% of the cases. After a mean follow-up of 51 months, no clinical relapses were observed in the treated patients, and histological examination confirmed complete tumor regression. The inert matrix containing the <sup>188</sup>Re is able to adapt to every skin surface without contamination, imparting an accurate distribution of dose and sparing the healthy tissue. The technology was further improved, and, in a more recent study (225), 29 BCC and 14 SCC patients were treated. One patient was lost to follow-up before wound closing, but wound healing was complete for all other 42 patients (average 65 days), with no side effects to be reported. During the period of follow-up (average 288 days), no single recurrence occurred. This <sup>188</sup>Re-cream can be deposited through a CE-labeled applicator (**Figure 10**), now commercially available under tradename Rhenium-SCT<sup>®</sup> (Skin

Cancer Therapy), from OncoBeta<sup>®</sup> GmbH (Garching, Germany) and this system is routinely used in Italy and South-Africa, where it is an approved therapy for the treatment of BCC and SCC, including Bowen's disease, in patients with comorbidities, when surgical intervention is not possible or conventional therapies cannot be expected to provide a satisfactory cosmetic result due to the anatomical location. This treatment modality is particularly interesting when surgery is not desirable, as in the case of SCC of the penis (226). In that study, 15 patients, ranging in age from 31 to 92 years, were treated with the Re-SCT<sup>®</sup> brachytherapy kit. After one to seven different previous treatments (for multifocal lesions), 12 patients were in complete remission, 2 did not respond, and one patient was lost to follow-up, with a mean follow-up of 51 months. Most importantly, this technique was painless and spared the anatomical integrity of the organ. In addition to BCC and SCC, this method was investigated in patients suffering from extramammary Paget's disease (EMPD) (227). Five patients with primary or secondary EMPD were successfully treated, in one or two sessions, with a mean follow-up of 34 months. All patients showed complete remission at the end of the treatments. Four patients later had relapse, either inside or at the periphery of the treated area.

### CONCLUSION

Many clinical trials, from feasibility studies to Phase II studies, have been carried out with Rhenium-188-labeled radiopharmaceuticals and have demonstrated the feasibility and clinical usefulness of <sup>188</sup>Re-labeled radiopharmaceuticals for a wide range of pathologies, especially in oncology, but also for benign diseases. Despite the advent of more "user-friendly" radionuclides such as <sup>90</sup>Y and <sup>177</sup>Lu, <sup>188</sup>Re

still holds great promise with compounds like  $^{188}\text{Re}$ -HEDP for bone pain palliation or  $^{188}\text{Re}$ -Lipiodol for liver cancers. Large cohorts of patients are now needed for these agents to find their place within a very competitive environment, with therapies already in use. Brachytherapy of skin cancers also appears particularly attractive, with no direct concurrent for these pathologies. Besides, the development of new  $^{188}\text{Re}$  radiotracers, with novel, more stable, cores like tricarbonyl, HYNIC, or nitrido, should lead to molecules with more favorable pharmacokinetic characteristics. More widespread use of  $^{188}\text{Re}$ -radiopharmaceuticals will now rely on availability of fully pharmaceutical grade generators and wide clinical proofs of its interest in radionuclide therapy, particularly with the possibility of having a matched theranostic pair with  $^{99\text{m}}\text{Tc}$ .

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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# Risk Management Through an “Activity Contradictions” Lens: Exposure to Low Doses of Radiation in Nuclear Medicine

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Risk management is a major concern for health organizations. In hospitals, it concerns both medical and occupational risks, particularly those related to exposure to ionizing radiation. Medical personnel represent 70% of workers exposed to ionizing radiation. The highest doses in the order of a few mSv are recorded in nuclear medicine departments. Nuclear medicine health professionals are thus exposed, in the context of their work activity to daily low doses—though their effects remain uncertain. In the face of this uncertainty, the precautionary approach prevails in the field of radiation protection. Thus, health professionals are called upon to treat the patient while protecting themselves from exposure to low doses of radioactivity. This research aims to understand the relationship of health professionals to the risks of exposure to low doses and how they combine the logic of patient care and cure with that of self-protection. It is based on a qualitative study of two embedded cases carried out in two units of a nuclear medicine department at a university hospital, combining two data collection methods: 23 interviews with various health professionals in the department and 10 weeks of observations of the work activity of these same professionals. The analysis of the data shows the coexistence of care/cure and radiation protection logics to be a source of contradictions for nuclear medicine professionals. Analysis of the results focuses on the identification and characterization of the different forms of contradictions inherent in working in the nuclear medicine department. The results show that the intensity of these contradictions varies in line with four factors: phases (preparation, administration, patient installation, and examination); type of medical act; patient behavior and characteristics, and type of professionals. Finally, the results set out the different types of responses provided by health professionals in order to regulate these contradictions. These risk regulation strategies differ according to occupational groups and their relationship to risk.

**Keywords:** risk management, activity contradictions, nuclear medicine, care, radiation protection

## INTRODUCTION

Risk management is a major concern for health organizations. In hospitals, it concerns both medical and occupational risks, in particular those related to exposure to radioactivity. While radiotherapy accidents such as the one that occurred at Épinal Hospital (where several patients were overexposed between 2001 and 2006) have contributed to higher-profile media visibility for this type of risk

to patients, the risk associated with exposure to radioactivity also concerns hospital staff. Indeed, health professionals represent 70% of workers exposed to radioactivity, making this occupational risk a subject of interest for health organizations. Occupational exposure to radioactivity in the medical sector generally falls within the domain of low doses, for which the risks are not known (1). This is therefore a situation of uncertainty in the sense that no “causal explanation system” (2) has been established between exposure to radioactivity for doses below 100 mSv and the appearance of pathologies. However, this lack of a causal link between exposure to low doses and the appearance of pathologies does not mean that the risk does not exist. Thus, applied to the field of low doses of radioactivity, radiation protection is based on a logic of prudence and precaution since it applies to hypothetical risks (3).

In addition to these uncertainties relating to radioactive risks, nuclear medicine professionals also find themselves confronted with medical uncertainties. A number of studies in the field of medical sociology (4, 5) have highlighted the finding that uncertainty is inherent in any medical practice. This research has shown that the knowledge and techniques of medicine and doctors are only ever less than perfect, and that the result of a treatment or examination can never be known *a priori*. The existence of these medical uncertainties means that, in the benefit/risk ratio in medicine, the result is always an expected benefit. Nuclear medicine does not escape the problem of medical uncertainties that directly affect the healthcare (and thus, the medical benefits) of imaging examinations and treatments.

In addition, the implementation of radiation protection measures may lead health organizations to believe that the issue of risks associated with radioactivity is controlled. The absence of personal protective equipment (or circumvention of radiation protection rules) is then interpreted as a failure to comply with recommendations. However, this ignores the fact that social science research has long shown, first, that there is a link between risk representations and professional practices (6) and second, that these practices (perceived as deviations from the rules) reveal that in the background lies a lack of consideration of the activity as it takes place, including all of its contradictions and requirements (7). Occupational risk management based on the application of radiation protection measures thus goes beyond the sole question of technical and scientific understanding of the risk, in that it is also marked by different professional logics and the situated nature of work practices. This is why we consider occupational risk in the context of the work activity, that is, the practical accomplishment of the activity (8).

The research focuses on occupational exposure to radioactivity in the nuclear medicine sector. This is a medical specialty that includes all applications of radiopharmaceuticals for diagnostic and therapeutic purposes. However, the use of radiopharmaceuticals in imaging examinations or therapeutic procedures is a source of daily occupational exposure to radioactivity. The challenges of exposure to low doses call for an interest in the management of a hypothetical occupational risk in nuclear medicine, as distinct from the nosocomial infections that are a proven risk faced by health professionals (9). The analysis

of work activity in nuclear medicine reveals the coexistence of two potentially contradictory logics of action, namely the logic of patient care in the context of diagnostic or therapeutic medical procedures and the logic of self-protection against the possible risks associated with exposure to low doses of radioactivity. This leads us to analyze occupational risk management in nuclear medicine from the point of view of work contradictions. Thus, this research aims to answer a 2-fold question:

- 1) What are the forms of contradictions between patient care and radiation protection in nuclear medicine?
- 2) What methods of regulating these contradictions are implemented by health professionals?

In order to answer this question, the research is based on a qualitative survey conducted in two nuclear medicine units and combining semi-directive interviews with *in situ* observations (**Box 1**).

First, we show that activity in nuclear medicine gives rise to different contradictions as a result of the coexistence of the logics of patient care and of self-protection. In a second step, we describe the methods implemented by the various professional groups to regulate the contradictions that are inherent to the nuclear medicine activity. These are based on differentiated relationships to the risk associated with exposure to low doses of radioactivity. Occupational risk management is thus understood in the light of the contradictions inherent to working in nuclear medicine.

## NUCLEAR MEDICINE ACTIVITY AT THE ROOT OF CONTRADICTIONS BETWEEN PATIENT CARE AND RADIATION PROTECTION

### The Coexistence of Patient Care and Radiation Protection Logics

Occupational exposure to low doses of radioactivity in nuclear medicine requires the implementation of protection against the risks of contamination and radiation. The work of nuclear medicine health professionals is to provide care to the patient while protecting themselves from radioactivity. Health professionals are thus called upon to jointly manage both patient care and the application of radiation protection rules. In other words, the work activity in nuclear medicine consists of articulating and combining two heterogeneous logics of action, namely patient care and self-protection. Analysis of the activity reveals the coexistence of these two logics of action: “*You have to work fast as you can, and as best you can. So obviously, you have to keep in mind all the protective screening as well. You have to work with leaded shields and the shielded case - but then it's all about trying to be as efficient and as quick as you can*” (Technologist NM1).

On the one hand, the logic of patient care is characterized by the dual nature of the care activity: the cure activity (the provision of care to someone to cure a disease with the aim of eliminating it, to improve the patient's state of health), and the care activity, which is more oriented toward caring for someone

**BOX 1 | Methodological framework and survey field.**

Since the knowledge project focuses on perception of the risks of exposure to low doses and its management methods, we have opted for a qualitative research methodology that is characterized by a comprehensive approach. Indeed, as highlighted by Mays and Pope, “The goal of qualitative research is the development of concepts which help us to understand social phenomena in natural (rather than experimental) settings, giving due emphasis to the meanings, experiences, and views of all the participants” [(10), p. 43]. In opting for such a methodology, we seek to understand how actors think, speak and act in relation to a particular context (11).

We therefore opted for a case study to gain an in-depth understanding of this field of investigation (12). Since our objective was to understand the risk relationship of professionals to low-dose exposure through their radiation protection practices (13), we sought to collect data according to a number of aspects such as: locations, persons (actors) and activities (14).

The survey was conducted in two units of a nuclear medicine department of a university hospital. These two services, under the responsibility of a head of department, are located on two separate sites at the university hospital. One of the services (MN1) is specialized in nuclear medicine for therapeutic and diagnostic purposes in the field of rheumatology, endocrinology, pulmonology, and urology, and the other service (NM2) mainly specializes in diagnostic examinations in cardiology.

According to Yin (11), the objectivity of the case study is based on “multiple sources of evidence” (p. 10). There are traditionally six such sources: direct observations, interviews, archival records, documents, participant observation, and physical artifacts (e.g., computer downloads of employees’ work). It is this heterogeneity of empirical sources in qualitative research that guarantees its objectivity, because it allows data to be triangulated. Also, we used a double data collection system, combining semi-directive interviews with observation. This system is also well-suited for analyzing the meaning that actors give to their practices and the events with which they are confronted, in particular their social representations, value systems and interpretations of conflict situations, as well as the reconstruction of action processes.

Similarly, unlike a quantitative approach that aims for representativeness and thus allows statistical inference, representativeness in qualitative research is based on the criteria for selecting individuals (which is the maximum diversity of profiles with regard to the problem studied) and on the principle of saturation (which refers to the fact that, as the interviews succeed one another and reveal their lessons, the contribution made by each additional interview will be minimal). Twenty three interviews were conducted with the various categories of professionals in the nuclear medicine department (see **Appendix 1**).

The content of the interview covered: the person’s background; their role and place within the department’s activity; the characteristics of their working environment; the perception of exposure to radioactivity in the context of nuclear medicine activity; consideration of radiation protection in working practices; any difficulties encountered in implementing the various radiation protection measures and the sources of risk (socio-organizational factors impacting exposure to ionizing radiation). Other interviews with actors outside the department were also conducted with: an INSERM radiobiologist; a nuclear doctor (member of the National Academy of Medicine); a nuclear doctor and epidemiologist at IRSN; an occupational doctor, and an ASN inspector. All interviews were recorded and transcribed. To study working practices, this data collection system was supplemented by field observation (15) in the context of exposure to low doses. Indeed, the relationship to an uncertain risk and its management methods could not all be identified solely in the light of the actors’ discourse, because there may be a gap between what the actors say about compliance with radiation protection measures and what they actually do in terms of risk regulation. This may be explained by the fact that actors may be blind to their own practices (because these are totally internalized) or that some practices developed consciously by actors are difficult to verbalize. Observation allows the researcher to access representations of actors constructed from their own perceptions (16) and update the resources mobilized by actors in their practices. Thus, a total of 10 weeks of observation were carried out in the two nuclear medicine departments. The objective was to deepen understanding of work practices, particularly in the field of radiation protection, as actual work always exceeds the prescribed work. Observation makes it possible to go beyond normative discourses on the risk of exposure to low doses to grasp the meaning of the gap between doctrine and practice. Observation sequences focused on action in situations, making it possible to understand the situated nature of the practices by considering the multiple variables that constitute health professionals’ environment. In practice, it was a matter of direct observation of both actions and activity, as well as the collection of elements of informal discourse gleaned here and there, as the researcher’s presence in the field allowed. Collection of this data resulted in notes being taken in a notebook, named a “research journal” by Wacheux (17).

The data collected were then subjected to thematic content analysis (18) using N vivo to identify and analyze professional practices for regulating low-dose exposure risks (The main role of this data processing software is to help manage, format and give meaning to qualitative data).

Thus, we sought to characterize the data by mode of collection (interview or observation) and unit (MN1 or MN2). Each interview was analyzed according to the respondent’s occupational group (nuclear doctor, cardiologist, manipulator, nurse, etc.) in order to characterize the different professional logics. Then we developed a number of categories and sub-categories of analysis (19) in order to be able to code the different management situations (20), their dimensions and variability. The coding method used is both bottom-up and top-down in the sense that it is based on a combination of “*a priori* coding” from the literature and “emerging coding” that refers to categories of analysis from the field (21). The data processing made it possible to trace facts back to the general proposals.

and seeking their well-being (22). Care, then, can be understood as the management of a patient as part of a medical procedure requiring both technical-scientific work (handling machines and administering treatments) and expressive-communicative work (informing and reassuring the patient) (23). In this respect, patient care appears to be relational work, with patients and their relatives as well as between health professionals (24). Moreover, as a medical specialty that has an essentially diagnostic focus, the logic of patient care in nuclear medicine is guided by the search for “*the perfect image*,” that is, the production of a quality image, suitable for interpretation by the practitioner in order to establish a diagnosis.

On the other hand, the logic of self-protection or radiation protection seeks to limit the exposure of nuclear medicine health

professionals to radioactivity. The logic of radiation protection is based on a number of rules, such as the use of dosimeters, but also on principles such as the ALARA (As Low As Reasonably Achievable) principle. This principle implies integrating into the work activity the triptych “distance, screen, time”: distance refers to the distance from the radioactive source and the use of remote controls of the processes; screen refers to the use of leaded shields when the activity does not allow movement away from the radioactive source; time refers to the duration of exposure which must be reduced as much as possible. This demands rapid execution of gestures and movements (25). The following quotation reflects the logic of radiation protection in nuclear medicine: “*Let’s say that working in nuclear medicine, you really have to be extremely vigilant. Vigilance must be constant,*

saying to yourself all the time: is being there good or bad? And always keep in mind that as soon as you can get away from the patient, away from the source, you must do so. But then, it happens that a child needs to be held. The distance that is our first protection, is the distance from the source, from the patient being injected. You can't necessarily work from behind leaded screens. So, there are the screens, but it's already the distance and then the time, since we're going to try to inject as quickly as possible and when we need to be with the patient to do something, whatever it may be, we're going to try to be as quick as possible, so as not to stay too long with the patient" (Technologist NM1).

The coexistence of patient care and radiation protection approaches in nuclear medicine leads to contradictions in the activities of health professionals. These contradictions result from a situation of competition over objectives, in which actors are confronted with multiple and divergent objectives. Indeed, health professionals are required to provide patient care from a diagnostic or therapeutic perspective while continuously applying radiation protection principles and rules so as to limit their exposure to radioactivity, related to both the radiopharmaceutical and the patient. Occupational risk thus arises not only from the handling of radiopharmaceuticals, but also from working in the presence of the patient once the radiopharmaceutical has been administered. The patient is therefore both the object of care and a potential source of risk, as one NM1 technologist points out: "We're handling radioactivity here, it is both the product and the patients - because the patients are radioactive".

Moreover, the contradictions between patient care and radiation protection appear to be inseparable from the work activity in nuclear medicine, insofar as health professionals are confronted with a permanent risk of irradiation and contamination, which requires that radiation protection rules be considered throughout the care activity, as expressed by this radio pharmacist of NM2: "We are all exposed, if we work with ionizing radiation. So as soon as we enter the nuclear medicine department, we are all exposed, we all have a dosimetry". The risk is described, then, as inherent to working in nuclear medicine, according to an NM1 technologist: "Radioactivity is ambient, it's everywhere. Patients become sources, and that's why you can't stay too close to the patient".

## The Forms of Contradiction Between Patient Care and Radiation Protection

In addition, contradictions between patient care and radiation protection take two forms, related to the various aspects of patient care. First, there are contradictions between care activity and radiation protection, reflecting the existence of contradictions between the administration of patient care (including injection of the radiopharmaceutical or positioning the patient under the gamma camera) and the application of radiation protection rules. The following verbatim account allows us to take stock of this first form of contradiction: "The lady we saw earlier, the one with no legs, we spent a lot of time with her, beside her. So in this case we are much more exposed.

With a patient who is autonomous and everything, who is doing very well, we quickly put the tape around the chest, we put it in place, we move away. Well, on the other hand, the one with no legs, who we need to do everything for, to set everything up, we spend time with her. We're more exposed, that's for sure" (Nurse NM2).

Second, contradictions are expressed between patient care activity and radiation protection, reflecting the existence of contradictions between patient reassurance and the application of radiation protection rules, as the following verbatim account shows: "If they are a bit anxious, or a bit claustrophobic, you have to stay close, there are people who panic about the camera, about the examination. Sometimes it's the brain [patients undergoing brain scans], you stay next to them to reassure them, so they can see the examination through. To reassure them, to talk, so they can hear that there is someone right there, because it is not easy to go behind a screen, and they feel as though they are alone. So sometimes they are anxious about that, about being alone" (Nurse NM2).

The analysis also suggests that these contradictions are divided into three forms, relating to the various aspects of radiation protection. First, spatial contradictions, insofar as care implies being with the patient to provide care and reassurance, whereas radiation protection, on the contrary, requires putting the patient at a distance to protect yourself from it. This is reflected in the following verbatim account: "A child, even if they are strapped down, you still have to be a little more present. Sometimes we have to hold them, touch their cheeks, so that they stay still, to get a good image. When they are babies or children, we have to stay closer to them. We get more doses." (Technologist NM1).

Second, there are physical contradictions, since the use of radiopharmaceuticals does not always allow the complete interposition of leaded screens between health professionals and radioactive products, as shown in the words of a radio pharmacist at NM2: "In the hot lab, this is where we are likely to get the highest dose, because it's where we prepare the radiopharmaceuticals. It's at the extremities - the fingers - that we're vulnerable, because although the armored enclosure protects us at full body level, at the extremities we still have to put our hands in a lot actually, to make the preparations".

Third, there are temporal contradictions, because care requires taking the time to provide care and reassurance to the patient, whereas radiation protection requires working quickly to limit the duration of exposure to radioactivity. The following verbatim account allows us to grasp the issue of temporal contradictions: "You have to reassure them, but it's the same thing, it's a little odd, because you haven't got three hours to spend reassuring them, because your capsule is there, you know, and so what worried me was that once they had been given the capsule there were some who asked questions at that time, and you at that time have a single desire: to get away from there" (Nuclear Doctor NM2).

In short, we show that working in nuclear medicine is a source of contradictions that arise in multiple forms, related to the various aspects of patient care and to radiation protection. These contradictions, which appear consubstantial with the work activity of nuclear medicine health professionals, demand

implementation of the answers we are now endeavoring to present.

## THE REGULATION OF CONTRADICTIONS BETWEEN PATIENT CARE AND RADIATION PROTECTION IN NUCLEAR MEDICINE

### Disregarding Radiation Protection in Favor of Patient Care

Contradictions between the logics of patient care and self-protection can be managed by disregarding radiation protection in favor of care. This response to contradictions can be analyzed from the point of view of the division of labor, insofar as those who disregard radiation protection in favor of patient care are also those who are least exposed in their work activity. Indeed, patient management within nuclear medicine units is based on a division of labor between medical personnel (nuclear doctors and cardiologists) and paramedical personnel (technologists and nurses). Doctors carry out consultations and image analysis in order to establish medical diagnosis; however, they neither handle radiopharmaceuticals nor position patients under gamma cameras. Conversely, paramedical personnel proceed with injection of the radiopharmaceutical, positioning of the patient under the gamma camera and reconstruction of the images, prior to their analysis by doctors. Finally, doctors work mainly on the patient's imaged body, i.e., a representation of the body produced by the imaging technique, while paramedical professions work mainly on the patient's physical body (26). This division between work on the imaged body and work on the patient's physical body, which also refers to the separation between interpretative and productive work (27), has a direct impact on levels of exposure to radioactivity of the various professional groups in nuclear medicine. Thus, by working mainly on the patient's imaged body, exposure to radioactivity of nuclear doctors and cardiologists is relatively low, unlike paramedical personnel who work mainly on the patient's physical body. The distribution of work is therefore not only technical, but also concerns exposure to radioactivity. *"We are not exposed to very high doses in the department, at least not the way it is designed - that is, the technologists ultimately spend much more time with patients than we do. We tend to see them before they've been injected, perhaps again afterwards if they wish, but we are not exposed to very high doses"* (Nuclear Doctor NM1).

The division of labor within nuclear medicine units therefore helps clarify disregard of radiation protection in that it also corresponds to a vertical distribution of risk, in which the most irradiating activities are performed by paramedical personnel. In addition, disregard of radiation protection can also be assessed in terms of the risk profile of these actors. Indeed, both doctors and radio pharmacists are challenging the idea that any exposure to radioactivity is a potential source of risk. The existence of risks associated with exposure to low doses is thus put into perspective, as shown in the following verbatim account: *"Ultimately, we are subjected to the ionizing and deadly radiation of our products[laughs]. Ionizing yes, deadly no. Honestly, I don't have a lot of experience, I'm not going to be able to tell you stories*

*like a seasoned pro, but what we were told at our first lecture, what I heard in the workshops, whether it was true of my leaders or even of the other interns, is that on the whole, low doses are not massively risky."* (Nuclear Doctor Intern NM2).

Occupational risk is considered, then, to be low or non-existent insofar as it has not been identified. According to these actors, the uncertainty associated with exposure to low doses of radioactivity reflects the existence of a negligible risk—or even the absence of risk. The question of evidence and causal relationship appears at the heart of these two interpretations of uncertainty: *"We do have a fairly scientific culture, yet we're still awaiting papers that demonstrate the risk of low doses – or at least, any excessive risk, high enough to be taken into account - because I am slightly inclined to think that risk is a part of life, but I think we have much less risk of dying as a consequence of low doses than from getting run down on the street, or in a car accident on holiday."* (Nuclear Doctor NM2).

Disregarding radiation protection thus results in prioritization of patient protection over self-protection. As the following verbatim account shows, these actors are more inclined to implement radiation protection principles to protect patients (such as optimization to limit exposure to radioactivity as much as possible), than to protect themselves from radioactivity in the course of their professional activity. Indeed, patient protection (unlike self-protection) is considered an integral part of patient care. More generally, the disregarding of radiation protection in favor of patient care results in the prioritization of the logic of patient care over the logic of self-protection. Indeed, unlike patient care, radiation protection does not appear to be a structuring dimension of these actors' work activity. The operational rules of radiation protection are thus poorly adhered to in work practices, and this is highlighted by the following verbatim account: *"I have no significant exposure in my opinion, so dosimetry is absolutely not a concern, and neither is radiation protection, as far as I am concerned. For me, this is not a concern at all"* (Nuclear Doctor NM1).

Lastly, disregarding radiation protection in favor of patient care allows these actors to manage the contradictions between the logics of patient care and of radiation protection in that it entails removing one of the two logics of action underlying the contradictions from working practice, as shown in the following verbatim account: *"I have never stopped myself from going to move the patient, or if I see that the technologist is struggling to get them on the table, I will go. At no point will I be reminding myself not to take too long. I'm not looking to spend more or less time in the hot lab or next to the syringe or next to the patient. Later on, when you're interpreting, you're always behind the protective glass, so it doesn't make any difference. But yes, if the patient needs moving, or if I see that the syringe has been placed just behind me, I'm not going to stand aside watching someone else make the effort"* (Nuclear Doctor Intern NM2).

### Adapting Radiation Protection to Patient Care Work

Unlike doctors and radio pharmacists, paramedical actors (technologists, nurses and preparers) have to manage the



contradictions between the logics of care and of self-protection, by adapting radiation protection to patient care. This response aims to hold the two logics of action together, rather than disregarding radiation protection in favor of patient care. Indeed, paramedical actors seem to show a differentiated relationship to risk, considering that exposure to low doses is likely to have harmful effects, although these low doses are not necessarily harmful to the working group, as these words of an NM1 technologist reveal: *“I don’t often admit it, but I am a little afraid of radiation”*.

The uncertainty associated with exposure to low doses is interpreted as a potential source of occupational risk, rather than as an absence of risk. In other words, these actors establish a possible causal link between their occupational exposure to radioactivity and the incidence of adverse effects. This interpretation of uncertainty is based in part on the experience of the actor and the professional group, as this excerpt from the interview shows: *“In the department, three of us had children and all three of us had major problems. It does make you ask questions, after a while. You tell yourself, unlucky, but there are three of us and we’ve had a lot of problems with our pregnancies, or a lot of pregnancies that didn’t make it to full term. So, then we did ask ourselves: wasn’t it because of our environment that we’ve had problems? So, we realized, even though we don’t know for sure, maybe it can be a factor, and we should take care of ourselves. We are in charge of working practices that can affect our health”* (Technologist NM2).

Paramedics also point out that the potential risk is not so much from exposure to low doses of radioactivity as from the repeated nature of this exposure. Thus, according to a nurse from NM2: *“There are risks, because it accumulates over time”*. For health professionals, the risk therefore results more from the accumulation of long-term exposure doses, as evidenced by the following verbatim account: *“I think that by the end of a career, there can be concerns. That’s why I think a whole career in nuclear medicine... then it’s like smoking and lung cancer, you have some that won’t, and some that will”* (Technologist NM2).

The relationship between paramedical actors and risk leads them to take radiation protection into account in their work activity, i.e., to act “as if” the risk associated with exposure to low doses of radioactivity were real, even though they know it is only hypothetical. Thus, as the following verbatim account highlights, radiation protection appears to be a structuring dimension of the activity of these actors, in that it should enable them to protect themselves from possible risks: *“There is no risk as long as these measures are respected, otherwise there may be consequences for our bodies. But if the instructions are followed properly, there is no reason to be afraid to work here”* (Technologist NM1).

Our analysis of the data allows us to underline the fact that these actors manage contradictions by adapting radiation protection to patient care in order to hold the two logics of action together at the very source of the contradictions. Insofar as these actors consider that occupational risk results from the accumulation of radiation exposure doses, the adaptation of radiation protection to patient care results in the development of practices for the division of patient care work that are aimed at collectively distributing radiation exposure doses. Adaptation

is thus based on the rotation of workstations concerned with the management of routine procedures. To this end, paramedical staff in nuclear medicine units share responsibility for medical procedures. This distribution is based on the introduction of mechanisms for rotating workstations. Indeed, each work schedule is associated with certain predefined tasks in the various examination and therapy rooms. Rotations take place on a weekly basis, since the technologists and nurses change their working hours (and therefore their shifts) each week. These rotation systems allow a distribution of doses of exposure to radioactivity. According to one NM2 technologist, this represents *“dose rotation”*. Indeed, within each unit of the nuclear medicine department, there is a tacit agreement between paramedical staff to balance their levels of exposure to radioactivity. This work organization makes it possible to distribute exposure to radioactivity among technologists and nurses, insofar as the various workstations are more or less radiant, as shown in this verbatim account: *“We must all rotate our work across different rooms and if we do so, we are less irradiated too, since there are some examinations, there are days when, depending on your working hours, your schedule and your room, you get more or less radiation. So that’s another benefit of rotation - you’re not always irradiated in the same way”* (Technologist NM2).

In addition, this dose distribution is based on an organization of work established independently by the technologists and nurses of the nuclear medicine units. These actors use what room for maneuver they have to organize their patient care work internally and establish a balance in terms of exposure to radioactivity. Indeed, several technologists and nurses point out that this job rotation system is at their own initiative, since it was set up without the support of health executives or doctors. One NM1 technologist put it this way: *“We settled this between us”*. Ultimately, this workplace organization instigated by paramedical personnel in nuclear medicine units is based on the adoption of tacit rules and shared standards of behavior within the professional group. Job rotation appears to be a collective health preservation strategy (28), enabling stakeholders to carry out their healthcare missions while protecting themselves from radioactivity. By allowing the doses of exposure to radioactivity that are inherent to the care activity to be distributed, the adaptation allows nuclear medicine paramedics to provide care to the patient while limiting their exposure to low doses. In the end, this response can be analyzed as a reformulation of radiation protection rules, in forms adapted to the specificities of patient care.

## Contextualized Prioritization Between Patient Care and Radiation Protection

However, the analysis reveals that adaptation alone does not provide a solution to every contradictory situation faced by paramedical actors. Situations still arise in which contradictions cannot be managed by adapting radiation protection to the treatment. Such situations lead paramedical staff in nuclear medicine to operate a contextualized hierarchy, i.e., an arbitration between the logics of patient care and of self-protection, depending on the situation in hand. From that point on,

contradictions are managed by the temporary abandonment of one action logic in favor of the other. Arbitration, then, is situation-dependent; it seems that some situations lead paramedical staff to favor patient care (to the detriment of their own protection), while others lead them to favor their own protection (to the detriment of patient care). The contextualized prioritization strategy appears to be based on a benefit-risk type assessment of the situation by paramedical actors. In the field of radiation protection, benefit-risk analysis refers to the principle of justification according to which any activity entailing exposure to radioactivity must be justified by the benefits it procures, in relation to the risks to which it exposes individuals. From this perspective, risk-taking cannot be justified unless there is a *quid pro quo*. Paramedical staff in nuclear medicine units weigh up the expected benefits of the examination for the patient and the anticipated risk for the conduct of the examination against the potential occupational risks associated with exposure to low doses. The trade-off between the logics of patient care and self-protection results from this assessment of the situation. Contextualized prioritization thus integrates the two action logics, prioritizing them according to the situation.

Our results also highlight the fact that this contextualized prioritization takes into account various situational parameters such as: patient state of health, type of medical procedure and occupational exposure to radioactivity. We must also point out that the benefit-risk analysis underpinning contextualized prioritization refers to temporal issues that render arbitration more complex. The hierarchy operated by paramedical staff balances a dual temporality that is linked to benefit-risk assessment. Indeed, the benefits and risks for the patient examination are of short-term temporality, whereas the possible occupational risks related to the accumulation of doses of exposure to radioactivity are of long-term temporality.

Ultimately, it seems that the contextualized prioritization implemented by nuclear medicine paramedical personnel gives rise to two types of situations.

- Type 1 situations: actors favor care over protection against the risks associated with low doses where they consider that either the benefit of the examination (or the immediate risk for the examination) to be greater than the long-term occupational risk.
- Type 2 situations: actors favor their protection over care where they consider that the long-term occupational risk associated with low doses to be higher than either the benefit of the examination or the immediate risk for the examination.

For paramedics to prioritize patient care over their own protection, the situation must present an immediate risk to the examination. Thus, where relatives' involvement in the care activity fails to guarantee the proper conduct of the examination, paramedical actors may have to take over from the relatives at the expense of their own protection, as shown in the observation sequence below. This prioritization allows actors to manage the cognitive dissonance they are confronted with by temporarily favoring the logic of patient care—to the detriment of the logic of radiation protection.

#### Observation NM1

A technologist positions an 18 month-old child under the ECAM gamma camera. The child, suffering from neuroblastoma, is on a drip. The mother is also present in the examination room. To prevent the child from moving during the scintigraphy, the technologist straps her to the gamma camera table at leg and chest. Once the child is positioned under the camera, the technologist adjusts the height of the detectors and then asks the mother to hold her child's head still during the examination. The technologist then returns to the control room, starts the examination, but says, a few minutes into the process: *"She moved her head"*. The technologist then returns to the examination room.

- Technologist (to the mother): *"Do you want me to hold her head?"*
- Mother: *"Yes, please."*

The technologist then places both hands on the child's face to prevent her from moving her head, while the mother sits on a stool next to her child, holding her hand.

Paramedical staff may also be led to favor the logic of patient care at the expense of their own protection when they consider that the patient's difficulties in carrying out the tasks requested of them risk jeopardizing the progress of the medical procedure. For example, anticipation of possible patient movements may lead paramedics to stay with the patient throughout the examination, as highlighted in the following observation sequence. Where the patient is unable to perform the tasks required of them, this may lead health professionals to engage in additional work. In the sequence presented below, prioritization is based on taking into account the situational parameters—in particular the patient's state of health.

#### Observation NM2

As part of a myocardial scintigraphy, a nurse has just positioned a patient for the CCAM gamma camera. As the nurse is about to return to the control room to start the examination, the patient, obviously worried, asks *"Will you be nearby?"*, to which the nurse replies: *"Yes, I'm next door"*. The nurse returns to the control room, starts the examination and then addresses one of the technologists in the room: *"This lady might move. She has memory problems, she may forget that I told her not to move"*. The nurse then returns to the examination room and stands next to the patient, at her head. The nurse remains with the patient until the end of the scintigraphic examination.

The existence of an immediate risk for the examination seems to be a necessary (though not stand-alone) condition for understanding the prioritization of patient care over self-protection in certain circumstances. Indeed, prioritization also results from a benefit-risk analysis in which the expected benefit of the examination is weighed against the occupational risk associated with exposure to low doses. As the following verbatim accounts highlight, the actors therefore momentarily favor the logic of patient care over their own protection, where they consider the benefits of the examination for the patient to outweigh the occupational risk: *"I have done brain scans on*

patients with dementia and almost had to lie down on them to keep them from moving. Because the exam really needed to be done, so right now the irradiation – never mind. Well, you try to put on a lead apron beforehand, but if you're spending 45 minutes with it, holding the patient like that, irradiation, okay, but the patient must have their examination and it must be interpretable. So, you try to do everything, even if it means taking a bit higher dose than usual" (Technologist NM2).

In addition, situations in which actors favor patient care over protection are also related to exposure to low doses in the long term. Indeed, since the occupational risk comes more from the accumulation of doses than from one-time exposure to radioactivity, these situations allow a hierarchy in favor of patient care precisely because they occur only sporadically in the work activity. As the following verbatim accounts illustrate, these are situations of contradictions related to the clinical and social characteristics of patients, or to certain particularly radiant medical procedures whose frequency of appearance is not daily. "There have also been a few cases of brain scans, since these patients are a little disturbed anyway, we had to stay with them to talk to them, to keep them company. Since they must not move and it takes a long time, it has happened that we have to stay beside them, but it's still highly unusual" (Technologist NM2).

However, paramedical personnel in nuclear medicine units do not systematically prioritize patient care over their own protection. Indeed, the weighing up of patient benefits against occupational risks can also lead actors to favor their own protection, to the detriment of patient care. This analysis of situations proves a deciding factor in terms of the nature of the hierarchy between the logics of patient care and self-protection, as the following verbatim account shows: "You have no choice but to let them [the patient] wriggle, and then the images will not be interpretable and they'll never get their examination. If the doctor tells you that the stakes are high for the patient, you'll take the irradiation. However, if the doctor says: "Well, there's nothing else we can do, they move every time", well, you say to yourself, then I'm not going to... I'm going to let them move, and the exam will be a failure, and they won't get their exam." (Technologist NM2).

Paramedical staff therefore prioritize their own protection at the expense of patient care when the occupational risk of the situation is greater than the benefit of the examination for the patient. Thus, insofar as it constitutes a potential source of risk, occupational exposure to radioactivity is not justified if the anticipated benefit of the examination is low or non-existent. The following verbatim account reflects this form of prioritization in the working practices of nuclear medicine paramedical actors: "I don't know if we would stay to hold an adult, if we can't, we can't. In the end, we are right beside them for their safety, but if they move in all directions, the examination will not be possible. You can't hold a restless patient under the camera" (Technologist NM2).

Beyond situations in which paramedics consider the risk associated with low doses to be higher than the expected benefit of the examination for the patient, it appears that these actors also favor their own protection over patient care when their analysis of the situation leads them to conclude that the occupational risk is higher than the immediate risk for the examination. Thus, as the following sequence of observations shows, this hierarchy

allows nurses and technologists to manage the contradictions between the logics of patient care and of radiation protection by temporarily promoting their own protection, to the detriment of patient care.

Prioritization of the logic of self-protection must also be part of a long temporality that reaches beyond the immediate temporality of the situation. As the following sequence of observations shows, certain situations can lead nuclear medicine paramedics to protect themselves at the expense of patient care, where they anticipate an accumulation of low-dose exposure related to their patient care activity.

#### Observation NM2

In the SYMBIA examination room, a brain scan is underway. The patient keeps calling out to the technologist, who is behind the lead screens. The technologist goes to the patient, but says that she cannot stay with them during the examination, adding "You are not alone". The technologist then returns behind the lead screens, explaining that she cannot expose herself too much for one patient, because she has to see several every day.

Finally, it seems that the question of the accumulation of exposure doses also allows paramedical personnel to manage the contradictions between the expressive-communication dimension of patient care, and self-protection. Indeed, as the following verbatim account illustrates, the actors justify their own protection to the patient by reference to the repeated nature of the exposure to radioactivity, distinguishing it from the occasional exposure with which patients are confronted in the context of nuclear medicine examinations and treatments: "Sometimes we have patients who are claustrophobic, dreading the examination, and yet we cannot stay with them. We explain to them that we are continuously exposed to radiation, so we can't hold their hands, be with them for the duration of the imaging. That's why we can't stay too close to the patient, we explain to them that it's because we are exposed all year round. It is still important for us not to increase the dose" (Technologist NM1).

## CONCLUSION

The nuclear medicine sector is the subject of little social science research, particularly on the issue of managing the risks of exposure to low doses of radioactivity. This case is all the more interesting because it reflects a situation of uncertainty, in which the logic of precaution is imposed on health professionals. In this particular context, it was interesting to investigate both the relationship to this hypothetical risk and the articulation between risk representations and work practices.

In this respect, this research shows that radiation protection plays an important role in nuclear medicine practice, but that this prevailing precautionary logic is perceived differently. On the one hand, the identified occupational risk management practices reflect a differentiated relationship to the risk of exposure to low doses according to occupational group. On the other, unless we focus on the characteristics of the work

activity in nuclear medicine, which is marked by potentially-conflicting patient care and radiation protection requirements, it is difficult to understand why some professional groups react differently to certain situations, deviating from prescribed patient care and radiation protection standards. Risk management practices form part of the work of health professionals, which entails constantly building a compromise between contradictory requirements, and adjustments to both radiation protection rules, and specific situations.

This research makes it possible to identify conflicting logics of action between patient care and occupational radiation protection that challenge the practical intelligence of health professionals and solicit their creativity in managing these arbitration situations. The results highlight the fact that, beyond the prescribed rules, the work activity (which leaves a margin of autonomy) offers professionals (particularly nurses and technologists) the option of resolving these conflict situations that are consubstantial with the work activity in nuclear medicine. The risk management procedures implemented emphasize that the precautionary approach is integrated into the professional practices of the most exposed carers and is based on a temporal assessment of exposure in the short and medium term. The procedures rely on the adoption of tacit rules and shared standards of behavior within nuclear medicine units. Risk management is conducted via a joint distribution of risk among technologists and nurses, and via a vertical distribution of risk between paramedical staff and doctors.

From a methodological point of view, investigating work practices by observation makes it possible to show, beyond normative discourse, that the logic of action has its own dynamics; practice is not entirely regulated in advance by radiation protection measures. Work activity carried out in the context of exposure to low doses of radioactivity results from an adaptation of procedures to the singularity of concrete situations. Yet the activity plays out in the interaction between health professionals, the patient and family members, and this makes it possible to highlight both the collective and situated dimension of risk management.

Given the problem addressed and our choice of methodology (qualitative research), the results are not universal in scope; we remain bounded by contexts and situations. It is thus a matter of what Yin calls theoretical generalization: “analytic generalizations depend on using a study’s theoretical framework to establish a logic that might be applicable to other situations” [(11), p. 18]. The aim is to understand what types of representations and

mechanisms are at work in a nuclear medicine department, and report on actors’ behavior in relation to an unproven risk.

Our results, particularly those highlighting a differentiated relationship to the risk of exposure to low doses between doctors and preparers, concur with those of Zonabend (29) on nuclear workers at La Hague, who shows that while the risk is relativized verbally, workers’ practices reveal both a more complex relationship to risk and differentiated forms of collective management. This relationship to differentiated risk can be explained by cultural and identity determinants (30). By highlighting the role of social structures in the construction of risk representations, Douglas’ theoretical approach puts the plurality of risk relationships into perspective. This helps explain the relationship between individuals and risks by linking their behavior to the culture of the group to which they belong: a culture characterized by values and beliefs that constitute an implicit frame of reference, mobilized by individuals in their interactions. This work shows the importance of the flexibility available to groups in collectively interpreting and managing risk. It helps explain the relationship between individuals and risks by linking their professional practices to the culture of the group to which they belong. Finally, it shows that although perception of risk is embedded in social structures and contingent contexts, its mobilization also constitutes an identity resource determined by the nature of socio-professional relations, as Zonabend (29) has also demonstrated.

## DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

## ETHICS STATEMENT

This research was carried out within the framework of the IRON Labex (ANR-11-LABX-0018-01). Ethics approval was not required for this study as per applicable institutional and national guidelines and regulations. The informed consent of the participants was implied through survey completion.

## AUTHOR CONTRIBUTIONS

RL: framing the research, qualitative study, and writing the paper. BG: framing the research and writing the paper. FB: framing the research.

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## APPENDIX 1

**TABLE 1** | Interviews in nuclear medicine.

Occupational group	Number of interviews		Proportion	
	NM1	NM2	NM1	NM2
Nuclear doctor	3	2	75%	66%
Nuclear doctor intern	1	1	50%	100%
Cardiologist	–	2	–	50%
Radio pharmacist	1	1	100%	100%
Technologist	4	2	80%	50%
Nurse	-	2	–	100%
Healthcare manager	1	1	100%	100%
Auxiliary nurse	-	1	–	100%
Medical physicist		1		100%
<b>Total</b>		<b>23</b>		<b>70%</b>



# Innovative Molecular Imaging for Clinical Research, Therapeutic Stratification, and Nosography in Neuroscience

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Over the past few decades, several radiotracers have been developed for neuroimaging applications, especially in PET. Because of their low steric hindrance, PET radionuclides can be used to label molecules that are small enough to cross the blood brain barrier, without modifying their biological properties. As the use of <sup>11</sup>C is limited by its short physical half-life (20 min), there has been an increasing focus on developing tracers labeled with <sup>18</sup>F for clinical use. The first such tracers allowed cerebral blood flow and glucose metabolism to be measured, and the development of molecular imaging has since enabled to focus more closely on specific targets such as receptors, neurotransmitter transporters, and other proteins. Hence, PET and SPECT biomarkers have become indispensable for innovative clinical research. Currently, the treatment options for a number of pathologies, notably neurodegenerative diseases, remain only supportive and symptomatic. Treatments that slow down or reverse disease progression are therefore the subject of numerous studies, in which molecular imaging is proving to be a powerful tool. PET and SPECT biomarkers already make it possible to diagnose several neurological diseases *in vivo* and at preclinical stages, yielding topographic, and quantitative data about the target. As a result, they can be used for assessing patients' eligibility for new treatments, or for treatment follow-up. The aim of the present review was to map major innovative radiotracers used in neuroscience, and explain their contribution to clinical research. We categorized them according to their target: dopaminergic, cholinergic or serotonergic systems,  $\beta$ -amyloid plaques, tau protein, neuroinflammation, glutamate or GABA receptors, or  $\alpha$ -synuclein. Most neurological disorders, and indeed mental disorders, involve the dysfunction of one or more of these targets. Combinations of molecular imaging biomarkers can afford us a better understanding of the mechanisms underlying disease development over time, and contribute to early detection/screening, diagnosis, therapy delivery/monitoring, and treatment follow-up in both research and clinical settings.

**Keywords:** molecular imaging, clinical research, neurology, psychiatry, PET, SPECT

## INTRODUCTION

Molecular imaging is the visualization, characterization, and measurement of biological processes at the molecular and cellular levels in humans and other living systems (1). Over the past few years, rapid improvement in molecular imaging has led to gain in specificity and quantification helpful for early diagnosis and disease follow-up, particularly within the field of neurology. A key advantage of *in vivo* molecular imaging is its ability to identify pathological processes without the need for invasive biopsies or surgical procedures (2).

This imaging technique is currently performed with positron emission tomography (PET) and single-photon emission tomography (SPECT). Several PET and SPECT radiotracers have been developed for neuroimaging applications. The first ones, namely <sup>123</sup>I-labeled amines, <sup>99m</sup>Tc-hexamethylpropyleneamine-oxime (<sup>99m</sup>Tc-HMPAO), and <sup>99m</sup>Tc-ethyl cysteinate dimer (<sup>99m</sup>Tc-ECD), were developed in the 1990s to measure regional cerebral blood flow in the presurgical evaluation of patients with refractory partial epilepsy (3). The 2000s saw the advent of PET with the use of fluorine-18 fluorodeoxyglucose ([<sup>18</sup>F]FDG) in clinical routine, for the assessment of cerebral glucose metabolism. As such, it has also been used in the preoperative evaluation of partial epilepsy, but its indications equally include the early diagnosis and differential diagnosis of dementing disorders, differential diagnosis of cerebral space-occupying lesions, detection of viable tumor tissue (recurrence), non-invasive grading, and differentiation between Parkinson's disease and atypical Parkinsonian syndromes (4).

During the past decade, advances in molecular imaging have enabled scientists to focus on specific brain targets, such as receptors, neurotransmitter transporters, or abnormal protein deposits. There are a growing number of radiotracers, which are regarded as valuable tools for many medical imaging applications, including early detection, diagnosis, and treatment follow-up (2). New imaging biomarkers (e.g., amyloid peptide) allow for the diagnosis of neurological diseases at an early stage, thus contributing to the emergence of the concept of preclinical disease (5, 6). Several PET and SPECT radiotracers are used for both routine clinical applications and research that aim to improve the prevention, diagnosis and treatment of brain diseases. For instance, molecular imaging biomarkers can be used for treatment follow-up, or for selecting patients to be included in clinical trials, or for exploring the neurobiological underpinnings of disease progression.

The aim of the present review was to map out the main innovative radiotracers used in neurology, and explain their role in clinical research. We did not explore <sup>11</sup>C-labeled tracers in any depth, as they are not widely used for clinical purposes, owing to their short half-life (20 min). We classified the radiotracers according to their target.

## DOPAMINERGIC SYSTEM

Today, the main class of radiotracers targeting neurotransmission is the one that enables the dopaminergic pathways to be explored (7). These molecules allow for the

imaging of nigrostriatal neurons and dopamine receptors. They are used as PET or SPECT radiotracers and assist with the diagnosis of Parkinson's disease (PD), other Parkinsonian syndromes, and Lewy body dementia (LBD) (8).

The first radiotracer to be introduced for the non-invasive assessment of nigrostriatal terminals was [<sup>18</sup>F]-DOPA in 1983 (9). This radiotracer reflects the activity of aromatic amino acid decarboxylase (AADC), an enzyme that converts L-DOPA to dopamine, through its subsequent accumulation in the dopamine neurons (10). Striatal F-DOPA uptake has been found to be closely related to the nigral cell count (11), except at the beginning of the disease as a consequence of functional compensation (F-DOPA uptake is preserved while motor symptoms can be already presents) (12). This molecule has a history of more than 30 years in clinical research and for the diagnosis of PD. However, in the past decade, the clinical practice led to prefer instead tracers targeting the plasma membrane dopamine transporter (DAT). The latter is easier to use and has a high sensitivity for detecting presynaptic dopaminergic degeneration at early-stage of PD. F-DOPA has recently regained interest in the context of regenerative therapy for PD such as the implantation of dopamine cells or the infusion of drugs with regenerating effects into the striatum (13, 14). The purpose of this therapy is to regenerate the dopaminergic presynaptic function by converting L-DOPA to dopamine. In that cases, DAT tracers are considered to be less relevant for measuring therapeutic response than F-DOPA.

As mentioned above, the second presynaptic dopaminergic target is the DAT, located on dopamine nerve cell terminals. In contrast to the AADC, the DAT is only expressed within dopamine neurons. However, the ligands used for its imaging may also bind to related transporters, such as the serotonin reuptake transporter (SERT) or the norepinephrine reuptake transporter (10). In SPECT imaging, several radiotracers have been developed. The most commonly used are the two cocaine derivatives: [<sup>123</sup>I]-βCIT and [<sup>123</sup>I]-FPCIT (8). Compared with [<sup>123</sup>I]-βCIT, [<sup>123</sup>I]-FPCIT has better selectivity for DAT vs. SERT, and due to its lower DAT affinity, it has better kinetic properties, with a striatal peak time at 148 min after intravenous injection (15). Although direct comparison of FP-CIT SPECT and F-DOPA PET has shown that both FP-CIT SPECT scans and F-DOPA PET scans are able to distinguish patients with PD from healthy controls with high levels of sensitivity and specificity, the decrease in [<sup>123</sup>I]-βCIT binding more closely mirrors the reduction in dopaminergic neurons than the decrease in F-DOPA uptake does, suggesting that β-CIT binding is a better index of dopaminergic neuron loss (16). These different sensitivity of the two tracers to a reduction in dopamine transmission is linked to differing degrees of decrease in the striatal uptake of the two tracers, with less striatal FP-CIT uptake than F-DOPA uptake at the early phase of disease (17). [<sup>123</sup>I]-FPCIT was licensed as DaTSCAN (Amersham Health) in Europe in 2000, and is now a frequently used SPECT radioligand in clinical routine, particularly as an ancillary tool for diagnosing patients with movement disorders, but also in clinical research (15). In the latter context, [<sup>123</sup>I]-FPCIT has been used in numerous studies seeking to determine the sensitivity and specificity of this tracer



in the differentiation of several causes of dementia (18), as well as to study variations in DAT density after different treatments, such as antipsychotics in patients with schizophrenia (19), or psychotherapy in individuals with depression (20).

Tropane derivatives have also been labeled with  $^{99m}\text{Tc}$ : TRODAT-1 has been compared with F-DOPA in patients with PD (21), and may represent a reliable alternative.  $^{99m}\text{Tc}$ -labeled ligands are less expensive, and may therefore be more easily accessible, and more suitable for routine use (22–24).

Another tracer has been developed to image the DAT: PE2I. Like FP-CIT and  $\beta$ -CIT, this molecule is a cocaine derivative, which can be labeled with iodine-123 or–125, carbon-11, or tritium (25). This ligand has about a 30-fold higher affinity for DAT than for SERT, and its lower affinity for DAT makes [ $^{123}\text{I}$ ]-PE2I kinetics better than that of [ $^{123}\text{I}$ ]-FPCIT, with a striatal peak time of 30–60 min. However, despite its favorable properties, [ $^{123}\text{I}$ ]-PE2I is not currently licensed as a SPECT radioligand for clinical use (15).

The excellent properties of PE2I mentioned above recently were exploited to develop a new DAT tracer: LBT-999, exploited by Zionexa, which could be used in future PET explorations using fluorine-18 (26–28). Because of its higher resolution, PET imaging is more useful than SPECT for accurate *in vivo* quantification of DAT density. LBT-999 is a phenyltropane derivative that has demonstrated its suitability for *in vivo* quantification of DAT in non-human primates (29). An *in vivo* kinetic study in baboons confirmed that LBT-999 brain uptake is fast, high, and mainly located in the putamen and caudate, with peak uptake in these regions at 30 min postinjection.

A third way of investigating the function of dopamine terminals is to measure the density of vesicular monoamine transporter (VMAT2), which is responsible for taking up neurotransmitters into presynaptic secretory vesicles. Although a majority of VMAT2 are expressed in dopaminergic terminals, this transporter is also located in various monoaminergic neurons, and is involved in the vesicular trapping of a wide variety of neurotransmitters including dopamine, serotonin, norepinephrine, and epinephrine. This target can be investigated with [ $^{11}\text{C}$ ]-DTBZ, or more recently with fluorinated analog [ $^{18}\text{F}$ ]-AV-133, by PET (30). This presynaptic marker follows very typical patterns in several neurodegenerative diseases affecting dopaminergic function, such as PD, LBD, multiple system atrophy (MSA), progressive supranuclear palsy (PSP), and corticobasal syndrome (CBS). Their uptake/binding is altered in several brain areas, depending on the disease and its stage (31). In contrast to AADC activity or DAT binding, it has been suggested that VMAT2 activity is less inclined to changes induced by medication or compensatory mechanisms. However, VMAT2 activity can be impacted by the amount of vesicular dopamine, competing at the recognition site. Hence, the level of VMAT2 binding may decrease with levodopa administration (32). These tracers have a future in early detection/screening, diagnosis, and neuroprotective treatment follow-up of these neurodegenerative diseases, as well as in the monitoring of neural grafted cells after transplantation (8).

Dopaminergic neurotransmission can also be explored by visualizing postsynaptic D2 receptors. The binding potential of

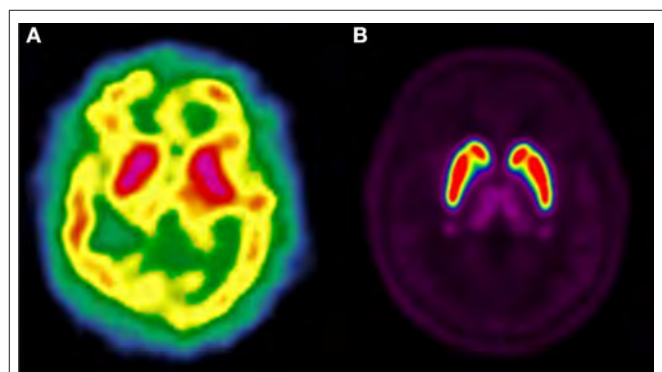
these receptors can be assessed using SPECT with the ligands [ $^{123}\text{I}$ ]-IBZM and [ $^{123}\text{I}$ ]-IBF, as well as PET with [ $^{11}\text{C}$ ]-raclopride and [ $^{18}\text{F}$ ]-fallypride as radiotracers (Figure 1) (8, 31). The concomitant study of DAT and D2 receptors may improve the diagnostic value of molecular imaging in differentiating between PD and other parkinsonian syndromes (33, 34). Nowadays, however, the measurement of cardiac [ $^{123}\text{I}$ ]-MIBG uptake remains the most frequently used technique to differentiate PD and MSA (35). Molecular imaging of dopamine D2 receptors has also been used to study dopamine's role in drug abuse and addiction (36), and to evaluate several neuropsychiatric disorders (37).

Key features of all these tracers are summarized in Figure 2 and Table 1.

## AMYLOID IMAGING

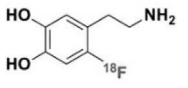
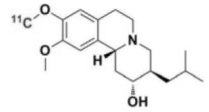
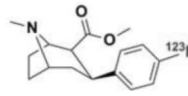
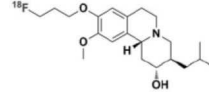
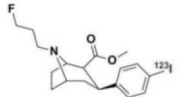
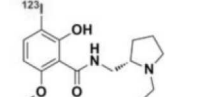
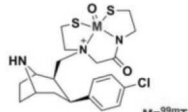
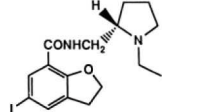
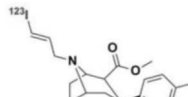
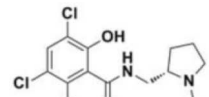
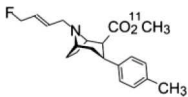
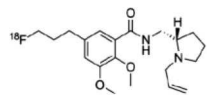
$\beta$ -amyloid ( $\text{A}\beta$ ) plaques in the brain are one of the key histopathologic lesions of Alzheimer's disease (AD) (80). Advances in the understanding of the physiopathology of AD suggest that progressive amyloid accumulation begins during the presymptomatic phase, followed by synaptic dysfunction, tau-mediated neuronal injury, a reduction in brain volume, and finally the emergence of cognitive symptoms, followed by a clinical syndrome of overt dementia (81). This suggests that  $\text{A}\beta$  imaging is a critical step for the early diagnosis of AD.

These deposits were first imaged in PET in 2002, using a thioflavin-T derivative: 11C-Pittsburgh compound B ([ $^{11}\text{C}$ ]-PIB) (82). Although this is the best known compound, its use is restricted to the research field, owing to the short half-life of  $^{11}\text{C}$ . Numerous studies have showed that [ $^{11}\text{C}$ ]-PIB binds to  $\text{A}\beta$  plaques in several cortical regions in patients with AD (82–84). [ $^{11}\text{C}$ ]-PIB binding is correlated with a reduction in cerebrospinal fluid  $\text{A}\beta_{42}$  (85), cerebral atrophy (86), and episodic memory impairment in apparently healthy elderly individuals and those with mild cognitive impairment (MCI) (87). These studies have paved the way for the development of several  $\text{A}\beta$  plaque PET tracers labeled with  $^{18}\text{F}$ . To date, three radiopharmaceuticals with equivalent diagnostic performances have been authorized by the European Medicines Agency and the US Food and

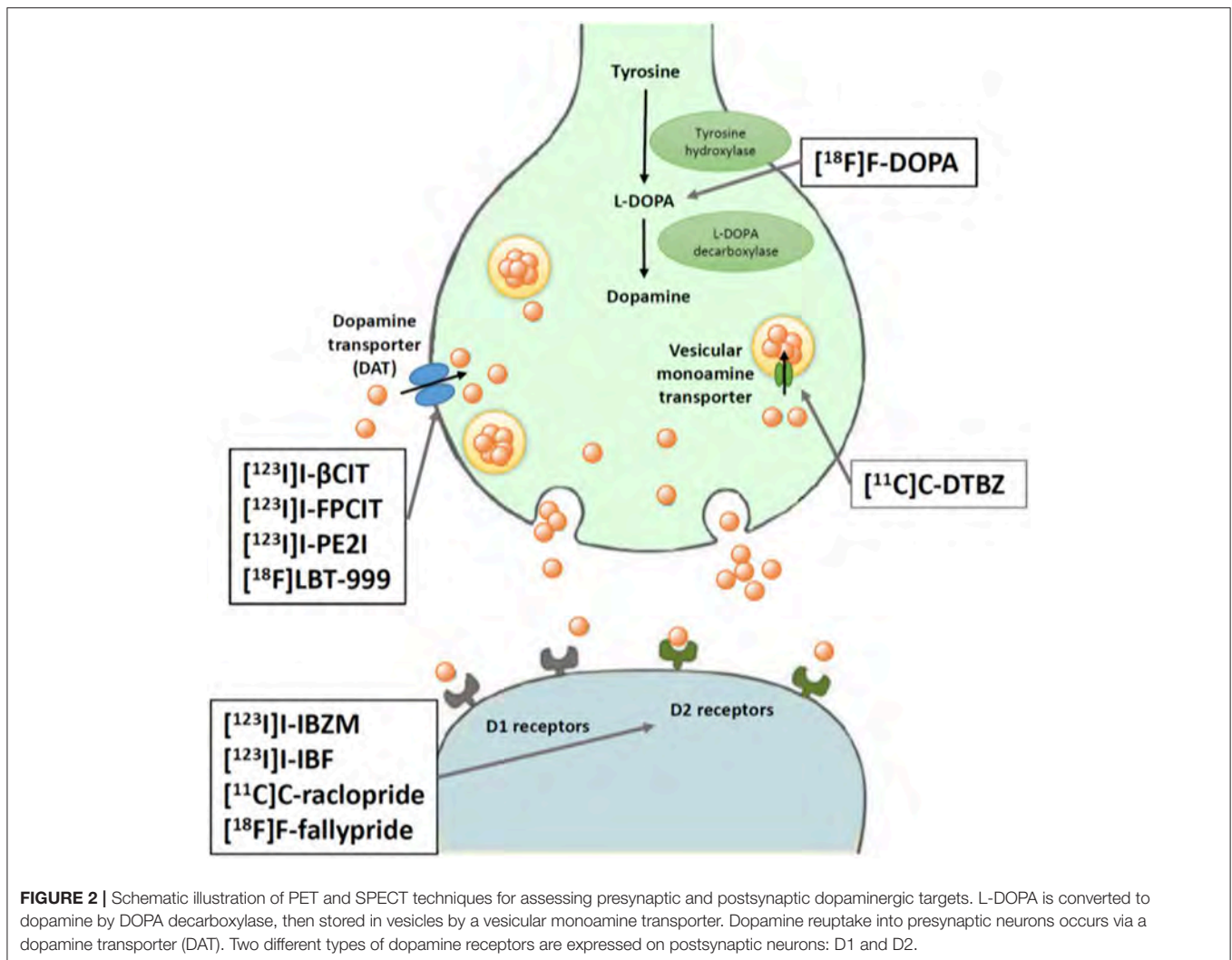


**FIGURE 1** | Comparison of [ $^{123}\text{I}$ ]-IBZM image (A) and [ $^{18}\text{F}$ ]-fallypride image (B) within the same individual.

**TABLE 1 |** Main SPECT and PET dopaminergic tracers, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies	Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies
 [ <sup>18</sup> F]-DOPA	PET	AADC activity	Uptake	PD (10, 13, 14) LBD (38, 39) MSA (40) PSP (41)	 [ <sup>11</sup> C]-DTBZ	PET	VMAT2 density	K <sub>i</sub> = 2 (42)	PD (43, 44) LBD (44, 45) MSA (46, 47)
 [ <sup>123</sup> I]-βCIT	SPECT	DAT density	K <sub>i</sub> = 27±2 (DAT) K <sub>i</sub> = 3±0.2 (SERT) K <sub>i</sub> = 80±28 (NET) (48)	PD (49–52) LBD (53) MSA (34)	 [ <sup>18</sup> F]-AV133	PET	VMAT2 density	K <sub>d</sub> = 0.19 (striatum) K <sub>d</sub> = 0.25 (hypothalamus)(54)	PD (55) LBD (56)
 [ <sup>123</sup> I]-FPCIT	SPECT	DAT density	K <sub>i</sub> = 3.5 (DAT) K <sub>i</sub> = 9.7 (SERT) (48)	PD (57–59) LBD (60) MSA (61) PSP (58)	 [ <sup>123</sup> I]-IBZM	SPECT	D2 receptors density	K <sub>d</sub> = 3.1±0.62 K <sub>i</sub> = 0.32 (D2) K <sub>i</sub> = 4143 (D1) (62)	MSA (63, 64) PSP (63, 65)
 [ <sup>99m</sup> Tc]-TRODAT-1	SPECT	DAT density	K <sub>i</sub> = 14.1±2.1 (DAT) K <sub>i</sub> = 360±44 (SERT) (23)	PD (21, 66)	 [ <sup>123</sup> I]-IBF	SPECT	D2 receptors density	K <sub>d</sub> = 0.106±0.015 K <sub>i</sub> = 0.015±0.002 (D2) K <sub>i</sub> = 820±164 (D1) (67)	MSA (68) PSP (68, 69)
 [ <sup>123</sup> I]-PE2I	SPECT	DAT density	K <sub>i</sub> = 17±7 (DAT) K <sub>i</sub> = 500±30 (SERT) K <sub>i</sub> > 1000 (NET) (25)	PD (70, 71) LBD (72) PSP (73)	 [ <sup>11</sup> C]-raclopride	PET	D2 receptors density	K <sub>i</sub> = 7.5 (74)	MSA (75, 76)
 [ <sup>18</sup> F]-LBT-999	PET	DAT density	K <sub>d</sub> = 9.15±2.8 (DAT) IC <sub>50</sub> > 1000 (SERT and NET) (26)	PD (77)*	 [ <sup>18</sup> F]-fallypride	PET	D2 receptors density	IC <sub>50</sub> = 0.6 (78)	Epilepsy (79)

K<sub>d</sub>, dissociation constant; K<sub>i</sub>, inhibition constant; IC<sub>50</sub>, half maximal inhibitory concentration. \*Preclinical study.



Drug Administration: 18F-florbetapir, 18F-florbetaben, and 18F-flutemetamol (88) (Table 2).

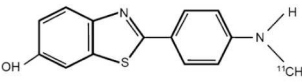
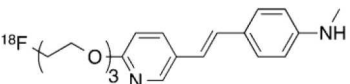
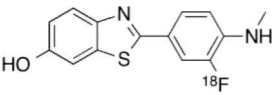
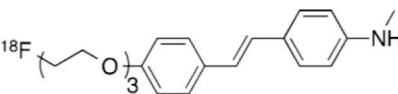
The clinical criteria that are currently used for AD diagnosis have variable specificity and sensitivity, with pooled averages of 70 and 81% (100). A recent review assessing studies published from January 1980 to March 2014 on the diagnostic utility of these three radiotracers demonstrated a pooled weighted sensitivity and specificity of 89.6% and 87.2% for florbetapir, and 89.3 and 87.6% for florbetaben in differentiating patients with AD from age-matched normal controls (101). These results suggest that 18F-labeled tracers have better sensitivity and specificity than clinical diagnosis and other biomarkers commonly used in practice (89), and are comparable to 11C-PiB. They have also been shown to have good patient tolerability (96). However, the extent and distribution of A $\beta$  plaques and amyloid PET tracer binding in patients are only moderately correlated with patterns of neurodegeneration and cognitive deficits (102–104). This suggests that A $\beta$  deposition, which is a prerequisite for diagnosing AD, is just the starting-point of a cascade of other neuropathological events, rather than

the actual driver of neurodegeneration and clinical disease progression (105).

In this respect, these tracers are chiefly useful for their good negative predictive value. A negative scan (i.e., amyloid burden undetectable or extremely low) is considered to be incompatible with a diagnosis of AD. Although a moderate-to-high amyloid plaque density may point to AD, a positive test is not sufficient to diagnose this disorder, especially in elderly participants. It was in this context that the Society of Nuclear Medicine and Molecular Imaging and the Alzheimer's Association delineated "appropriate use criteria" in 2013, identifying three clinical circumstances in which amyloid PET imaging is recommended to clarify the diagnosis: "Patients with persistent or progressive unexplained mild cognitive impairment", "Patients satisfying core clinical criteria for possible (as opposed to probable) Alzheimer's disease (i.e., atypical clinical course or etiologically mixed presentation)", and "Patients with atypically young-onset dementia" (106).

In spite of its excellent diagnostic capacity, the use of amyloid PET imaging in clinical practice is still limited. However, this technique has proved extremely useful in clinical

**TABLE 2** | Main amyloid PET tracer, molecular structures, pharmacological properties, and examples of clinical trials in AD.

Compounds	Target/ measure	Affinity (nM)(88)	Clinical studies in AD
 <sup>11</sup> C]-PIB	Aβ plaques (fibrillar oligomer)	K <sub>i</sub> = 0.9	(82–84)
 <sup>18</sup> F]-florbetapir	Aβ plaques (aggregated form)	K <sub>i</sub> = 2.2	(89–92)
 <sup>18</sup> F]-flutemetamol	Aβ plaques (soluble form)	K <sub>i</sub> = 0.7	(93–95)
 <sup>18</sup> F]-florbetaben	Aβ plaques (aggregated form)	K <sub>i</sub> = 2.4	(96–99)

trials. Currently, the treatment options for AD are limited to symptomatic drugs, with no attenuation of the ultimate prognosis (107). Numerous studies are being conducted to find new treatments, as well as to better understand the pathophysiology of AD. One of the research approaches to develop new treatments involves targeting the two pathological features associated with AD, namely senile plaques (Aβ) and neurofibrillary tangles (NFTs) composed of aggregates of hyperphosphorylated tau protein in paired helical filaments (PHF). According to the amyloid cascade hypothesis, toxic plaques are the earliest manifestation of the disease, a notion supported by evidence of Aβ up to 20 years prior to the onset of symptoms (107). Two main classes of medication are under development as a result: monoclonal anti-amyloid antibodies, and inhibitors of pathogenic cleavage of the amyloid precursor protein (APP). PET amyloid radiotracers in clinical trials evaluating the therapeutic potential of these medications are used for selecting and including patients with significant Aβ, or monitoring disease progression under treatment (108). For example, in an amyloid-based immunotherapy study, PET imaging used for treatment follow-up suggested that anti-amyloid antibodies were more effective in the early stages of amyloid accumulation (108). Soon after this discovery, another study was therefore conducted to study the effect of this class of medication in patients with few or no symptoms (MMSE 20–26) but positive amyloid PET imaging (109). This study failed to show a significant difference in cognitive outcomes between the study group and asymptomatic controls; however other drug studies with similar design using amyloid tracer PET imaging in asymptomatic patients with AD are ongoing.

## TAU IMAGING

As previously indicated, several studies have reported that Aβ burden is only moderately correlated with glucose

hypometabolism, disease severity, progression, and clinical presentation. Furthermore, clinical trials assessing monoclonal anti-amyloid antibodies have mostly failed to show a clinical benefit in AD. The other main histopathological feature of AD, abnormal tau protein aggregates, has therefore been considered with much interest. Several PET radiopharmaceuticals have therefore been developed to accurately target abnormal tau protein conformations. NFTs composed of aggregated hyperphosphorylated tau in paired helical filaments are one of the two key neuropathological substrates of AD, along with Aβ plaques (110). Whereas, Aβ levels stabilize at an early stage, the presence and extent of NFTs and neuronal injury increase in parallel with disease duration and severity of symptoms (111). Moreover, tau has been found to be more closely related to memory decline in post mortem studies of AD than amyloid pathology (112). Abnormal aggregation of tau protein has also been observed in the pathophysiology of other neurodegenerative diseases, including frontotemporal dementia (FTD), CBS, PSP and, to a smaller extent, LBD; the abnormal conformation of tau in these diseases are distinct from that observed in AD which involves paired helical filaments (PHF). These pathologies are collectively known as tauopathies. These tauopathies differ by the isomeric form and ultrastructural morphology of aggregated tau, affected brain regions, and spatial patterns of tau accumulation (110).

Over the past few years, six promising tau imaging agents have been developed: [<sup>11</sup>C]-PBB3, [<sup>18</sup>F]-AV-1451 (or flortaucipir, previously known as T807), [<sup>18</sup>F]-T808, and the THK family [<sup>18</sup>F]-THK523, [<sup>18</sup>F]-THK5105, and [<sup>18</sup>F]-THK5351. These radiotracers have been synthesized, using structure–activity relationship software, from N-benzylidenebenzohydrazide compounds used for the detection of tau-paired helical filament (PHF) (88).

One of the first radiotracers developed for tau imaging was [<sup>18</sup>F]-FDDNP. This tracer is rapidly metabolized in hydrophilic

compounds that cross the blood brain barrier (BBB), resulting in non-specific binding and therefore significant background noise. Furthermore, this tracer is not specific to NFTs, but also has an affinity for A $\beta$  plaques, meaning that it is not the best choice for tau assessment (88, 113, 114).

The first tau-selective radioligand, [18F]-THK523 was synthesized by Okamura et al. (115), and its selectivity for phosphorylated tau was confirmed in post mortem studies, as well as in several *in vitro*, *ex vivo*, and *in vivo* experiments (116). However, this tracer is not able to bind to tau aggregates in non-AD tauopathies such as PSP and CBD, and is characterized by high retention in white matter (117, 118). New THK compounds have since been developed: [18F]-THK5105, [18F]-THK5117, and [18F]-THK5351. The latter has better kinetics, less white matter binding, and a higher affinity for tau than [18F]-THK523 (119). However, it also binds to MAO-B sites, and has a lower binding level in AD than AV-1451 does (110).

[11C]-PBB3 is another tau radiotracer with a high affinity for NFTs, a low level of white matter binding, good BBB penetration and rapid washout. The peculiarity of [11C]-PBB3 is its affinity for the tau isoforms of several non-AD tauopathies. However, it metabolizes to a radiolabeled compound that can cross the BBB, thus limiting its quantification (110).

[18F]-T807 ([18F]-AV1451 developed by Lilly Research Laboratories) and [18F]-T808 belong to the benzimidazole pyrimidine family. They have a nanomolar affinity for the tau PHF found in AD, and are 25 times more selective for tau PHF than for A $\beta$  (120, 121). Today, [18F]-AV-1451 is the most widely used tau radioligand. Like [11C]-PBB3, it has low retention in white matter. Several clinical studies have shown a close correlation between [18F]-AV1451 binding and the neuropathological stages of tau (122), cognitive decline and tau levels in cerebrospinal fluid (123, 124). However, a recent autoradiographic evaluation of AV1451 reported a lower level of binding in non-AD tauopathies, as well as off-target binding in the basal ganglia and substantia nigra in the absence of tau pathology (125).

Recently, another radioligand ([18F]MK-6240, developed by Merck laboratories) was administered to patients with AD with promising results. This tracer showed a high specificity and selectivity for NFTs, good pharmacokinetic properties, and no apparent off-target binding, in contrast to [18F]-AV-1451 (110, 126–128).

As a link has been demonstrated between NFTs and AD symptoms, tau PET tracers are increasingly being used in AD clinical trials, especially those investigating drugs to reduce the tau or A $\beta$  burden (129), such as A $\beta$  monoclonal antibodies. The indirect effect of reducing A $\beta$  on the rate of PHF deposition downstream further supports the amyloid hypothesis, and tau PET imaging may highlight the presumptive disease-modifying impact of these drugs. Furthermore, as tau monoclonal antibodies are designed and investigated, tau PET imaging will be helpful in demonstrating and quantifying the engagement of the molecular target. Many trials currently use cerebrospinal fluid (CSF) biomarkers of tau and phosphorylated tau to detect target engagement, but there are few data on how CSF biomarkers and tau PET imaging correlate. Tau PET imaging

may also help to confirm that changes in tau deposition are correlated with clinical disease progression (130). Several tau vaccines have shown efficacy and safety in animal models (131). In a recent study, an anti-tau drug exhibited a good safety profile and even stimulated a positive immune response in human patients (132). Several other early-phase trials of drugs that target tau protein are currently underway, although the results are yet to be published (133).

In this context, like amyloid tracers, tau radioligands (summarized in **Table 3**) have an important role to play in clinical studies assessing new treatments and measuring disease progression.

## NEUROINFLAMMATION

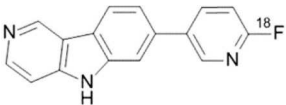
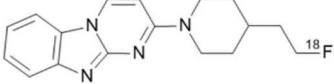
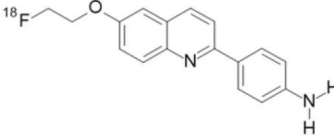
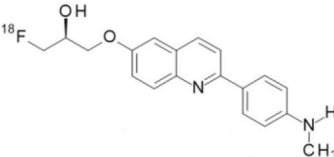
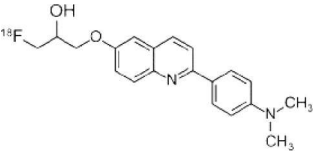
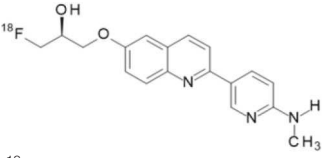
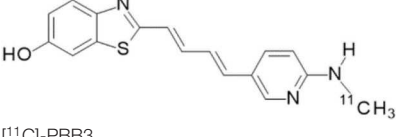
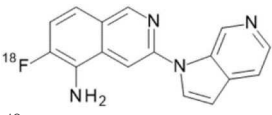
Neuroinflammation is an inflammatory and adaptive response within the central nervous system, and depends on several processes mediated by neuronal cells such as astrocytes, as well as by non-neuronal cells such as the brain's resident macrophages and microglia.

Although initiation of an inflammatory response may be beneficial in response to injury of the nervous system, chronic or maladaptive neuroinflammation can have harmful outcomes in many neurological diseases. During inflammatory processes, cytokines, chemokines and reactive oxygen species (ROS) are produced by glial cells, and all these molecules can be targeted by molecular imaging (146).

The main target for imaging neuroinflammation is currently translocator protein (TSPO) overexpression in activated microglia. TSPO is a highly hydrophobic protein that is mainly situated in the outer mitochondrial membrane. Classically not present in healthy brain parenchyma, TSPO has been widely identified in microglial cells in dementia neuropathology, which involves neuroinflammatory processes and microglial activation. The most widely used TSPO PET radiopharmaceutical tracer used to be [11C]-(R)-PK11195. A new generation of fluorinated tracers has been developed in the past decade (147, 148), with different compound families such as phenoxyarylacetamides derivatives ([18F]-FEDAA1106, [18F]-FEPPA, [18F]-PBR06), imidazopyridine derivatives ([18F]-PBR111), and pyrazolopyrimidine derivatives ([18F]-DPA-714) (**Figure 3**). However, while these fluorinated compounds have turned out to be more sensitive and specific, with a clear improvement in the signal-to-noise ratio, a major additional problem has been identified, in the shape of a polymorphism in the TSPO gene (rs6971) that affects TSPO binding, with a significant impact on its visualization and its quantification. To circumvent this drawback, a new generation of rs6971-insensitive TSPO radioligands have been developed, such as flutriciclamide ([18F]-GE180) (149), and this latest generation of tracers is currently under evaluation (150).

Other PET tracers of gliosis have been tested, such as [11C]-DED, which binds to MAO-B, and some results in transgenic animals (151) seem to indicate that gliosis occurs early in AD and precedes the deposition of A $\beta$  senile plaque. Cyclooxygenase was also investigated by Shukuri et al. (152), who showed that

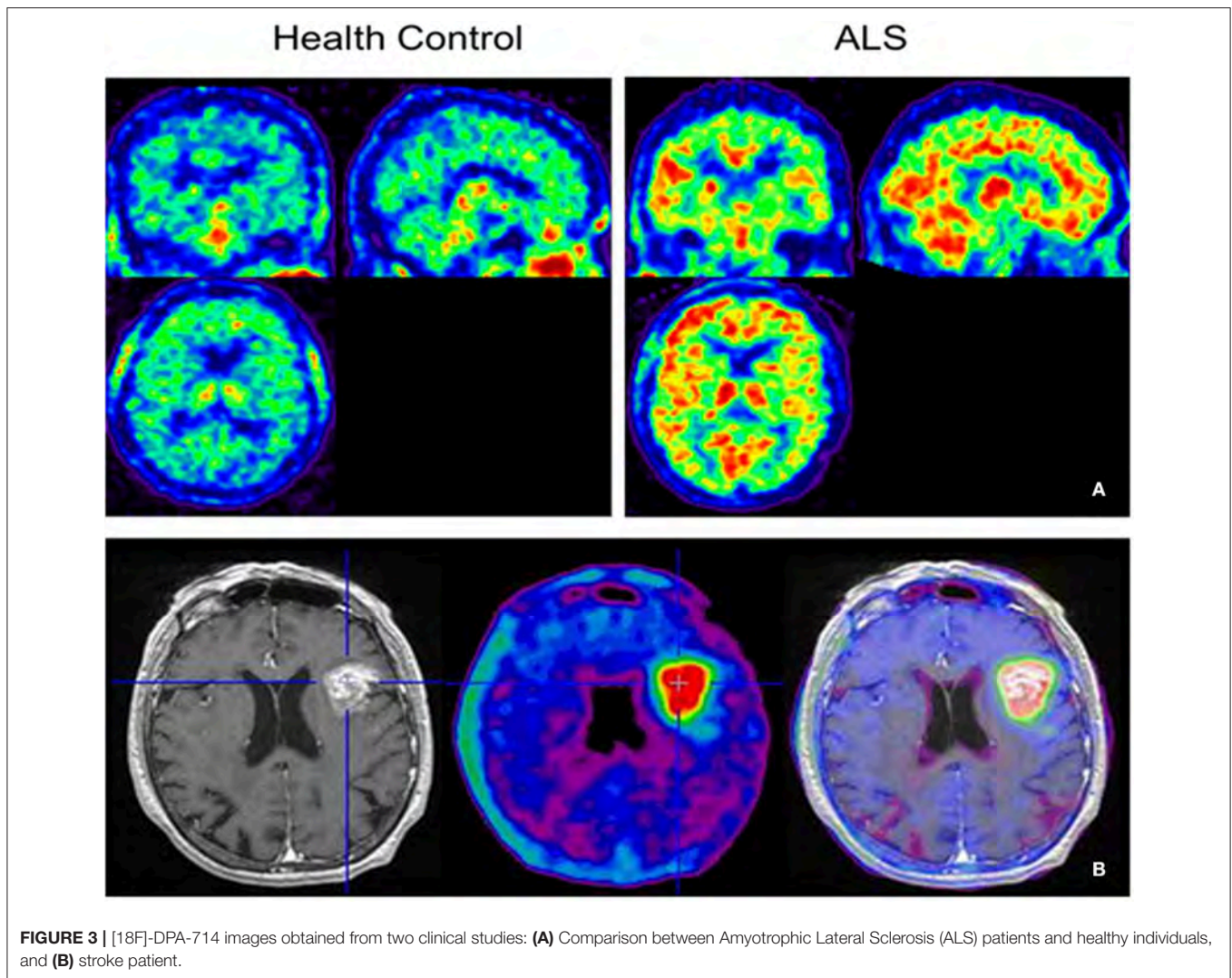
**TABLE 3** | Main tau PET tracers, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Target/measure	Affinity (nM)	Comments	Clinical studies
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F-flortaucipir (AV1451, T807)	PHF-tau	$K_d = 14.6$ (88)	25 time more selective for tau PHF than for A $\beta$ . Low retention in white matter. Off-target binding has been reported in the basal ganglia and substantia nigra in the absence of tau pathology.	AD (134–137)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-T808	PHF-tau	$K_d = 22$ (138)	Slow metabolic defluorination (139)	AD (120)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-THK523	PHF-tau	$K_d = 86$ (88)	12-fold selectivity for tau over A $\beta$ . High retention in white matter.	AD (118)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-THK5117	PHF-tau	$K_d = 5.19$ (88)	High binding selectivity to tau over A $\beta$ . Substantial white matter binding.	AD (140)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-THK5105	PHF-tau	$K_d = 2,63$ (88)	Higher binding affinity to tau fibrils than to A $\beta$ 1–42 fibrils ( $K_d = 35.9$ nM) (141) Substantial white matter binding.	AD (115, 142)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-THK5351	PHF-tau	$K_d = 2.9$ (88)	Low binding affinity for white matter, and rapid pharmacokinetics. It also bind to MAO-B sites (110)	AD (119)
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>11</sup> C]-PBB3	PHF and non-PHF tau	$K_d = 100$ (88)	40–50 fold higher affinity for NFTs than for A $\beta$ , rapid washout, minimal white matter binding, but it metabolizes to a radiolabeled compound that cross the BBB (110)	AD (143), PSP (144), Amyotrophic lateral sclerosis/parkinsonism dementia complex [ALS/PDC (145)]
 <chem>C1=CC=C2C=C(C=C1)N3C=CC(=C2)C3</chem> <sup>18</sup> F]-MK6240	PHF-tau	$K_i = 0.36 \pm 0.8$ (88)	Poor affinity for A $\beta$ plaques ( $K_i = 10$ $\mu$ M) (127) No apparent off-target binding.	AD (128)

[<sup>11</sup>C]-ketoprofen methyl ester, a specific tracer of COX1, is useful for imaging cerebral inflammation in injured rats, with very different kinetics from TSPO tracers. However, a study in humans with this ketoprofen derivative in 2016 (153) failed to

yield positive results, suggesting that COX1 expression is more specific for acute inflammation than for chronic inflammation.

Recently, researchers have shown increasing interest in the ROS system. In cardiology, [<sup>18</sup>F]-DHMT makes it possible



to visualize early ROS activation prior to ventricular function deterioration induced by doxorubicin toxicity (154). In neurology, [18F]-ROStrace, a tracer trapped in the brain when it is metabolized by ROS is currently being assessed in models of AD, PD and other neurodegenerative diseases (155).

These tracers are summarized in **Table 4**.

## GLUTAMATE RECEPTORS

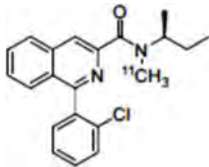
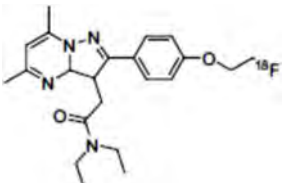
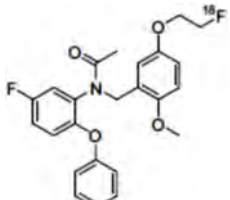
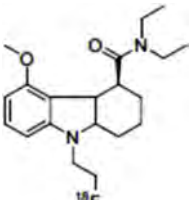
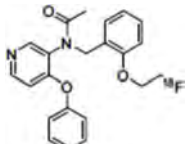
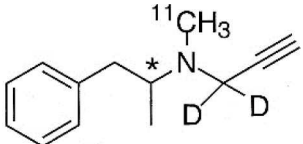
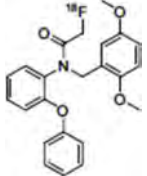
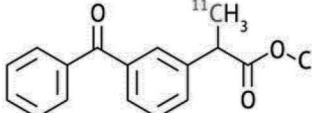
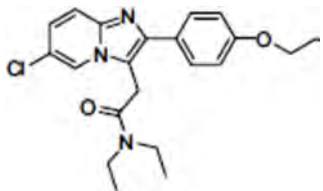
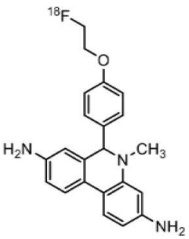
Glutamate is the most abundant excitatory neurotransmitter, and glutamate receptors (GluRs) are implicated in plenty of neurological functions within the central nervous system (CNS). GluRs are classified into two groups: ionotropic receptors (iGluRs) and metabotropic receptors (mGluRs). iGluRs form ligand-gated ion channels and are divided into three subtypes based on their pharmacological properties: NMDA (N-methyl-D-aspartate receptors, NMDARs), AMPA ( $\alpha$ -amino-3-hydroxy-5-methylisoxazole-4-propionic acid) receptors, and kainate receptors. mGluRs are G-protein coupled receptors and

include eight receptor subtypes, classified into three groups according to their sequence homology, signal transduction, and pharmacological profiles. Group I is comprised of mGluR1 and mGluR5, group II includes mGluR2 and mGluR3, and group III contains mGluR4, mGluR6, mGluR7, and mGluR8 (171). A dysfunction of these receptors may be involved in the pathophysiology of numerous brain disorders. Several PET and SPECT probes have been developed for GluRs imaging (**Table 5**).

## NMDARs

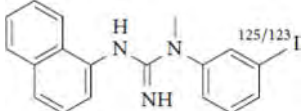
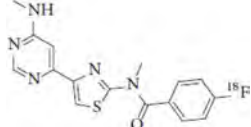
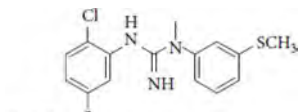
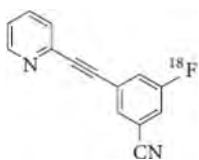
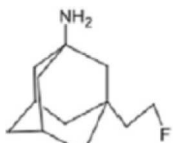
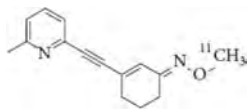
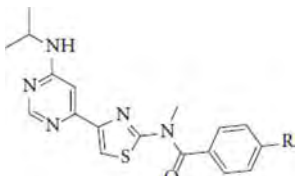
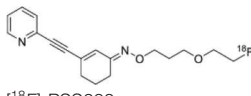
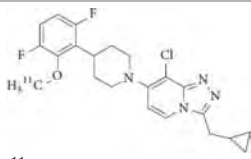
Linked to ligand- and voltage-gated ion channels, NMDARs play an important role in many biological functions, including neurotransmission, neuroprotection, neurodegeneration, long-term potentiation, memory, and neurogenesis (188). These receptors are heteromeric multimers composed of one GluN1 (NR1 subunit) and combinations of GluN2 (NR2 subunits) (189) and GluN3 (NR3 subunits) (190). NR2 subunits come in four subtypes (A D) that determine the type of receptor, with A and B being the most widespread. NR2B subunits, preferentially

**TABLE 4 |** Main PET tracers for neuroinflammation imaging, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Target/measure	Affinity (nM)	Clinical studies	Compounds	Target/measure	Affinity (nM)	Clinical studies
 [ <sup>11</sup> C]-( <i>R</i> )-PK11195	TSPO density	K <sub>i</sub> = 9.3 in rat (156)	AD (157, 158), PSP (157), multiple sclerosis (MS), PD, ALS, HI, Rasmussen's encephalitis, Herpes encephalitis, Schizophrenia (156)	 [ <sup>18</sup> F]-DPA714	TSPO density	K <sub>i</sub> = 7.0 in rat (156)	AD (159, 160)
 [ <sup>18</sup> F]-FEDAA1106	TSPO density	K <sub>i</sub> = 0.078 in rat (156)	AD (161) MS (162)	 [ <sup>18</sup> F]-GE180	TSPO density	K <sub>d</sub> = 0.87 in rats (163)	MS (164)
 [ <sup>18</sup> F]-FEPPA	TSPO density	K <sub>i</sub> = 0.07 in rat (156)	AD (165)	 [ <sup>11</sup> C]-DED	MAO-B activity	NA	AD (166)
 [ <sup>18</sup> F]-PBR06	TSPO density	K <sub>i</sub> = 0.30 in monkey (156)	MS (167)	 [ <sup>11</sup> C]-ketoprofen methyl ester	COX-1	IC <sub>50</sub> = 47 (COX-1) IC <sub>50</sub> = 2.9 μM (COX-2) (152)	AD (153)
 [ <sup>18</sup> F]-PBR111	TSPO density	K <sub>i</sub> = 3.70 in rat (156)	MS (168) Schizophrenia (169) Epilepsy (170)	 [ <sup>18</sup> F]-ROStrace	ROS activity	NA	Preclinical studies



**TABLE 5 |** Main SPECT and PET glutamatergic tracers, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies	Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies
 [ <sup>123/125</sup> I]-CNS-1261	SPECT	NMDARs density	K <sub>i</sub> = 4.2 (171)	Schizophrenia (172, 173)	 [ <sup>18</sup> F]-FIMX	PET	mGlu1Rs density	IC <sub>50</sub> = 1.8 (171)	(174)
 [ <sup>18</sup> F]-GE-179	PET	NMDARs density	K <sub>i</sub> = 2.4 (171)	-	 [ <sup>18</sup> F]-FPFB	PET	mGlu5Rs density	K <sub>i</sub> = 0.2 (171)	PD (175), alcohol dependence (176), depression (177), autism (178)
 [ <sup>18</sup> F]-FNM	PET	NMDARs density	K <sub>i</sub> = 3500 (179)	Tourette's syndrome (GlutaTour project, ToNIC TMBl)	 (E)-[ <sup>11</sup> C]-JABP688	PET	mGlu5Rs density	K <sub>d</sub> = 5.7 (171)	Cocaine addiction (180), depression (181), FTD (182), alcohol dependence (183)
 [ <sup>11</sup> C]-ITMM: R = O <sup>11</sup> CH <sub>3</sub> [ <sup>11</sup> C]-ITDM: R = <sup>11</sup> CH <sub>3</sub>	PET	mGlu1Rs density	K <sub>i</sub> = 12.6 ([ <sup>11</sup> C]-ITMM) (171)	(184)	 [ <sup>18</sup> F]-PSS232	PET	mGlu5Rs density	K <sub>i</sub> = 1 (E-isomer) (185)	(186)
			K <sub>i</sub> = 13.6 ([ <sup>11</sup> C]-ITDM) (171)	Preclinical studies	 [ <sup>11</sup> C]-JNJ42491293	PET	mGlu2Rs density	IC <sub>50</sub> = 9.2 (171)	(187)

expressed on primary afferent fibers (PAFs), play a particular role in the transmission of pain messages (191). NMDARs activation requires several types of agonists interacting in cooperation and the simultaneous presence of strong membrane depolarization. Furthermore, NMDARs activation is modulated by extracellular  $Mg^{2+}$ , which exerts a voltage-dependent blockade of the open ion channel (192). First, two co-agonists, glutamate and glycine, have to simultaneously bind to their respective sites. Membrane depolarization then causes the release of  $Mg^{2+}$  from the channel to allow for the intraneuronal entry of calcium, the starting point for the synthesis of second and third messengers [e.g., prostaglandins and nitric oxide (NO)] (193). Under physiological conditions of synaptic transmission, NMDARs are activated for only brief periods of time. However, in pathological circumstances, their overactivation causes excessive  $Ca^{2+}$  influx into nerve cells, and can lead to cell death (194). This abnormal mechanism mediates excitotoxic neuronal injury after acute brain damage (195) and is thought to contribute to disorders of neuronal hyperexcitability (e.g., epilepsy) and chronic neurodegenerative (e.g., AD, Huntington's) (196) and psychotic (197) disorders. Several tracers have been synthesized in order to better understand the physiopathology of these diseases. Most of them are phencyclidine site ligands (PCP) that selectively bind to ion channels in the open and active state. These tracers thus make it possible to visualize only activated NMDARs. Several  $^{123}I$ -,  $^{125}I$ -,  $^{11}C$ -, or  $^{18}F$ -labeled SPECT/PET radiotracers have been developed, based on phencyclidine (PCP), thienylcyclohexyl piperidine (TCP) (198, 199), ketamine (200), memantine (201, 202) or MK-801 (203, 204), as these ligands are known to inhibit the intrachannel PCP sites of NMDARs. Although most of these radiotracers have been found to cross the BBB, none of them have detectable specific binding *in vivo*, owing to high non-specific binding, poor brain retention, or insufficient affinity for the small number of specific binding sites (205, 206). To our knowledge, only few NMDARs radiotracers have been used in human studies. The diarylguanidine analog, [ $^{123}I$ ]-CNS-1261 exhibited limited success in a clinical study of patients with schizophrenia (207). In PET imaging, despite encouraging results (208), a recent preclinical study using

[ $^{18}F$ ]-GE-179 was unable to demonstrate displaceable *in vivo* binding that would have been evidence of an *in vivo* activity-dependent NMDA signal in rats and primates (209–211). Recently, a new [ $^{18}F$ ]-labeled derivative of memantine, [ $^{18}F$ ]-fluoroethylnormemantine ([ $^{18}F$ ]-FNM), was synthesized. *In vivo* evaluation of this novel PET tracer has yielded encouraging results (179, 212), and it had been injected for the first time into humans, in a pilot study to explore the glutamatergic system in patients with Tourette syndrome (GlutaTour project, ToNIC TMBI) (Figure 4).

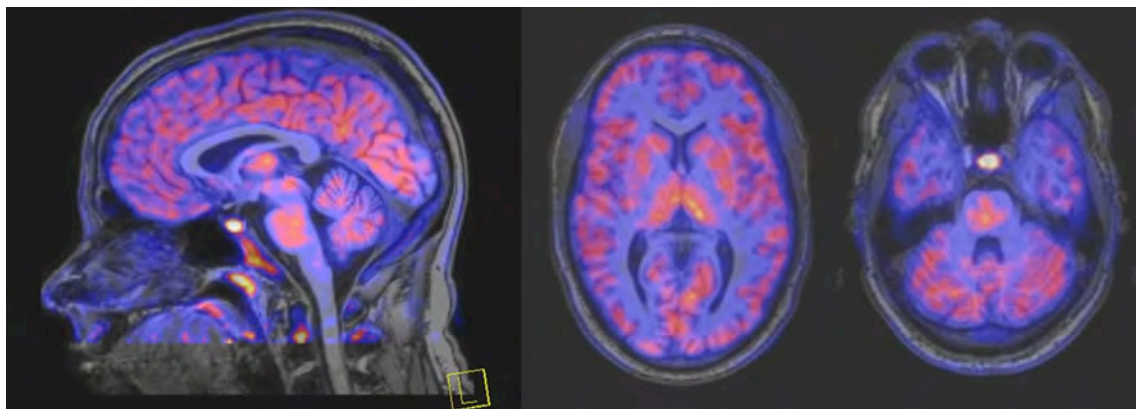
Other NMDAR binding sites, such as the glycine and NR2B sites located on the receptor's extracellular domain, have been the subject of various studies aimed at developing new tracers. However, radiotracer development for these targets has so far been unsuccessful, owing to the ligands' suboptimum physiochemical and pharmacological characteristics, such as affinity, lipophilicity, stability, BBB penetration and pharmacokinetics (171, 205, 206, 213–215).

## mGluR Group I

Group I mGluRs, predominantly expressed postsynaptically, are involved in modulation of synaptic plasticity, and their activation leads to increased neuronal excitability. They are implicated in the physiopathology of several neurological and psychiatric disorder, such as PD, motor dysfunction, multiple sclerosis, epilepsy and stroke, and are the target of recently developed PET probes (171).

## mGluR1

mGluR1 are found extensively throughout the brain, but are highly expressed in the cerebellar cortex, hippocampus and thalamus. mGluR1 antagonists have shown promising anxiolytic and antidepressant effects, whereas positive modulators of mGluR1 have been reported to be useful for the treatment of schizophrenia (171). Among all developed molecules to image them, only two radioligands have been injected into humans. The first is [ $^{11}C$ ]-ITMM. *In vitro* and preclinical studies found that this ligand had high affinity and selectivity for mGluR1,



**FIGURE 4** | Images from first-in-man injection of [ $^{18}F$ ]-FNM in a Tourette's syndrome patient (GlutaTour project).

and displayed high brain uptake, with highest uptake in the cerebellum (richest mGluR1 area). This cerebellar uptake has also been observed in human PET studies, however, [11C]-ITMM showed relatively low uptake in the brain regions with modest expression of mGluR1, such as thalamus, hippocampus, and cerebral cortex, making it difficult to examine target density in these regions (184). Nevertheless, [11C]-ITMM could be used to evaluate alterations in cerebellar mGluR1 under pathological conditions, and further clinical studies may be needed to assess the usefulness of this radioligand as a PET probe for mGluR1 quantification. [11C]-ITDM, an analog of ITMM, was considered superior to [11C]-ITMM after *in vivo* studies in monkeys because of its higher regional distribution volume in the mGluR1-rich region (216). To our knowledge, clinical PET studies with this radiotracer have not been published.

Finally, [18F]-FIMX, is the second high affinity mGluR1 radioligand injected into humans. The rank order of this tracer uptake correlated well with mGluR1 expression levels in the human brain, with a highest uptake in the cerebellum (174).

### mGluR5

mGluR5 are found in the cerebral cortex, hippocampus, accessory olfactory bulbs, and nucleus accumbens (171). In physiological conditions, mGluR5 activates an intracellular cascade by second messenger processes and modulates functions as diverse as memory, anxiety, or learning. It has been demonstrated that the disruption of brain homeostasis in pathological conditions causes hyperactivation of mGluR5, which then contributes to excitotoxicity. mGluR5 dysregulation is therefore implicated in a broad variety of neuropsychiatric disorders and mGluR5 is recognized as a relevant molecular biomarker of glutamate pathology in these diseases. PET imaging of mGluR5 has expanded in recent years and has contributed to go deeper in the pathophysiology of brain diseases and to better evaluate new treatment strategies. Several PET radioligands targeting mGluR5 have been synthesized (205, 217) and the most promising candidates are currently being investigated in several preclinical and clinical studies.

[18F]-FPEB has been developed by Merck Research Laboratories and, regarding its high specificity and selectivity for mGluR5, together with a suitable brain kinetics (218, 219), has been extensively used to investigate mGluR5 density in neurological disorders. In neurology, [18F]-FPEB has shown mGluR5 upregulation in Parkinson's Disease (220), but recent main contributions of [18F]-FPEB imaging are about psychiatry and addictions. Thus, Leurquin-Sterk et al. studied the effects of acute alcohol intake on the glutamatergic system (221), and demonstrated that mGluR5 availability was lower in limbic regions of alcohol-dependent subjects than in healthy controls, suggesting that limbic mGluR5 was involved in a compensatory mechanism helping to reduce craving during abstinence (176). The alteration of mGluR5 availability was also demonstrated in posttraumatic stress disorder, with a higher cortical [18F]-FPEB *in vivo* binding that was positively correlated with avoidance symptoms

(222). Besides, [18F]-FPEB PET imaging did not find any mGluR5 contribution in Major Depressive Disorder (177), whereas, considering neurodevelopmental diseases, an increased [18F]-FPEB binding was observed in postcentral gyrus and cerebellum of male individuals with autism Specter disorder (178).

[11C]ABP688 is a selective, high-affinity mGluR5 antagonist widely used in mGluR5 clinical PET imaging (223, 224). Recently, [11C]ABP688 revealed *in vivo* evidence of reduced availability of mGluR5 in behavioral variant frontotemporal dementia (182) and in focal cortical dysplasia, in tissue resected from epilepsy patients (225). Whereas, Akkus et al. reported no significance difference in [11C]ABP688 binding in individuals with schizophrenia compared with healthy controls (226), a multi-modal imaging approach, combining mGluR5 PET imaging with [11C]ABP688 together with fMRI reported a lower mGluR5 availability and related functional connectivity alterations in drug-naïve young adults with major depression (227). Esterlis et al. confirmed this hypothesis and objectified an antidepressant response of ketamine through a change in [11C]ABP688 binding that was associated with a significant reduction in depressive symptoms following ketamine administration (228). In alcohol consumption abuse, [11C]ABP688 evidenced altered mGluR5 signaling in the amygdala, that was correlated with the temptation to drink (183).

Regarding the limitations in clinical availability of [11C]ABP688, due to the short physical half-life of carbon-11, fluorinated ABP688 derivatives have been proposed, including the promising radioligand [18F]PSS232. After a preclinical validation evidencing specific and selective *in vitro* and *in vivo* properties (185), Warnock et al. reported recently the first-in-human evaluation of this tracer, highlighting in healthy volunteers a favorable brain uptake pattern and kinetics of [18F]PSS232 (186).

These clinical studies, with sometimes ambiguous or even discordant results, must be put in perspective with regard to the influence of the intrasynaptic concentration in endogenous glutamate on the binding of radioligands. For that purpose, pharmacological challenges have been performed in both preclinical and clinical settings, using several glutamate modulators, including ceftriaxone, a potent GLT-1 activator that decreases extracellular levels of glutamate, N-acetylcysteine (NAC), a promoter of the cysteine–glutamate antiporter that increases extrasynaptic glutamate release, and ketamine, an NMDA glutamate receptor antagonist, that increases glutamate release when administered at subanesthetic doses. To date, these pharmacological explorations remain equivocal according to: 1- the pharmacological compound used; 2- the tested radioligand; 3- the studied species (rodents, non-human primates, or human subjects). Thus, whereas ketamine administration decreases [11C]ABP688 binding *in vivo* in human subjects (229), this result has not been confirmed in rats (230). On the other hand, [18F]PSS232 binding appears to be not impacted to neither acute glutamate shifts after stimulation with N-acetylcysteine (NAC) in human (231) nor ketamine and ceftriaxone infusions in the rat brain (232). This parameter has to be considered carefully to accurately quantify mGluR5 expression *in vivo* using PET.

## Group II and III

Group II and III mGluRs are mostly located within presynaptic regions and involved in the inhibition of neurotransmitter release. Of all the subtypes, only an mGluR2 tracer has been the subject of a human PET study. [11C]JNJ42491293 is a selective, high-affinity radioligand for the positive allosteric modulator (PAM) site of mGluR2. This site is a potential target for treating anxiety, schizophrenia or addiction. In the first human study, its *in vivo* distribution was consistent with known mGluR2 expression patterns (highest uptake in the striatum and cerebellum) (187). Unfortunately, recent experiments showed an off-target binding *in vivo* and [11C]JNJ42491293 was considered unsuitable for *in vivo* imaging of mGluR2 (233).

## CHOLINERGIC SYSTEM

The cholinergic system is well known to be involved in cognitive function, and cholinergic dysfunction has been shown to play a key role in the pathophysiology of dementia. Targets have been identified by post mortem studies, which have highlighted alterations in functional components of the cholinergic system (234). These include both presynaptic dysfunction [e.g., in acetylcholinesterase (AChE) or vesicular acetylcholine transporters (VACHTs)] and postsynaptic dysfunction [e.g., in nicotinic acetylcholine receptors (nAChR) or muscarinic acetylcholine receptors (mAChR)] (235, 236). Several radiotracers (summarized in **Figure 2**) have been developed for each of these targets.

There are two PET tracer substrates for AChE: [11C]-PMP and [11C]-MP4A. These have been used in several clinical studies over the past two decades to highlight modifications in AChE activity in patients with AD, PD, PSP or LBD (237–242). [11C]MP4A has a high specificity for AChE, but also a high rate of hydrolysis by this enzyme, and radioligand uptake in regions with high AChE activity is therefore strongly dependent on the rate of transport into the brain (243). By contrast, [11C]PMP exhibits a hydrolysis rate that is three to four times slower than that of [11C]MP4A, allowing for more precise estimates of AChE activity in regions of moderate-to-high AChE concentration (244). Presynaptic cholinergic terminal density can also be assessed with selective radioligands for presynaptic VACHTs. This has been done in clinical studies with [123I]-IBVM (237, 245) and, more recently, in PET imaging with [18F]FEOBV (246). [18F]FEOBV exhibits lower binding in the mesopontine junction and medulla than [123I]IBVM, providing a robust index of VACHT binding (247).

Postsynaptic cholinergic dysfunction has been assessed in patients with AD, using (S)-[11C]nicotine (248–250). However, these [11C]nicotine studies were hindered by high levels of non-specific binding, rapid metabolism, and washout from the brain, as well as a strong dependence on cerebral blood flow (234). New PET and SPECT radioligands have recently been developed to target  $\alpha 4\beta 2$  nAChR, which is the most severely affected receptor subtype in AD, with reductions of up to 50% in the neocortex, entorhinal cortex and hippocampus

(251). Some clinical studies using either the SPECT tracer [123I]-5IA, or the PET tracer [18F]-2FA, in patients with AD have highlighted significant reductions in  $\alpha 4\beta 2$  nAChR in several brain areas, correlated with cognitive impairment (252, 253). Furthermore, another study found a negative correlation between  $\alpha 4\beta 2$  nAChR availability and A $\beta$  load (measured by [11C]-PIB), suggesting that A $\beta$  deposition induces the degeneration of cholinergic neurons (254). It was suggested 10 years ago that the  $\alpha 7$  nAChR subtype plays a neuroprotective role, by modulating the neurotrophic system that is needed to maintain cholinergic neuron integrity, and by stimulating signal transduction pathways that support neuron survival. In AD,  $\alpha 7$  nAChR is implicated in A $\beta$  toxicity and tau phosphorylation (255). Moreover, deletion of the  $\alpha 7$  nAChR gene has been shown to reduce cognitive impairment in animal models of AD (256). Further PET studies using radioligands specific to the  $\alpha 7$  nAChR, such as [18F]ASEM, are needed to determine the relationship between  $\alpha 7$  nAChR and AD pathology (234).

In PD, LBD or PSP, mAChR has also been imaged with [123I]QNB and [11C]NMPB (257, 258), which are high-affinity mAChR antagonists with similar chemical structures and regional brain distributions. These radiotracers are able to penetrate the BBB efficiently, but non-specifically in relation to the mAChR subtype (234).

All these cholinergic tracers are resumed in **Figure 5** and **Table 6**.

## GABA<sub>A</sub> RECEPTORS

$\gamma$ -Amino butyric acid (GABA), is the predominant inhibitory neurotransmitter in the central nervous system. This neurotransmitter is able to bind to two types of receptor: ionotropic GABAA/C and metabotropic GABAB. GABAA receptors, also known as the central benzodiazepine receptor, are found on most neurons in the brain, and are part of a superfamily of ligand-gated ion channels. They have a primary binding site for GABA, as well as multiple allosteric modulatory sites. When benzodiazepines, or other allosteric modulators such as barbiturates, bind to GABAA receptors, conformational changes increase the permeability of the central pore to chloride ions, resulting in a chloride flux that hyperpolarizes the neuron (271). GABAA receptors can be composed of several subunit isoforms (272), but only pentamers containing  $\alpha 1$ ,  $\alpha 2$ ,  $\alpha 3$ ,  $\alpha 4$ , or  $\alpha 5$  subunits are benzodiazepine sensitive. These various subunits have a region-specific distribution in the brain, and are believed to subserve different functional and physiological roles and mediate a variety of pharmacological effects. Impairment of GABAA receptor function is increasingly recognized to play a major role in the pathophysiology of several neuropsychiatric diseases such as AD, epilepsy, panic disorders, major depression, cortical brain damage following an acute stroke, anxiety disorders, and chronic alcohol dependency (273). Radiotracers that bind to benzodiazepine sites on GABAA receptors (GABAA-BZ sites) have been shown to be useful for investigating these

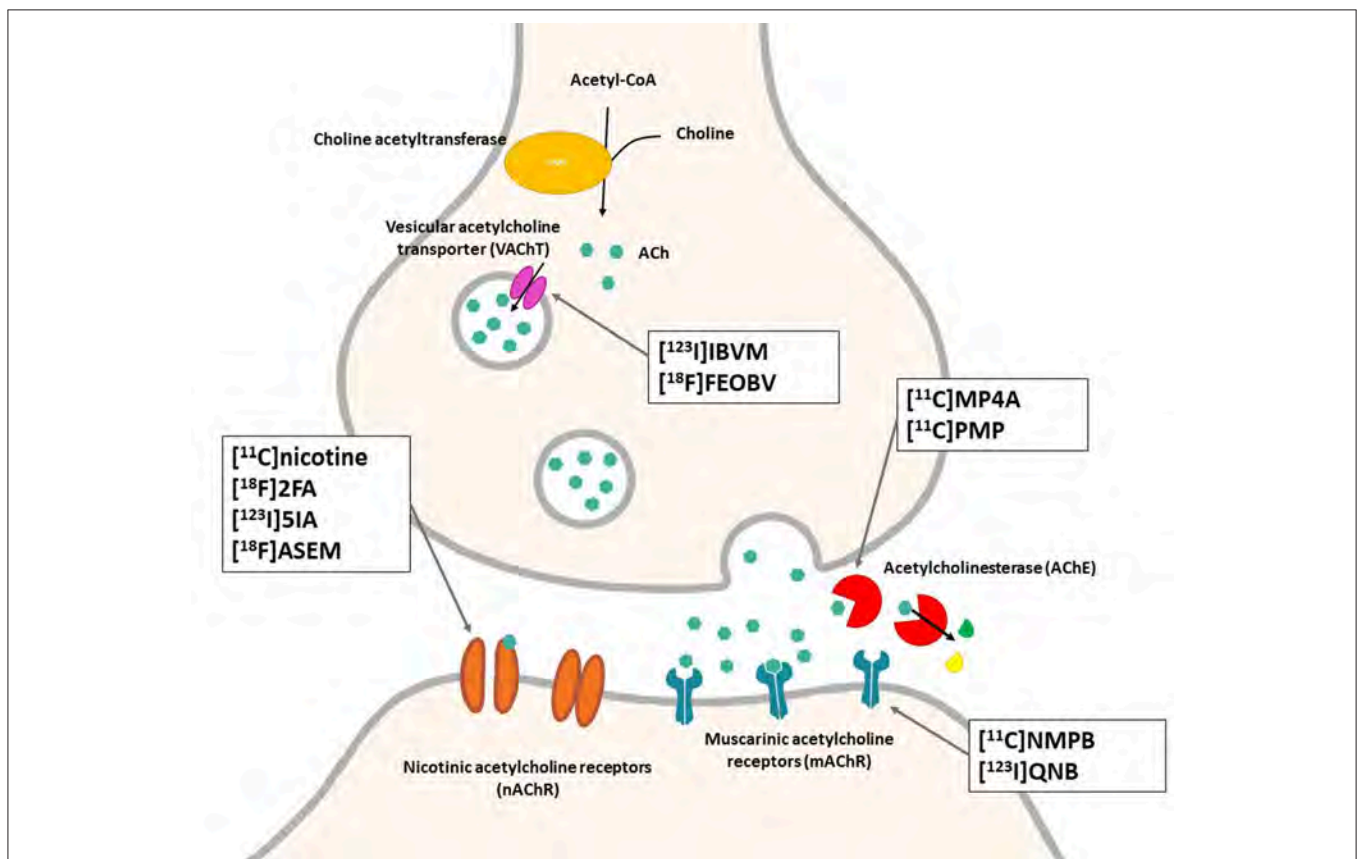
disorders (274). The first molecules developed for GABAA receptor imaging was carbon-11 labeled benzodiazepines such as [<sup>11</sup>C]flunitrazepam, [<sup>11</sup>C]diazepam, or [<sup>11</sup>C]fludiazepam, but the lack of specificity and *in vivo* affinity of these ligands ( $K_d \geq 10$  nM) did not allow accurate determination of GABAA receptor density (275). The triazolobenzodiazepine [<sup>11</sup>C]alprazolam have also been investigated. Despite an increased affinity ( $K_d = 3.4$  nM), PET studies in six healthy volunteers showed a low extraction into brain (<1% of injected dose), and a substantial depot effect probably into the lungs (276). Finally, the imidazobenzodiazepine flumazenil (Ro 15-1788 or N-methyl-<sup>11</sup>C]flumazenil), became the most commonly used radioligand for GABAA receptor imaging and is still extensively used to quantify benzodiazepine binding in the human brain (277–279). It was used to measure changes in GABA levels (280), as well as to quantify BZ receptors density in the epileptic foci of patients with partial epilepsy (281–283), in schizophrenic patients (284), neuronal loss in stroke (285), and more recently as a tool in clinical research to evaluate GABAA receptor occupancy using molecules with potential anxiolytic properties (286). [<sup>123</sup>I]iomazenil, a iodo-analog of flumazenil

with very similar binding profile, has also been widely used in clinical studies (287–289).

[<sup>11</sup>C]Ro15-4513 is a partial inverse agonist at the GABAA-BZ site, preferentially targeting  $\alpha 5$  subunits (290, 291). Like the previous ones, this tracer has also been used in clinical studies to understand the precise involvement of GABAA receptors in different neuropsychiatric diseases and the relationship between GABAA receptor density and clinical symptoms (292, 293).

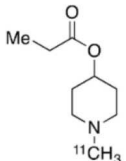
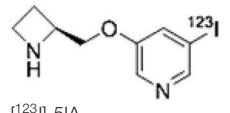
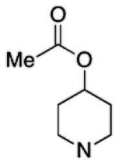
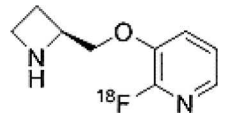
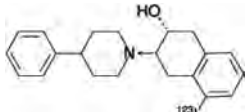
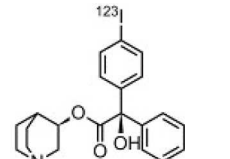
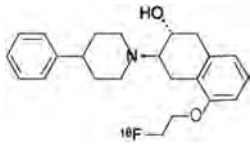
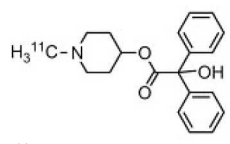
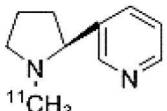
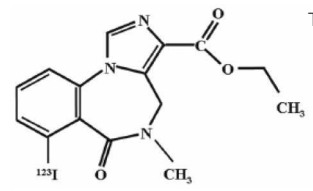
Several attempts of fluorine-18 labeling of flumazenil were performed. Thus, [<sup>18</sup>F]-FEE, [<sup>18</sup>F]-FFMZ, and [<sup>18</sup>F]-flumazenil have been tested. Studies have demonstrated the superiority of [<sup>18</sup>F]-flumazenil because of a higher affinity and lower levels of radiometabolites in brain (275, 294). Because of the longer half-life of the isotope, this tracer could become the “gold standard” in benzodiazepine PET studies.

The development of GABAA radioligands (summarized in Table 7) need several improvements. Several improvements are needed. First, is to develop receptor subtype specific radioligands such as [<sup>11</sup>C]Ro15-4513. Radioligands specific for all the GABAA receptor subtypes would be of great importance to PET imaging. The second important enhancement is to develop and apply

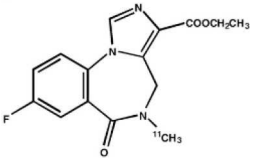
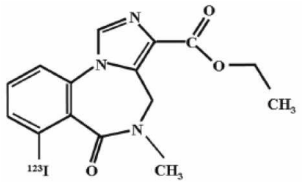
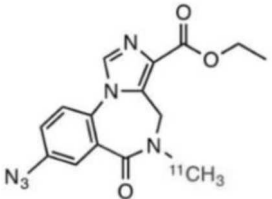
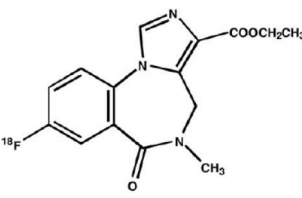


**FIGURE 5 |** Schematic illustration of the main cholinergic PET and SPECT radioligands, and their presynaptic or postsynaptic targets. Acetylcholine (ACh) is synthesized by choline acetyltransferase from choline and acetylCoA. ACh is released into the synaptic cleft, where it can bind to two types of receptors expressed on postsynaptic neurons: nicotinic receptors (nAChR) and muscarinic receptors (mAChR). ACh is degraded to choline and acetate by acetylcholinesterase (AChE). The reuptake of choline into presynaptic neurons occurs via a choline transporter. Choline is recycled within presynaptic neurons to form ACh, and stored in vesicles by a presynaptic vesicular ACh transporter (VAChT).

**TABLE 6 |** Main SPECT and PET cholinergic tracers, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies	Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies
 [ <sup>11</sup> C]-PMP	TEP	AChE activity	NA	AD (237, 239, 240, 259), PD (239)	 [ <sup>123</sup> I]-5IA	SPECT	α4β2 nAChR density	K <sub>d</sub> = 0.011 in rats (260)	AD (252)
 [ <sup>11</sup> C]-MP4A	TEP	AChE activity	NA	AD (242), PD (238, 241), LBD (261), PSP (238)	 [ <sup>18</sup> F]-2FA	TEP	α4β2 nAChR density	K <sub>i</sub> = 0.046 in rats (262)	AD (253)
 [ <sup>123</sup> I]-IBVM	SPECT	VAcHT density	IC <sub>50</sub> = 2.5 ± 0.2 in rats (263)	MSA (245)	 [ <sup>123</sup> I]-QNB	SPECT	mAChR density	IC <sub>50</sub> = 0.8 in mouse (264)	AD (265), PD, LBD (258)
 [ <sup>18</sup> F]-FEOBV	TEP	VAcHT density		AD (266), LBD (246)	 [ <sup>11</sup> C]-NMPB	TEP	mAChR density	IC <sub>50</sub> = 1.8 in mouse (264)	AD (267), PD, PSP (257)
 [ <sup>11</sup> C]-nicotine	TEP	α4β2 nAChR density	K <sub>d</sub> = 2.4 in rats (268)	AD (248–250)	 [ <sup>18</sup> F]-ASEM	TEP	α7 nAChR density	K <sub>i</sub> = 0.3 in HEK293 cells stably transfected with rat α7 nAChR (269)	Schizophrenia (270)

**TABLE 7** | Main radioligands for GABAA receptors imaging, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Imaging modality	Target/ measure	Affinity (nM)	Clinical trials
 <sup>11</sup> C]-FMZ	PET	GABA <sub>A</sub> -BZ sites ( $\alpha$ 1, $\alpha$ 2, $\alpha$ 3, and $\alpha$ 5 subunits)	$K_i \approx 1.3$ (BZRs containing $\alpha$ 1, $\alpha$ 2, $\alpha$ 3, or $\alpha$ 5 subunits) $K_i \approx 150$ (BZRs containing $\alpha$ 4, or $\alpha$ 6 subunits) (295)	Epilepsy (281–283) Stroke (285) Schizophrenia (284)
 <sup>123</sup> I]-IMZ	SPECT	GABA <sub>A</sub> -BZ sites	$K_i = 0.47$ (in primates) (296)	Stroke (287) Epilepsy (288) Anorexia nervosa (289)
 <sup>11</sup> C]Ro15-4513	PET	GABA <sub>A</sub> -BZ sites $\alpha$ 5 subtype	$K_i = 0.3$ (BZRs containing $\alpha$ 5 subunits) (290)	Alcohol dependence (292) Schizophrenia (293) Autism (297)
 <sup>18</sup> F]-flumazenil	PET	GABA <sub>A</sub> -BZ sites	–	Epilepsy (298, 299)

full agonist radioligands sensitive to changes in endogenous neurotransmitter levels. Finally, development of radiotracers specific to other sites than the BZ binding site will be important in order to further investigate GABAA pharmacology as well as to investigate the role of GABAA receptors in various disease states. Finally, development of radiotracers specific to other sites than the BZ binding site will be important in order to further investigate GABAA pharmacology as well as to investigate the role of GABAA receptors in various disease states (275).

## SEROTONINERGIC SYSTEM

The serotonergic system plays an important modulatory role in many central nervous system functions. It is the target of many drugs commonly used to treat brain disorders, either through reuptake blockade or via interactions with serotonin (5-HT) receptors. Serotonergic dysfunction has been involved in the etiology of many psychiatric disorders, including depression, anxiety and schizophrenia, as well as neurological diseases such as AD and epilepsy. Currently available radiotracers for *in vivo* brain imaging of the 5-HT system in humans include

radioligands for the 5-HT1A, 5-HT1B, 5-HT2A and 5-HT4 receptors, and for the 5-HT transporter (SERT) (300).

The 5-HT1A receptor is one of the most extensively studied receptors in the serotonergic family. Like most 5-HT receptors, it is a G protein-coupled receptor (GPCR) with seven membrane-spanning domains. It serves as an inhibitory autoreceptor in the raphe nuclei, and is targeted by serotonin reuptake inhibitors. It also plays a role with 5-HT4 and 5-HT6 receptors in learning and memory (301, 302). Several radioligands have been synthesized up to now, but only three are in frequent use in clinical studies. The two most widely used are [carbonyl-<sup>11</sup>C]WAY-100635 and [<sup>18</sup>F]MPPF (300). These two radioligands are selective and high-affinity 5-HT1A receptor antagonists with a high target-to-background ratio. These tracers have been used in numerous studies of patients with psychiatric disorders such as panic disorder (303), bipolar depression (218) and anorexia nervosa (304), as well as in neurological disorders such as epilepsy, cognitive impairment, AD and migraine (305–311). The third 5-HT1A antagonist radioligand used in clinical studies is [<sup>18</sup>F]-FCWAY (312), a fluorinated analog of WAY-100635, which also has high 5-HT1A affinity and a high hippocampal-to-cerebellar binding ratio (313–317). However, this compound undergoes

high defluorination *in vivo*, leading to high bone radioactivity uptake. Although this radiodefluorination has been prevented in humans by preadministering disulfiram, this drawback may explain why its use has not been expanded beyond a single PET center (300). A novel and promising 18F-labeled radiotracer, [18F]MefWAY, that is thought to be resistant to defluorination *in vivo* was recently administered to healthy humans, but no clinical study has yet been published (318). There has been recent interest in the use of 5-HT1A agonists to study variations in endogenous 5-HT levels. [11C]CUMI-101 shows high affinity, but its sensitivity to endogenous 5-HT variations *in vivo* has not yet been reported (319).

Because they are involved in the etiology and treatment of many psychiatric disorders, 5-HT2A receptors have also been imaged. Five specific radioligands of this receptor have successfully been used in clinical studies: [123I]-R91150, and the PET radioligands [18F]setoperone, [18F]altanserin, [18F]deuteroaltanserin, and [11C]MDL 100, 907. Despite its low signal-to-noise ratio, [123I]-R91150 has often been used in drug occupancy studies, on account of the widespread availability of SPECT (320, 321). It has also been used to study changes in 5-HT2A receptor density that are implicated in various diseases, including cognitive decline (322), suicidal behavior (323), and anorexia nervosa (324). [18F]altanserin is the most frequently used PET tracer. Although it is metabolized to lipophilic radiometabolites, which contribute to non-specific binding, like the previous one, this tracer has been used to determine 5-HT2A receptor density in relation to several psychiatric diseases, such as depression (325), cognitive decline (326), Tourette's syndrome (327), schizophrenia (328) and other neuropsychiatric disorders (329, 330).

Another target allowing for serotonergic system imaging is the SERT. Interest in SERT imaging has been stimulated by the success of serotonin reuptake inhibitors. The three most widely used belong to the diarylsulfide family: [11C]-DASB, [11C]-MADAM, [123I]-ADAM (300). These radiotracers have been successfully used to estimate SERT occupancy by selective serotonin reuptake inhibitors (331–337), in order to demonstrate changes in SERT density in several neuropsychiatric disorders and throughout their treatment (338–344), as well as in healthy individuals to investigate physiological variations such as personality traits (345) or seasonal changes (346). Other specific radiotracers for this target are still being developed: 4-[18F]ADAM has yielded promising results (347, 348).

All these serotonergic tracers are summarized in **Table 8**.

## $\alpha$ -SYNUCLEIN

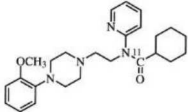
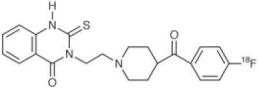
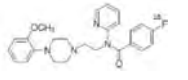
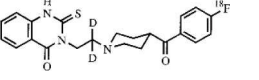
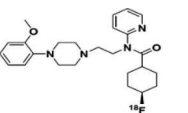
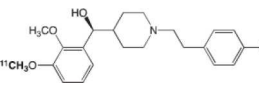
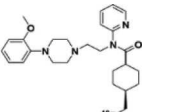
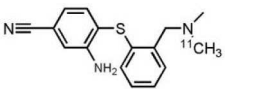
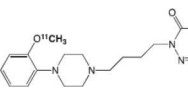
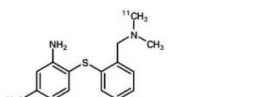
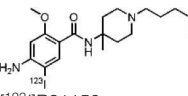
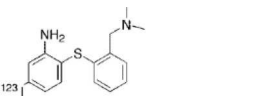
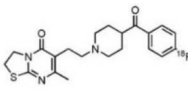
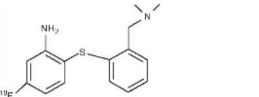
$\alpha$ -synuclein ( $\alpha$ -Syn) is a phosphoprotein found in Lewy bodies (LBs), pathological inclusions that are the hallmark of PD and LBD, as well as in the glial cytoplasmic inclusions (GCIs) that are typical of MSA. All these diseases fall now under the heading of synucleinopathies (363).  $\alpha$ -Syn aggregates might induce mitochondrial and proteasomal dysfunction, and interfere with vesicular trafficking within dopamine neurons, leading to their degeneration (364). These protein aggregates

have been shown to spread from cell to cell via the extracellular space, and the presence of  $\alpha$ -Syn has been demonstrated in extracellular matrices such as plasma, conditioned cell media, and cerebrospinal fluid (365, 366). It is thought that occult  $\alpha$ -Syn deposition may occur years before the onset of motor symptoms. Hence, accurate and early detection of premotor synucleinopathies may benefit more from  $\alpha$ -Syn imaging, rather than from evidence of dopaminergic changes (367, 368). Although several molecules are able to bind to aggregated  $\alpha$ -Syn, a selective imaging biomarker has not been found yet. A sensitive and specific  $\alpha$ -Syn radiotracer would have to fulfill several criteria. First,  $\alpha$ -Syn exist in different forms, including soluble and insoluble oligomers. An imbalance between these two species led to the formation of pathologic aggregates (369, 370), which have to be recognized by the tracer. Secondly,  $\alpha$ -Syn aggregates have distinct cellular localization patterns according to the synucleinopathy, with intraneuronal aggregates (e.g., LBs) in PD, and oligodendrocytic aggregates (e.g., GCIs) in MSA. The ideal  $\alpha$ -Syn radiotracer would be able to detect and differentiate these different locations, thereby providing a potential tool for differential diagnosis. Third, colocalization between  $\alpha$ -Syn aggregates and other aggregating proteins, such as tau and A $\beta$  (371), has frequently been reported. The optimum tracer would have to be able to specifically detect  $\alpha$ -Syn with regard to other deposits, despite their small size and low density. Finally,  $\alpha$ -Syn undergoes various posttranslational modifications, such as oxidative modification (372), phosphorylation (373, 374), and N-terminal acetylation, all of which the tracer should be able to detect (363).

As explained above, several molecules are able to cross the BBB and bind to aggregated  $\alpha$ -Syn. Unfortunately, these molecules also tend to bind to other aggregated proteins, including A $\beta$  plaques. In this context, diverse A $\beta$ -binding compounds have been investigated for potential affinity for  $\alpha$ -Syn, such as [11C]-PIB (375), and more especially [18F]-BF227. *In vitro* binding studies indicate that [18F]-BF227 binds with high affinity to two binding sites on A $\beta$ 1–42 fibrils, and to one class of binding site on  $\alpha$ -Syn fibrils. [18F]-BF227 has been found to bind to A $\beta$ -containing AD brain, but failed to bind to A $\beta$ -free LBD or age-matched control homogenates. Furthermore, [18F]-BF227 labeled both A $\beta$  plaques and LBs in an immunohistochemical/fluorescence analysis of human AD and PD brain sections (376). [18F]-BF227 has also been reported to stain GCIs in post mortem tissues, and [11C]-BF227 PET was used to measure the aggregated  $\alpha$ -Syn load in eight cases of probable MSA (377). This study demonstrated high signals in GCI-rich brain regions, including subcortical white matter and the putamen, globus pallidus, primary motor cortex, and anterior and posterior cingulate cortex. However, a very recent autoradiography study failed to support binding of [18F]BF-227 to CGI at concentrations typically achieved in PET experiments (378). The lack of specificity and affinity of [18F]-BF227 means that it cannot be used to diagnose synucleinopathies, although it could, theoretically, still be used to monitor changes in  $\alpha$ -Syn aggregate load after interventions such as immunotherapy. Levels of other aggregated proteins, such as A $\beta$ , would first have to be independently determined (368).

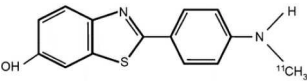
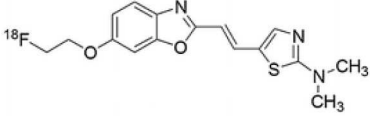
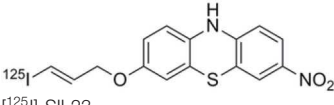


**TABLE 8 |** Main serotonergic radioligands, molecular structures, pharmacological properties, and examples of clinical studies.

Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies	Compounds	Imaging modality	Target/measure	Affinity (nM)	Clinical studies
 [ <sup>11</sup> C]-WAY-100635	PET	5-HT <sub>1A</sub> density (antagonist)	K <sub>d</sub> = 0.2–0.4 (300)	PD (306), depression (349), panic disorder (303), social anxiety disorder (302), anorexia nervosa (304)	 [ <sup>18</sup> F]altanserin	PET	5-HT <sub>2A</sub> density	K <sub>i</sub> = 0.13 (300)	AD (326), depression (325), schizophrenia (328), Tourette's syndrome (327), anorexia nervosa (329), obsessive compulsive disorder (330)
 [ <sup>18</sup> F]MPPF	PET	5-HT <sub>1A</sub> density (antagonist)	K <sub>d</sub> = 0.3 (300)	AD (308), epilepsy (282, 307, 311), migraine (309, 310)	 [ <sup>18</sup> F]deuteroaltanserin	PET	5-HT <sub>2A</sub> density	–	AD (350)
 [ <sup>18</sup> F]FCWAY	PET	5-HT <sub>1A</sub> density (antagonist)	K <sub>i</sub> = 0.25 (300)	Epilepsy (313–315), panic disorder (316)	 [ <sup>11</sup> C]MDL-100,907	PET	5-HT <sub>2A</sub> density	K <sub>d</sub> = 0.14–0.19 (300)	Depression (351), obsessive compulsive disorder (352)
 [ <sup>18</sup> F]MefWAY	PET	5-HT <sub>1A</sub> density (antagonist)	IC <sub>50</sub> = 26 in rats (353)	–	 [ <sup>11</sup> C]DASB	PET	SERT density	K <sub>i</sub> = 0.97 ± 0.07 (354)	Depression (340), schizophrenia (341), alcohol dependence (343), obsessive compulsive disorder (342), bipolar disorder (344)
 [ <sup>11</sup> C]CUMI-101	PET	5-HT <sub>1A</sub> density (partial agonist)	K <sub>i</sub> = 0.15 (300)	Measure of endogenous changes in serotonergic neurotransmission (355)	 [ <sup>11</sup> C]MADAM	PET	SERT density	K <sub>d</sub> = 0.02 (356)*	–
 [ <sup>123</sup> I]R91150	SPECT	5-HT <sub>2A</sub> density	K <sub>d</sub> = 0.11 (300)	AD (322), anorexia nervosa (324), suicidal behavior (323)	 [ <sup>123</sup> I]ADAM	SPECT	SERT density	K <sub>d</sub> = 0.03 (356)	Depression (338), migraine (339)
 [ <sup>18</sup> F]setoperone	PET	5-HT <sub>2A</sub> density	K <sub>d</sub> = 0.7 in rats (357)	AD (358), migraine (359), stroke (360), depression (361)	 4-[ <sup>18</sup> F]ADAM	PET	SERT density	K <sub>i</sub> = 0.081 (362)	Depression (348)

\*Determined for [<sup>3H</sup>]MADAM.

**TABLE 9 |** Main PET and SPECT radiotracers relevant to  $\alpha$ -Syn imaging, molecular structures, pharmacological properties, and examples of clinical trials. \*determined for [3H]-PIB.

Compounds	Imaging modality	Affinity for $\alpha$ -Syn fibrils (nM)	Affinity for A $\beta$ fibrils (nM)	Clinical trials
 <sup>11</sup> C]-PIB	PET	K <sub>d</sub> = 4.16* (381)	K <sub>d1</sub> = 0.71* K <sub>d2</sub> = 19.80* (A $\beta$ <sub>1-42</sub> fibrils) (382)	Not used in clinical trials for $\alpha$ -Syn imaging
 <sup>18</sup> F]-BF227	PET	K <sub>d</sub> = 14.03 $\pm$ 43.52 (380)	K <sub>d1</sub> = 0.82 $\pm$ 1.08 K <sub>d2</sub> = 125.2 $\pm$ 29.05 (A $\beta$ <sub>42</sub> fibrils) (380)	MSA (377)
 <sup>125</sup> I]-SIL23	SPECT	K <sub>d</sub> = 148 (379)	K <sub>d</sub> = 635 (379)	-

The last reported  $\alpha$ -Syn radioligand is [125I]-SIL23 (379). This tracer has been found to bind to  $\alpha$ -Syn fibrils in post mortem brain tissue from patients with PD, as well as to  $\alpha$ -Syn in a transgenic mouse model for PD. However, the affinity of SIL23 for  $\alpha$ -Syn vs. A $\beta$  and tau fibrils is not optimum for imaging fibrillar  $\alpha$ -Syn *in vivo*. Moreover, high non-specific binding, including non-specific binding in white matter liable to be secondary to lipophilic interactions, also appeared to limit autoradiography with SIL23 in preliminary experiments.

To conclude, the development of an  $\alpha$ -Syn PET radiotracer is particularly challenging, and although several studies have tried to develop suitable PET  $\alpha$ -Syn radiotracers (380), the ideal candidate remains elusive. These three radiotracers and their main properties are resumed in **Table 9**.

## DISCUSSION

Molecular imaging agents have evolved from non-specific agents to ligands with very high selectivity for specific brain targets such as receptors, neurotransmitter transporters, or abnormal protein deposits over the last decades. Through the nine targets mentioned above, we have seen that the specificity of the ligands for their target is of paramount importance. Indeed, cross binding affinities of several radioligands could reduce the specificity of the results and may interfere with diagnosis.

More and more the diagnosis of dopaminergic disorders is sustained by molecular imaging combined with clinical examination and have been included in guidelines (383, 384). Thus, molecular imaging is used as an ancillary tool when clinical symptoms are insufficient to confirm a diagnosis. Dopaminergic imaging rests on F-DOPA, but mostly on DAT imaging (especially [123I]-FPCIT), which is considered more relevant to evaluate dopaminergic neuron loss. Thus, LBT-999 could be of great interest in the future because of its better sensitivity, and the higher resolution of PET imaging. In parallel, the increase in attempted to graft dopaminergic neurons may

drive up F-DOPA imaging to monitor cell survival. An interesting target remain particularly challenging: indeed, to date,  $\alpha$ -Syn cannot be specifically detected with existing radiotracers. This target constituting the hallmark of PD, LBD and MSA, its early visualization could be considerably helpful for diagnosis.

In regards to AD imaging, the first investigations was the assessment of cerebral perfusion. Then, [18F]FDG has allowed to assess cerebral glucose metabolism, and remains a widely prescribed exam at present. Within the last decades, amyloid imaging became the most specific examination because of its excellent negative predictive value, and allow therapeutic stratification in clinical trials. In 2007 (later updated in 2010), Dubois and al. published revised criteria for AD that for the first time included AD biomarkers (amyloid PET and CSF A $\beta$ <sub>42</sub>) as a supportive criteria. However, A $\beta$  plaques are not correlated with cognitive decline, therefore, clinical research is increasingly turning to tau and neuroinflammation imaging to assess new treatments and follow-up disease progression. Further radiotracers targeting other mechanisms, such as [18F]FNM or [18F]-2FA, could be used in AD studies to improve understanding of the cascades of events leading to neurodegeneration.

Psychiatric diseases diagnosis does not call for molecular imaging in clinical routine. However, in psychiatry, physiopathological modifications behind the symptoms remain not well known and understood. Hence, PET and SPECT radioligands such as, serotonergic, GABAergic or glutamatergic tracers, are a powerful tool to improve psychiatric nosography. Nowadays, it is possible to quantify receptors and transporters imbalances in numerous psychiatric diseases including depression, anxiety and schizophrenia, and explore different treatments options. Moreover, several hypothesis suggest a potential link between excitotoxicity and psychiatric disorders especially schizophrenia. The hypothesis suggest that progressive excitotoxic neural cell death in hippocampal and cortical areas occurs via “disinhibition” of glutamatergic projection to these areas. Disinhibited glutamatergic activity could result from

inhibition of glutamate-mediated neurotransmission and a consequent failure to stimulate inhibitory GABAergic neurons, and/or degeneration of inhibitory GABAergic interneurons (385). Unfortunately, too few studies have been performed yet to highlight this hypothesis. Today, more tracers are needed to explore glutamatergic and GABAergic systems.

## CONCLUSION

After several decades of research, some radiotracers targeting a hallmark of a disease are valuable diagnostic tools in clinical routine and research, and are used on a large scale. Recently, numerous radiotracers have been developed in order to detect primary changes in brain tissue, and improve our understanding of physiopathological mechanisms of neuropsychiatric diseases. These radioligands provide quantitative and topographical information on the evolution of their target during the course of the disease. More than diagnostic tools, they are one of the only ways to better understand the functioning of the brain in the healthy man and in pathological conditions. Their future usefulness is more focused on therapy monitoring than on the diagnosis itself. As in oncology, molecular neuroimaging

is now becoming a therapeutic assistance tool, for screening patient's eligibility for drugs and monitoring the proper functioning of therapy. These new companion drugs are a new challenge for molecular imaging, and quantitative and kinetic analyzes seem to be increasingly relevant for image interpretation. Further development in understanding radiotracer metabolism, binding characteristics, BBB crossing, and clinicopathologic correlations of all these imaging probes will assert their clinical utility, and will lead to the development of more neuroimaging probes in the future.

## AUTHOR CONTRIBUTIONS

This review was written by MB, A-SS and NA. Correction was made by MR, PD, FL, J-FD, and PP. PP was also involved in the plan development.

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# Cell Tracking in Cancer Immunotherapy

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The impressive development of cancer immunotherapy in the last few years originates from a more precise understanding of control mechanisms in the immune system leading to the discovery of new targets and new therapeutic tools. Since different stages of disease progression elicit different local and systemic inflammatory responses, the ability to longitudinally interrogate the migration and expansion of immune cells throughout the whole body will greatly facilitate disease characterization and guide selection of appropriate treatment regimens. While using radiolabeled white blood cells to detect inflammatory lesions has been a classical nuclear medicine technique for years, new non-invasive methods for monitoring the distribution and migration of biologically active cells in living organisms have emerged. They are designed to improve detection sensitivity and allow for a better preservation of cell activity and integrity. These methods include the monitoring of therapeutic cells but also of all cells related to a specific disease or therapeutic approach. Labeling of therapeutic cells for imaging may be performed *in vitro*, with some limitations on sensitivity and duration of observation. Alternatively, *in vivo* cell tracking may be performed by genetically engineering cells or mice so that may be revealed through imaging. In addition, SPECT or PET imaging based on monoclonal antibodies has been used to detect tumors in the human body for years. They may be used to detect and quantify the presence of specific cells within cancer lesions. These methods have been the object of several recent reviews that have concentrated on technical aspects, stressing the differences between direct and indirect labeling. They are briefly described here by distinguishing *ex vivo* (labeling cells with paramagnetic, radioactive, or fluorescent tracers) and *in vivo* (*in vivo* capture of injected radioactive, fluorescent or luminescent tracers, or by using labeled antibodies, ligands, or pre-targeted clickable substrates) imaging methods. This review focuses on cell tracking in specific therapeutic applications, namely cell therapy, and particularly CAR (Chimeric Antigen Receptor) T-cell therapy, which is a fast-growing research field with various therapeutic indications. The potential impact of imaging on the progress of these new therapeutic modalities is discussed.

**Keywords:** cell tracking, immunotherapy, PET, SPECT, MRI, adoptive transfer, tumor microenvironment, cancer

## INTRODUCTION

The origins of immunotherapy go back to early centuries of history as illustrated by the fight against smallpox. Realization that survivors were immune to the disease eventually led to the practice of inoculation or variolation, that spread throughout Europe in the early eighteenth century. The discovery of cowpox vaccination by Edward Jenner in 1796 ultimately resulted, after a global vaccination campaign, in the eradication of the disease announced by the World Health Organization in 1977. Fighting infectious diseases with vaccines proved successful, but eradication of other diseases remains elusive. While Jonas Salk developed the first poliomyelitis vaccine in the 1950, the disease is not yet considered as eradicated and remains endemic in several African countries (1). In the meantime, the role of immunity in other pathologies has been explored and the immune system is now identified as a general defense system that distinguishes self from non-self or altered self. Its ability to recognize normal cells from infected or tumor cells has implications in cancer immune surveillance, graft rejection, and many other pathologies but can also result in autoimmune, and inflammatory diseases. It was also realized that the immune system uses an incredibly complex network of connected cellular and molecular agents, not yet fully known and understood.

The focus of this review is on anti-cancer immunotherapy as it is making impressive progress. However, the concepts can also be paralleled in other immune-mediated disorders and for conditions requiring immunotherapeutic intervention. Therapeutic antibodies and cell-based therapies, such as adoptive immunotherapy and stem-cell therapy, have been developed years ago, but, in the last few years, a more precise understanding of control mechanisms of the immune system triggered an impressive development of immunotherapy (2). Novel therapeutic approaches have recently emerged that reached clinical practice with remarkable success in a variety of cancers (3, 4). The different types of tissue injuries and the different stages of disease progression are more precisely identified, as well as the different local and systemic inflammatory responses. Monitoring the depletion, migration, and expansion of immune cells throughout the whole body should help characterizing the diseases and guiding selection of appropriate treatment regimens (5). Such methods have an important role in basic cancer research, where they serve to elucidate novel biological mechanisms. The development of effective therapeutic strategies, targeting tumor cells as well as their micro-environment, also requires the ability to determine *in vivo* the location, distribution, and long-term viability of the cell populations as well as their biological fate with respect to cell activation and differentiation.

This process is referred to as cell tracking and is not limited to therapeutic cells but includes all cells related to a specific disease or therapeutic approach, like tumor cells, immune cells or microenvironment. It involves non-invasive methods for monitoring the distribution and migration of biologically active cells in living organisms. In conjunction with various non-invasive imaging modalities, cell-labeling methods, such as exogenous labeling or transfection with a reporter gene, allow visualization of labeled cells *in vivo* in real time, as well as

monitoring and quantifying cell accumulation and function by a variety of imaging approaches. In this Review, we briefly describe the basic principles of cell-tracking methods and explain various approaches to cell tracking. Then we highlight recent examples of application of new technologies in animals, focusing on immune checkpoint inhibitor antibodies and cell-based therapies that use natural or genetically engineered T cells, dendritic cells, macrophages or stem cells, and when documented, the clinical potential of these methods.

## CELL TRACKING METHODS: LOOKING FOR CELLS IN ANIMAL OR HUMAN BODIES

Most earlier reviews on this topic have classified imaging techniques as direct or indirect labeling methods. The distinction between direct and indirect labeling is not entirely clear and here we will discuss *ex vivo* vs. *in vivo* labeling: *ex vivo* labeling include labeling cells with paramagnetic, radioactive or fluorescent tracers before injection, while *in vivo* labeling relates to *in situ* imaging cells by injecting radioactive, fluorescent, or luminescent tracers, or antibodies.

SPECT and PET imaging with labeled monoclonal antibodies has been used for years to detect cancer cells. With the development of immuno-PET, they are now used to detect, quantify and longitudinally monitor *in vivo* a variety of cells in the context of immunotherapy of cancer and other diseases (6). Using radiolabeled tracers for *in vivo* imaging will thus be discussed in this review as one of the possible methods of cell tracking.

The various labeling techniques discussed in this review are presented schematically in **Figure 1**.

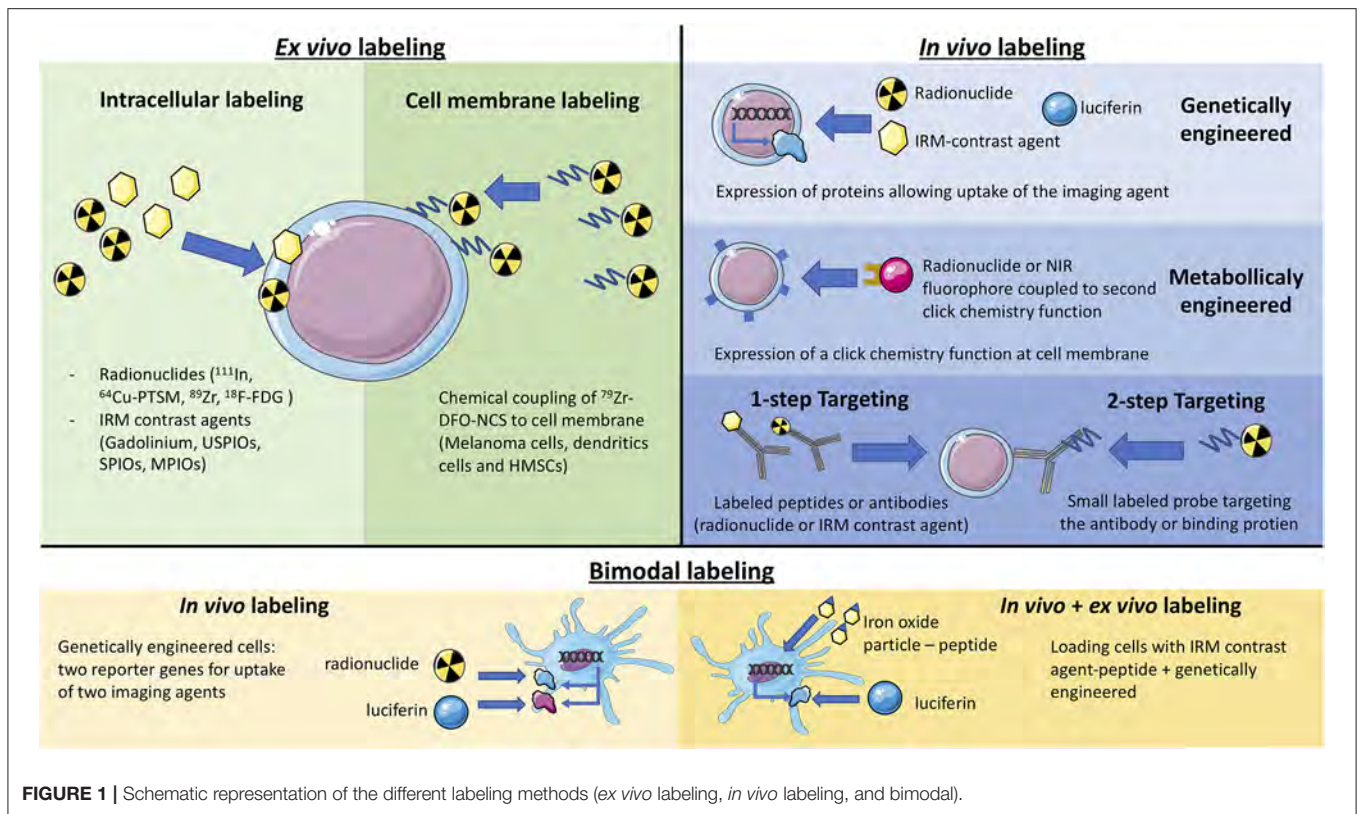
### **Ex vivo Cell Labeling**

While the administration of radiolabeled white blood cells has been a classical nuclear medicine technique for years to detect inflammatory lesions (7), new non-invasive methods for monitoring the distribution and migration of biologically active cells in living organisms have emerged. They aim at improving the detection sensitivity and allowing for a better preservation of cell activity and integrity. These methods have been the subject of many reviews (8). Labeling therapeutic cells for imaging may now be performed *in vitro* with little impact on cell function nor migration ability, with some limitations on sensitivity and duration of observation (7, 9, 10). Methods based on radioactive imaging or MRI have the highest potential for clinical imaging. They are briefly presented here in this order, highlighting recent progress.

### **Radioactive (SPECT, PET)**

Labeling cells with long-lived radionuclides before re-injection has been used for years in nuclear medicine routine, as mentioned above, but concerns about cell viability and maintenance of cell functions arose. Typically,  $^{111}\text{In}$ -oxine is used to label leukocytes (11). Cell labeling yield is good, but a significant efflux rate was reported, and image





**FIGURE 1** | Schematic representation of the different labeling methods (ex vivo labeling, in vivo labeling, and bimodal).

quality is considered suboptimal with this high energy single photon emitter.

Most recent developments relate to cell labeling using positron emitters because, in human, PET imaging offers better resolution and more precise quantification compared to SPECT. Copper-64 is an interesting candidate, with good imaging properties and a relatively long half-life of 12.7 h.  $^{64}\text{Cu}$ -pyruvaldehyde-bis(N4-methylthiosemicarbazone) ( $^{64}\text{Cu-PTSM}$ ) was thus used to label C6 glioma cells, as the lipophilic complex is readily taken up in cells. A good cell labeling yield, but a significant efflux rate from cells was observed (12). Zirconium-89 has a half-life of 78.4 h, which is quite convenient to monitor cell trafficking over a few days after administration. Myeloma cells were labeled with  $^{89}\text{Zr}$ -oxine using a technique similar to that used for In-111 cell labeling (9). Cell labeling yield was reasonable but contrasting results for efflux rate and cell viability were reported. Sato et al. (10) reported that  $^{89}\text{Zr}$ -oxine complex readily labeled dendritic cells (DC) with an efficiency range of 13.0–43.9 and  $83.5\% \pm 1.8$  retention 5 days after labeling. In this study, it was considered that labeling did not affect the viability of mouse DCs and Cytotoxic T Lymphocytes (CTLs), nor did it affect functionality. More recently  $^{89}\text{Zr}$ -labeled CAR (Chimeric Antigen Receptor) T cells were shown to retain more than 60% of the  $^{89}\text{Zr}$  over 6 days while their capacity of *in vitro* cytokine production, migration, and tumor cytotoxicity, as well as their *in vivo* antitumor activity (13) were preserved. To further reduce efflux rate and improve viability and cell functions, labeling mixed lymphocyte cell

populations with Zr-89 radiolabeled nanoparticles was explored (14, 15).

An alternative approach to loading the radionuclide inside the cells has been proposed. It uses Zr-89-desferrioxamine-NCS, which chemically couples to the membrane of cells. Mouse melanoma cells, dendritic cells and human mesenchymal stem cells were labeled by this method, which was shown to afford stable labeling for 7 days, with little effect of on cell viability and proliferation and to allow for serial PET scans in mouse models (16).

With its fast and efficient uptake and good retention,  $^{18}\text{F}$ -labeled fluoro-2-deoxy-2-D-glucose ( $^{18}\text{F-FDG}$ ) may be used to label cells *in vitro* to monitor cell traffic *in vivo*. For instance, cardiac stem cells were labeled and their biodistribution and retention was quantified in a pig model of chronic myocardial infarction (17). A potential drawback of  $^{18}\text{F-FDG}$  for assessing cell therapies following implantation is the local retention of radiotracer released from the cells. Thus, 3'-deoxy-3'-L-[ $^{18}\text{F}$ ]-fluorothymidine ( $^{18}\text{F-FLT}$ ) has been proposed to label cells instead of  $^{18}\text{F-FDG}$ . Human Umbilical Endothelial Vein Cells (HUVECs) incubated with  $^{18}\text{F-FLT}$  and injected in mice with hind-limb ischemia were shown to provide a better estimation of HUVECs retention than cells labeled with  $^{18}\text{F-FDG}$  (18).

### Magnetic Resonance Imaging (MRI)

Gadolinium(III) chelates, such as gadopentetate dimeglumine, are effective paramagnetic contrast agents owing to their unpaired electrons. These electrons confer a magnetic moment

that increases the relaxivity of water protons, shortens the longitudinal relaxation rate (T1) and, therefore, increases the signal by creating a positive contrast in T1-weighted MRI images (19). The amount of gadolinium that may be loaded into cells obviously limits the sensitivity. As an example, rat mesenchymal stem cells (MSC) were loaded *in vitro* with Gd-DTPA using the lipidic transfection agent Effectene. Electron microscopy detected the presence of Gd-DTPA particles in the MSCs and no difference was observed in cell viability or proliferation between the labeled and unlabeled MSCs. T1-weighted MRI was then used to detect the labeled cells *in vitro* and in the rat brain (20).

Superparamagnetic iron-oxide particles have an inherently larger effect on MRI relaxivity than soluble paramagnetic agents. Their core may contain several thousand iron atoms, which increases the local iron concentration and sensitivity. These particles may be coated with dextran, siloxan, citrate, or polymers to improve biodistribution. The superparamagnetic agent results in negative contrast in T2-weighted sequences by causing inhomogeneities in the local magnetic field and spin-spin dephasing, which shortens transverse relaxation times (21). Ultra-small superparamagnetic iron oxide (USPIO) of 10–50 nm, superparamagnetic iron oxide (SPIO) of 50–100 nm and micrometer-sized iron oxide (MPIOs) up to >1  $\mu\text{m}$  particles have been used (8). Again, cell viability limits the intracellular particle concentrations and thus cell detection sensitivity. Phagocytic cells, such as dendritic cells or pancreatic islet cells, can accumulate large amounts of nanoparticles to allow for their detection in animals and patients (22). Macrophages were easily and efficiently labeled with micrometer-sized particles of iron-oxide (MPIO) *in situ* and analyzed via *ex vivo* magnetic resonance microscopy (MRM) and *in vivo* monitoring by magnetic resonance imaging (MRI). The results were confirmed by fluorescence with an anti-macrophage phenotype marker F4/80 antibody (23). Technological improvements in the sensitivity of MRI equipment afforded promising results in detecting smaller numbers of cells that are difficult to label, including T lymphocytes (24).

Chemical exchange saturation transfer has been proposed as a new mechanism for contrast enhancement in MRI (25) in diamagnetic CEST or paramagnetic CEST (PARACEST), exchangeable protons resonate at a chemical shift different from that of water. Radiofrequency applied at their frequency saturates exchangeable protons, which transfer into water and reduce MRI signal in their vicinity. Although the sensitivity is rather low, the possibility of switching the signal “on” and “off” has attracted much interest (26).

Magnetic resonance also allows for high sensitivity detection of non-radioactive fluorine ( $^{19}\text{F}$ ). Human NK cells were cultured for 24 or 48 h with a commercially available emulsified PFPE perfluorocarbon (CS-ATM-1000) under conditions where labeling had no measurable effect on cell viability and cytotoxicity against K562 leukemia cells.  $^{19}\text{F}$ -labeled NK cells could then be detected at the site of injection and shown to migrate (27).

## In vivo Labeling

Even if *in vitro* cell labeling looks rather easy and if progress has been made, direct labeling of cells prior to injection

does not allow for long term *in vivo* imaging. Sensitivity is limited, especially for MRI, when cell viability and functionality is preserved. One drawback has been repeatedly mentioned: macrophages can take up cells or cell debris at the site of injection and migrate. The dilution of the imaging probe during cell division and its release from the cell eventually lead to the disappearance of the signal. Thus, finding alternative routes for tracking cells of interest *in vivo* has been the subject of many technical developments. One such alternative is the *in vitro* cell transfection with genes coding for transporters or enzymes as well as metabolic engineering that allow *in vivo* cell detection using various molecular imaging techniques after injection of a specific tracer.

## Genetically Engineered Cells for Radioactive, MRI, or Bioluminescence Imaging

To achieve long term labeling, cells can be genetically engineered to express reporter genes. This reporter gene will allow the targeting of the cells by administering an imaging probe. A stable expression of this reporter allows for a virtually unlimited number of imaging sessions, without any impact of cell division.

### Radioactive imaging

Iodine is taken up by the thyroid and by a few other tissues through the sodium-iodine symporter (NIS). Thus, cells were transfected with the NIS gene, most often the human gene (hNIS), injected and imaged by SPECT using a variety of radioactive tracers including iodine-123 (sodium iodide) and technetium-99m (sodium pertechnetate) in a variety of animal models (28). NIS may also be used for PET with iodine-124 or  $^{18}\text{F}$ -tetrafluoroborate (29, 30). This approach was used recently to label tumor cells *in vivo* (31) and to monitor dendritic cell traffic from the skin to lymph nodes (32). This approach has some limitations, though. First, as mentioned above, NIS is expressed by a variety of normal cells, particularly in thyroid, salivary glands and stomach. Thus, imaging cells in these organs is excluded due to background signal. Second, sensitivity for the detection and quantification of transfected cells expressing NIS *in vivo* is limited because, in the transfected cells, the radioactive tracer does not become linked to tyrosine as iodine is in the thyroid.

Another reporter gene that has attracted much interest is the herpes simplex virus type 1 thymidine kinase (HSV1-tk). With this kind of genes that code for intracellular proteins, the risk of immune reactions is reduced. HSV1-tk allows for PET and SPECT using a variety of anti-viral agents specific for the virus kinase and not recognized by the human enzyme. They enter cells and become phosphorylated and trapped intracellularly only in HSV1-tk-transfected cells. Compounds such as FIAU (5-iodo-2-fluoro-2-deoxy-1-D-arabino-furanosyl-uracil), FEAU (2-fluoro-2-deoxyarabinofuranosyl-5-ethyluracil) or acycloguanosine derivatives (e.g., FPCV: fluoropenciclovir, FHBG: 9-[4-fluoro-3-(hydroxymethyl) butyl] guanine) may be labeled with  $^{18}\text{F}$  and used for *in vivo* PET imaging. Sensitivity may be improved by using a mutated gene, HSV1-sr39tk, that codes for a more potent enzyme. HSV1-sr39tk may be used with [ $^{18}\text{F}$ ]-FHBG as a tracer (33).

In a similar approach to the transfection of cells with viral thymidine kinase, animals may be engineered to express thymidine kinases in specific cells. As an example, Rosa26-mT/sr39tk mice were generated and HSV1-sr39tk expression in platelets, T lymphocytes or cardiomyocytes was induced. Longitudinal PET imaging and quantification of T-cell homing during inflammation and cardiomyocyte viability after myocardial infarction could then be monitored using [ $^{18}\text{F}$ ]-FHBG, a cell-permeable tracer that is phosphorylated by HSV1-tk and retained inside the cells (34).

Alternatively, cells may be transfected to express cell-surface receptors for peptides as, for instance, the human glucagon-like peptide 1 receptor gene and imaged with the peptide labeled with fluorine-18 (35). A similar approach was used to detect transplanted pancreatic islet cells that express glucagon-like peptide 1 receptor (GLP-1R) by PET imaging after the injection of  $^{64}\text{Cu}$ -DO3A-VS-Cys40-Exendin-4, showing persistent and specific uptake in the mouse pancreas (36). The mutated version of the dopamine receptor, D2R80A, that internalize  $^{18}\text{F}$ -Fallypride, has also been proposed for imaging mesenchymal stem cells (37, 38).

### Magnetic resonance imaging

Reporter-gene transfection has been proposed for MRI. The transferrin receptor has been used to capture transferrin-conjugated SPIO particles (39). Dendritic cells transfected with the ferritin gene show increased iron uptake that may be detected by MRI (40, 41). A very similar approach to the NIS system may be used for MRI, by transfecting cells with the Divalent Metal Transporter 1 (DMT1) that can import manganese (42). In the same setting, radioactive manganese ( $^{52}\text{Mn}$ ), may be used for PET imaging (43).

### Optical imaging

Bioluminescence imaging (BLI) consists in the use of a luciferase enzyme, which reacts with its substrate, luciferin, and emits light between 480 and 600 nm, depending on the type of enzyme (firefly, Renilla, or bacterial) and substrate (44). This method implies the insertion of the luciferase gene inside the genome of the tracked cells by cell transfection during *in vitro* culture or by engineering mice to express the luciferase in target cells. In this later case, the mouse itself allow for visualizing intrinsic cells during the development of a pathology. In the case of adoptive cellular therapy, the cells can be isolated from the mouse before the adoptive transfer without need for *in vitro* transfection. Although the insertion and expression of luciferase is stable, so far adoptively transferred cells have only been followed up to a week, due to the decay of the signal. This may be linked to the death of transferred cells (45). However, after the disappearance of the BLI signal, mice were sacrificed, and histology or flow cytometry was performed. It has been reported that, although the cells are still present and express luciferase, the BLI signal is no more detectable (46). Metabolic changes may be suspected as luciferases need energy and cofactors. Due to this lack of sensitivity, BLI is very often associated with another reporter gene, like Green Fluorescent Protein (GFP), which allow

the *ex vivo* detection by flow cytometry or immunostaining of the organs.

Indeed, these reporter genes are most of the times not used alone but in association, either to enhance the signal (39) or to confirm its specificity by a different imaging approach (47, 48). Most of these proteins are endogenous and not toxic (dopamine receptor, NIS, ferritin). They can be expressed naturally in some organs of the human body, limiting their use. On the other side, inducing their expression in cells implies a possible impact on the functions of the cells.

Animals may also be made to express fluorescent proteins or luciferase in specific cells. This approach has been extensively developed for many different studies, including oncogenesis and cancer therapy (49). For instance, the photoconvertible fluorescent protein Kikume green-red protein was used to track dendritic cells *in vivo*. The KikGR protein changes its color from green to red upon UV illumination. Then, migration of dendritic cells, specifically CD103<sup>+</sup> dendritic cells, from the skin to lymph nodes could be monitored after UV illumination of the skin of knock-in mice expressing the protein (50).

### Metabolically Engineered Cells and Click Chemistry

Metabolic engineering and click chemistry (also known as bio-orthogonal chemistry) takes advantage of fast and high yield chemical reactions that may take place in aqueous media and even *in vivo*. A variety of chemical reagents have been developed that allow for highly specific reactions that are not hindered by biological conditions. Cells of interest were labeled by glycoengineering and bioorthogonal click chemistry by incubation *in vitro* with tetra-acetylated N-azidoacetyl-D-mannosamine to generate unnatural sialic acids with azide groups on their surface. The cells may then be injected *in vivo* and detected by the second click chemistry reagent, coupled to a fluorochrome such as dibenzyl cyclooctyne-conjugated Cy5 (DBCO-Cy5) for near-infrared fluorescence imaging or to iron-loaded nanoparticles for MRI (51). This approach was shown to improve labeling efficacy and to reduce false signals generated by macrophage phagocytosis of *in vitro* labeled cell debris. It does not require genetic modifications. So far, this approach has only been used for near-infrared fluorescence (NIR) with stem cells and tumor cells (52, 53). Although NIR imaging is non-toxic and cheap, its limited spatial resolution and poor penetration through tissue complicate its use in clinical imaging.

### Indirect Methods: Labeled Antibodies and Tracers

Labeled antibodies may be used to detect cells *in vivo* by SPECT, PET, or NIR fluorescence. They have mainly been used for tumor diagnosis, staging or tumor response monitoring (54). It has been reported that labeled antibodies allow the tracking of T cells *in vivo* (55).

The first step is to choose the target antigen. Ideally, this antigen should be exclusively expressed on target cells, but most of the time other tissue also express it. For T lymphocytes, many targets have been tested, e.g., CD3, CD8, CD2, and CD7 (56–58).

Once the target is chosen, the antibody must be radiolabeled. Ideally, the radionuclide has a half-life compatible with the biological half-life of the antibody. In human,  $^{89}\text{Zr}$  and  $^{64}\text{Cu}$ ,

with half-lives of 78.4 and 12.7 h, respectively, have been used for PET imaging. The radiolabeling method also has an important impact on the quality of the images, since free radionuclide can lead to enhanced background noise, or worse, false positive signal in normal organs, where the target antigen is not expressed. For instance,  $^{89}\text{Zr}$  shows a natural tropism to the bone (59) that can impede the tracking of bone marrow cells.

Multistep labeling techniques using antibodies have been developed to improve target to normal tissues ratio. Among these pretargeting approaches, the affinity enhancement system (AES) has been shown to be an excellent method for *in vivo* tumor imaging by SPECT and PET (60). Recently, new pretargeting approaches have been developed. One is based on the *in vivo* formation of an oligonucleotide duplex. A first oligonucleotide analog (e.g., peptide nucleic acid or PNA) is coupled to an antibody or a small binding protein (e.g., an anti-HER2 Affibody) for pretargeting of a radiolabeled complementary oligonucleotide analog (61). Another approach is based on bio-orthogonal chemistry (62). The CC49 antibody recognizing the tag72 antigen derivatized with trans-cyclooctene (TCO) was used for pretargeting  $^{111}\text{In}$ -labeled DOTA-dipyridyltetrazine, demonstrating fast and high tumor activity uptake and high tumor to muscle ratio in a mouse model. Using small binding proteins such as diabodies or affibodies instead of intact IgG antibodies improves the pretargeting performances for PET (62, 63). Pretargeting may also be applied to NIR fluorescence imaging (63).

The feasibility of detecting cells *in vivo* using MRI and contrast agents targeted using antibodies or antibody fragments has been tested. Magnetic iron oxide nanoparticles were coated with ethylene oxide polymers and coupled to a ScFv targeting the epidermal growth factor receptor. The product showed a long blood circulation time and low accumulation in liver and spleen. Although *in vitro* binding and internalization was specific, 24 h after administration to mice bearing EGFR-positive breast cancer 4T1 mouse mammary tumors, MRI signal reduction resulting from uptake of the reagents in the tumor was observed but this signal reduction was equivalent for the targeted and the control products (64). More recently, the same approach was improved by site-selective scFv conjugation to SPION PEG nanoparticles. *In vivo*, the decrease of MR signals in HER2<sup>+</sup> xenograft tumor was about 30% at 24 h after the injection, while non-targeted SPION PEG nanoparticles showed no effect (65).

## Bi(multi)Modal Imaging

Multimodality approaches deserve specific attention, even if they are generally limited to preclinical studies. Not only can they combine various imaging modalities, such as radioactive, MRI or optical imaging, but also *ex vivo* and *in vivo* labeling as well as post-mortem studies. Thus, bimodal systems have emerged that combine magnetic resonance imaging (MRI) or PET with fluorescence or bioluminescence.

Genetically engineered dendritic cells (DC) have been developed for MRI. Proteins which have an affinity for iron compounds may be used as MRI reporters. In a recent study, DC were engineered to express human ferritin heavy chain (FTH), which chelates iron and acts as an endogenous MRI

contrast agent, and GFP genes to allow both fluorescence and MRI cell tracking (40). Reporter genes can also be an enzyme like the *Drosophila melanogaster* 2'-deoxynucleoside kinase (Dm-dNK) that phosphorylates native deoxynucleosides and a wide range of synthetic nucleoside analogs, including fluorescent nucleosides (66). In this study, the fluorescent nucleoside analog, 2'-deoxycytidine (pyrrolo-dC), generated highly specific CEST MRI signal and fluorescence for bimodal imaging (67).

DC can be loaded by phagocytosis of an antigen labeled with an MRI contrast agent (68). It is possible to effectively load DC with multifunctional polymeric nanoparticles. Nanoparticles composed of iron oxide bearing the OVA antigen coupled to a NIR fluorophore (MNP-OVA) allowed the monitoring of the migration of DCs to lymph nodes in DC adoptive transfer immunotherapy using NIR fluorescence imaging and MRI (69).

PET tracking of genetically engineered DC in combination with bioluminescence has also been developed. In a study, DC were made to express both human NIS and efflux genes. DC migration is then made possible by using  $^{18}\text{F}$ -tetrafluoroborate (TFB), a substrate for the NIS reporter gene. Bioluminescence imaging is performed to confirm PET results (32). A combination of PET and Cerenkov luminescence has also been described (70).

Non-phagocytic regulatory T cells (Tregs) have been imaged *in vivo* after transduction by human NIS and the fluorescent protein mCherry. NIS expressing Tregs were labeled *in vitro* with technetium-99m pertechnetate ( $^{99\text{m}}\text{TcO}_4^-$ ) and imaged *in vivo* in C57BL/6 mice by SPECT/CT. After 24 h, Tregs were detected in the spleen and the bimodal labeling confirmed their localization by organ biodistribution studies and flow cytometry (71). In a similar way, bone marrow stem cells were labeled with gadodiamide (Omniscan), a non-ionic complex of gadolinium, using the fluorescent Arrest-In transfection reagent (72).

Nanoparticle systems can integrate therapeutic and imaging agents in a single formulation. They may be particularly useful as multimodal imaging agents. They have been used to deliver these agents through passive or active targeting to cells *in vitro* and *in vivo*. The different kinds of such nanoparticles, which include polymeric nanoparticles, micelles, liposomes and dendrimers and their potential applications in cancer immunotherapy, and immune cell tracking have been reviewed in detail (73).

## CELL TRACKING ACHIEVEMENTS: WHAT HAPPENED IN CELL TRACKING OVER THE LAST TEN YEARS?

New methods have been developed, but has *in vivo* cell tracking advanced (cancer) immunotherapy? *In vivo* imaging has the potential to contribute as a drug development tool to improve the understanding of complex mechanisms of action, as a tool to improve efficacy, for example, by stratifying patients as possible responders or non-responders, and as a non-invasive treatment response biomarker to guide immunotherapy and recognize early signs of loss of efficacy. In cell therapy, a series of questions are asked about the delivery of the cells, their viability, differentiation of proliferation, as well as about the immune responses they may trigger. At this point, preclinical studies have been numerous,

but transfer to the clinic remains quite limited (74). This part of the review aims at providing a non-exhaustive survey of achievements in cell tracking using the current tracking methods summarized in **Table 1**.

## Investigating the Tumor and Its Microenvironment

The evaluation of tumor volume and demonstration of tumor shrinkage remains the basis for tumor response assessment with the so-called RECIST criteria. It can be easily performed by CT-scans or MRI when the lesions are measurable, which is by far not always the case. In addition, tumor shrinkage may be delayed and some effective treatments (e.g., some kinase inhibitors) do not result in prominent tumor volume changes. Alternative response criteria, PERCIST, have been proposed (86). In addition, new imaging technologies offer possibilities to look at tumor lesions not as a non-descript mass of tumor cells, but as a complex body of interacting cells of different origins.

### Imaging Tumor Cellular Composition

Measuring the relative number of tumor cells in the tumor lesion before and after treatment, may be useful in response assessment. Highly specific markers are needed. For instance, compounds that target melanin biosynthesis (benzamides) (87) and metallopeptides (88) binding to melanocortin type 1 receptor (labeled MSH analogs) have been used in melanoma, but many other labeled molecules, including antibodies, labeled for SPECT and PET, have shown high imaging performances in terms of sensitivity and specificity (89, 90).

### Imaging TILs

Monitoring the phenotype and function of tumor infiltrating lymphocytes has long been recognized to be important in adoptive tumor cell therapy (91). This was achieved, in animals as well as in human, by the administration of radiolabeled tracers, usually antibodies or analogs, and SPECT or PET. For example,  $^{64}\text{Cu}$ -labeled diabody specific for CD8 was used to assess CD8 T cell density in tumors in mice and treatment related changes (92). Whole antibodies, labeled with zirconium-89 afford similar results (56). Many target antigens have been tested in animal models (56, 58) and CD7 seems so far to be the best candidate to target T lymphocytes, with the lowest toxicity (56).

Surprisingly, in patients, immuno-PET has not been used to detect lymphocytes in tumors, other than through their expression of immune checkpoints, as discussed below. However, labeled IL-2 has been used to visualize lymphocyte infiltrating tumors (77, 93). In a pilot study, patients with metastatic melanoma receiving ipilimumab (IPI) or pembrolizumab (PEMBRO) were subjected to SPECT/CT imaging with  $^{99\text{m}}\text{Tc}$ -labeled interleukin-2 in an attempt to detect TILs. In 5 patients (2 treated with IPI and 3 with PEMBRO), metastatic lesions could be visualized with a positive correlation between size and  $^{99\text{m}}\text{Tc}$ -HYNIC-IL2 uptake, both before and after 12 weeks of therapy (93).

Texture analysis and radiomics may also, without administration of tracer, provide molecular information about infiltration of lymphocytes in tumors. In cancer patients,

evidence of for the presence of CD3 T cells in tumors have been obtained by MRI texture analysis (94) and for the presence of CD8 T cells by CT radiomics and Artificial Intelligence analysis (95).

## Macrophages

Macrophages are tissue-resident cells of the innate immune systems that perform a variety of functions in host tissue repair and maintenance of homeostasis. Macrophages are associated with auto-immune and inflammatory diseases and, in oncology, one of the tumor escape factors is the presence of pro-tumor macrophage, tumor-associated macrophages (TAM) that support tumor growth (96). *In vivo* studies have analyzed the biological role and migration of macrophages using different imaging methods such as fluorescent imaging (97), PET, MRI, and multimodal imaging. Macrophages migration to the inflammatory site after an induction of inflammation was analyzed by *in vitro* labeling with radioactive iodide-embedded gold nanoparticles (RIe-AuNPs) and PET imaging (76). During inflammatory disease such as arthritis, atherosclerotic plaques, *in vivo* staining of the macrophage with  $^{111}\text{In}$ - or  $^{64}\text{Cu}$ -labeled antibodies allowed imaging follow-up, evaluation of therapeutic efficacy and therapy adaption (98, 99). For acute or chronic obstructive pulmonary disease, the recruitment of macrophages was monitored by labeling with amine-modified PEGylated dextran-coated SPIO and MRI (81).

In oncology, macrophages are an important part of the tumor microenvironment and thus a therapeutic target. Indeed, the presence of TAM favors tumor escape. In order to assess their presence in tumors and to analyze the efficacy of therapy, these cells were tracked by immuno-SPECT using  $^{111}\text{In}$ -labeled anti F4/80 (100) antibody, by MRI using the contrast agents MPIO (82) and ultra-small iron oxide nanoparticles (USPIO) (101), by BLI in transgenic luciferase mice (84) or by multimodal imaging combining MRI and BLI (85). PET imaging using labeled ligands targeting receptors overexpressed in macrophages, such as the Translocator protein (peripheral benzodiazepine receptor), has also been proposed (80).

### Imaging Tumor Metabolic Activity

$^{18}\text{F}$ -FDG is the most commonly used radiopharmaceutical for imaging tumor metabolism in clinical practice. Its use is based on the increased glycolytic rate in tumors compared to physiologic cells, known as the Warburg effect. However, inflammatory and other metabolically active effector immune cells may contribute to activity uptake in tumor lesions (102). By contrast, lesions with high numbers of proliferative tumor cells are  $^{18}\text{F}$ -FDG avid, whereas low  $^{18}\text{F}$ -FDG avid lesions have been shown by immunohistology to be infiltrated by activated immune cells. As a result,  $^{18}\text{F}$ -FDG is not considered as a marker of immune response and new markers such as amino acids, nucleotides, choline, and receptor ligands have been studied. In hemolymphoid tissues, however, increased levels of deoxycytidine kinase (DCK) expression is found; DCK is the rate-limiting step in the deoxycytidine salvage pathway. The tissue-specific expression of this enzyme allows

**TABLE 1** | Current tracking cell methods in pre-clinic, depending on the type of labeling (direct, indirect, and transgene) and the modality of imaging (TEP/SPECT, MRI, BLI/fluorescence, and multimodal imaging).

	Direct labeling			Indirect labeling				Transgene			
	Radioactive/ contrast agent	Cell type	References	Radioactive/ contrast agent	Targeting molecule	Cell type	References	Transgene	Radioactive/ contrast agent	Cell type	References
TEP/SPECT	Zirconium 89	Dendritic cells T cell	(10) (10, 14– 16, 75)	Copper-64 and Zirconium-89	Radiolabeled antibody anti CDS, CD7, PDI, CD3	Tumor- infiltrated lymphocytes	(56, 77–79)	Transporter NIS GLP-IR	18F -tetra fl uorobora te Copper- 641abeled peptide	Dendritic cells and tumor cells Pancreatic cells	(31, 32) (36)
	Indium-111 Cuivre-64	Leukocytes Glioma cells	(11) (12)	Inidum-111	anti HER2 affibody	Tumor cells	(61) (62) (63)	D2R80A HSV1-tk	18F-Fallypride Fluor-18	Stem cells T cells and cardiomyocytes	(37, 38) (34)
	18-FDG	Cardiac stem cells	(17) (18)	Lutetium-177	anti TAG72 diabody						
	18F-FLT	HUVECs	(76)	Carbon-11	Diolabeled antibody anti F4	Macrophages	(80)				
	Rie-AuNPs	Macrophages									
MRI	SPIO	Dendritic cells Macrophages T cells	(22) (81) (24)	Iron nanoparticles	Click chemistry	Stem cells	(53)	Transferrin receptor Ferritin	SPIO particles Iron uptake	Human Mesenchymal Stem Cells Dendritic cells	(39) (40, 41)
	Gadolinium- DTPA	MSC	(20)								
	MPiO, USPIO	Macrophages	(23, 76, 82)								
	Fluorine-19	NK cells	(27)								
BLI/fluorescence				ManNaz and DBCO	Click chemistry	Stem cells Tumor cells	(52, 53) (63)	Luciferase Kikume green- red		Stem cells T cells Macrophage Dendritic cells	(34, 46) (83) (84, 85) (50)
								Fluorescence+ MRI: DMdNk protein PET+BLI:NIS	CEST MRI 18 tetrafluoroborate	Genetically engineered DC	(66, 67) (32, 70)
Multimodal	Fluorescence+ MRI	Dendritics cells : <i>ex vivo</i> labeling tumor peptide	(69)					TEP/IRM: transporteur DMTI PET+ fluorescence: NIS and mcherry gene	Manganese-52	Human stem cells	(43)
									99mTC04-	Regulatory T cells	(71)

more specific targeting by, for example,  $^{18}\text{F}$ -2-fluoro-D-(arabinofuranosyl)cytosine ( $^{18}\text{F}$ -FAC), which has been shown to accumulate preferentially in  $\text{CD8}^+$  T cells and in innate immune cells in mice (103).

$^{18}\text{F}$ -labeled 3-fluoro-3-deoxythymidine ( $^{18}\text{F}$ -FLT) is trapped intracellularly after phosphorylation by thymidine kinase 1 (TK-1) but is not incorporated into DNA since  $^{18}\text{F}$ -FLT-monophosphate is a very poor substrate of thymidylate kinase (TMPK). Imaging with  $^{18}\text{F}$ -FLT has been evaluated to show proliferation more specifically (18), but effector immune cells that infiltrate tumors are mostly of a differentiated phenotype and do not proliferate. Thus,  $^{18}\text{F}$ -FLT uptake in the lymph nodes of vaccinated patients only increased in the presence of antigen-loaded DC, providing the first clinical demonstration that immune responses induced by antigen-specific therapy can be imaged *in vivo* (102).

In bladder cancer, and presumably in other cancers, correlations have been observed between tumor  $^{18}\text{F}$ -FDG uptake and expression of PD-1/PD-L1 (104). Such a correlation may be useful for the selection of appropriate therapeutic strategies.

## Immune Checkpoint Inhibitors: Assessment of Immune Status in Tumor Lesions

Immunotherapy agents do not directly attack tumors but reactivate the immune system by targeting adaptive or innate immunity. Immuno-oncology has been revolutionized by the introduction of immune checkpoint inhibitors (ICI) and the approval of ipilimumab in 2011. ICI are monoclonal antibodies targeting immuno-regulatory molecules on the surface of T cells, antigen-presenting cells, and neoplastic cell populations. Clinical success of reagents blocking the CTLA-4 (cytotoxic T lymphocyte-associated protein 4, CD152) and PD-1/PD-L1 checkpoints (programmed cell death protein 1, CD279; programmed death-ligand 1, CD274) has driven rapid regulatory approval for treatment of patients with both solid and hematologic malignancies (105). Patients treated with immune checkpoint inhibitors (ICI) have objective response rates of 20–40% for solid tumors, lymphomas, and malignant melanomas. Thus, 60% of patients do not respond to treatment. It may of course be expected that patients with tumors presenting a higher load of tumor infiltrating lymphocytes (TIL) are more likely to respond to anti-PD-1/PD-L1 check point inhibitors (106).

A detailed understanding of the tumor microenvironment, including the identification and quantification of different immune cell subsets, their spatial context, and the expression of these immune checkpoint markers is obviously required to go further with these new therapies (107). Changes in immune cell infiltration and biomarker expression before and after therapeutic intervention are critical parameters for clinical development (108). Thus, assessment of PD-L1 expression by IHC has emerged as an important predictive biomarker for patients with various cancers including non-small cell lung cancer (NSCLC) and renal cell cancer (78).

Immuno-detection using antibodies labeled with zirconium-89 or copper-64 for PET, as well as indium-111 for SPECT,

has been used to assess the CTLA-4 and PD-1 status of TIL *in vivo* and the expression of PD-L1 by tumor cells in order to predict the therapeutic efficacy of the administration of immune checkpoint inhibitors in mice and in human (79, 109–111). This approach was also proposed in the context of anti CTLA-4 therapy (107). Based on tumor biopsies, it appears that some patients with PD-L1-negative tumors show clinical benefit of anti-PD-L1 treatment. Thus, a zirconium-89-labeled anti-PD-L1 antibody (atezolizumab), was used to image 22 cancer patients before atezolizumab therapy. High PET signal was observed in lymphoid tissues and inflammation sites. In tumors, high but heterogeneous and variable across tumors uptake was observed and clinical responses could be better correlated with PET than with immunohistochemistry or other biomarkers (112).

In mice, the presence of  $\text{CD8}^+$  T-cells was monitored using  $^{89}\text{Zr}$ -labeled an anti- $\text{CD8}$  single domain antibody after treatment of B16 melanoma with an anti-CTLA-4, showing that response correlated with the homogeneity of the distribution of  $\text{CD8}^+$  T-cells through the tumors (58). In mice with B6-F10 syngeneic melanoma, an anti-mouse PD-1 antibody labeled with copper-64 showed tumor uptake (79).

## Monitoring the Activation and Expansion of Immune Effector Cells

Activation and expansion of the immune system may be monitored by imaging changes in the expression of various receptors to cytokines and growth factors as well as changes in the amounts of interstitial water resulting from inflammation. Immune cell trafficking is another aspect of immune system activation.

### Imaging Immune Cell Activation

Several examples may be found in preclinical and clinical studies. In mice, an antibody against the cytokine  $\text{IFN}\gamma$ , which becomes sequestered at the surface of tumor cells after its production by T lymphocytes, was shown to reflect the activation status of cytotoxic T cells (113).

Reactive lymph nodes also express and secrete chemokines that induce immune cells relocation. Among others, the CCR7 chemokine and chemotactic agents, which play a key role in directing cell trafficking, are suitable imaging targets. For example, CXCL12 is a key chemotaxis factor for lymphocytes with expression of the CXCR4 receptor on their cell membrane (114). PET tracers targeting CXCR4 were thus used in cardiovascular disease and infections. Interestingly, Radiolabeled CXCR4 ligands are also very effective for cancer cell imaging (e.g.,  $^{68}\text{Ga}$ -labeled pentixafor) and CXCR4-targeting therapeutics labeled with  $^{177}\text{Lu}$  are currently under clinical development (114).

Activation of the immune system also results in VEGF release and, subsequently, in significant lymph node volume increase. Lymph node volume can be measured using various techniques including MRI, CT, and ultrasound. Ultrasound imaging using targeted microbubbles improves the evaluation of the microvasculature (115). Dynamic contrast-enhanced (DCE)-MRI using gadolinium (Gd) or USPIO-based contrast agents may also be used to monitor angiogenesis: expansion of lymph

node size, total blood flow and blood volume, permeability of perfused capillaries, and total surface of perfused capillaries. MRI measures of vascularity using iron-based contrast agents have been validated against histology, the gold standard in angiogenesis assessment. Diffusion-weighted (DW)-MRI detects metastatic lymph nodes (116) and may be able to image reactive LNs in immune responses.

Imaging the expression of VEGF receptor may also be a way to monitor the activation of endothelial cells in LN resulting from immunotherapy. This was achieved in preclinical models by using anti-VEGFR (bevacizumab) labeled with indium-111 for SPECT (117) or by using RGD peptides labeled with various radionuclides for SPECT and PET imaging (118, 119). These approaches have shown potential in mice, for instance, to image inflammation-induced expansion and regression of lymphatic networks by PET, they have not yet been translated into human.

Changes that occur in the tumor due to an increased immune response can also be imaged using MRI, for example through changes in relaxation times, contrast, or apparent diffusion coefficient (120). These changes have been shown to correlate with conventional histological measures in mice after treatment by transferred cytotoxic T cells that expressed a modified TCR specific for a tumor antigen.

### Imaging Trafficking of Immune Effector Cells

Antigen presenting cells (APC) are cells of the immune system that present pathogen peptides linked to class I or class II major histocompatibility complex (MHC) molecules to T lymphocytes (TL) to initiate adaptive immune responses. They are dendritic cells (DC), macrophages, and B lymphocytes. Analyzing antigen capture, migration to the lymph nodes and antigen presentation by APC started with fluorescently labeled cells using *in vivo* intravital optical imaging (121, 122). Regardless of the microscope type used, this system remains an invasive process, limited in depth penetration and restricted to a specific area of the body. Thus, APC trafficking has been monitored mostly by MRI and, more recently, tracking methods using PET have been reported. Either the cells or mice are genetically engineered, or labeled antigens are loaded *in vivo* or *ex vivo* into APC thanks to their phagocytic capacity. DC may be loaded *ex vivo* with pathogen peptides or irradiated tumor cells and reinjected to the patient. As an alternative, vaccination using labeled irradiated tumor cells or inactivated pathogens have been used to quantify antigen capture and delivery to lymph nodes by MRI (123).

Imaging lymphocyte trafficking is most easily achieved with *ex vivo* labeled cells. Transfused cells often traffic initially to the lungs, bone marrow, liver, and spleen. In mice, labeling Th1 cells with  $^{64}\text{Cu}$ -PTSM was shown to permit their detection in single LNs and to monitor T-cell homing *in vivo* over 48 h (117). Changes in cell trafficking resulting from treatments with cyclophosphamide or IL-12 may be monitored by *in vivo* imaging. A similar method, using zirconium-89, was used to monitor  $\gamma\delta$  T-cells homing into tumor lesions in mice (75). IL13R $\alpha$ 2-CAR T cells delivered intraventricularly were detectable by PET for at least 6 days throughout the central nervous system and within intracranial tumors. When

intravenously administered, PSCA-CAR T cells also showed tumor tropism, with a nine-fold greater tumor-to-muscle ratio than for CAR-negative T cells. Bone marrow uptake of  $^{89}\text{Zr}$ -labeled hematopoietic stem cells could also be monitored in mice (124) and bone marrow cell uptake in acute fractures in mice could be inhibited, rather than accelerated, by a CXCR4 antagonist, plerixafor (125).

The use of reporter gene expression is another way to study cell trafficking, because imaging is independent of factors lifetime and distribution of the tracer and an enzymatic reporter allows for amplification of a weak signal. Antigen-specific T-cells were made to express a viral Tk gene could be tracked in mice, over a period of 3 weeks, using an  $^{18}\text{F}$ -tagged probe specific to this variant of Tk. Detection of  $10^4$  T cells was claimed (67).

Lymphocytes may also be imaged by targeting cell surface markers.  $^{99\text{m}}\text{Tc}$ -labeled IL-2 was used to detect tumor-infiltrating lymphocytes in melanoma patients (77, 93). Non-depleting  $^{111}\text{In}$ -labeled anti-CD4 antibodies have been used to track CD4 $^{+}$  T cells by SPECT in mice with good correlation with pathologic measures (126). *In vivo*  $^{19}\text{F}$  MRI was also used to track homing to draining lymph nodes of T cells that were intracellularly labeled *ex vivo* with a perfluoropolyether (PFPE) nanoemulsion (127). Time-lapse  $^{19}\text{F}$  MRI was used to calculate the number of T-cells in lymph nodes over 21 days and correlated with *in vitro* fluorescence measurements to compensate for *in vivo* T-cell division. MRI also allowed visualization of CD8 $^{+}$  cytotoxic T cells, regulatory T cells, and myeloid-derived suppressor cells loaded with to monitor the effect of vaccination. Increased recruitment of cytotoxic T cells and decreased recruitment of myeloid-derived suppressor cells and regulatory T cells to the tumor was observed (128).

## Cell-Based Therapies

### Earlier Results in Cell-Based Therapy

So far, most clinical studies have used  $^{99\text{m}}\text{Tc}$  or  $^{111}\text{In}$  or superparamagnetic iron oxide to label therapeutic cells for *in vivo* cell tracking using SPECT or MRI, as reviewed by Srinivas et al. (129). Adoptive T-cell therapy (ACT) using expanded autologous tumor-infiltrating lymphocytes (TIL) and tumor antigen-specific T cell expanded from peripheral blood are complex but powerful immunotherapies. Clinical trials that included cell tracking have compared various routes of administration, the effect of the number of injected cells or host pretreatment with cyclophosphamide and compared various therapeutic cell preparation and encapsulation methods.

### Tracking Antigen-Presenting Cells *in vivo*

DC are the most effective professional antigen presenting cells for the priming of naïve T cells *in vitro* and *in vivo*. These properties are the consequence of constitutive expression of MHC molecules class I and II and co-stimulatory molecules (CD80, CD86, CD40) and of their ability to secrete regulatory cytokines such as interleukin 12 upon recognition by the T cell receptor. In the immature stage, DC have the ability to capture the antigen by phagocytosis or endocytosis, migrate to the lymph nodes where they become mature and prime T lymphocytes



inducing the adaptive immunity. This is the reason why, in recent years, immunotherapy targeting dendritic cells has developed.

Imaging demonstrated the ability of intradermally or subcutaneously administered therapeutic DC to migrate from the sites of injection into lymph nodes with about 4% of DC reaching draining lymph nodes (130). Actual contact of the DC with T-cells cannot be demonstrated by *in vivo* imaging, but *ex vivo* only after lymph node resection. By contrast, intravenously injected mature DC are trapped in the lungs and redistribute to the liver, spleen, and bone marrow. No lymph node localization has been detected so far, which does not mean that DC completely fail to reach the lymph nodes. The techniques may not be sufficiently sensitive to detect the small numbers of cells that do reach the lymph nodes. Direct intranodal administration of therapeutic DC is also common in clinical studies. Then *in vivo* imaging has been used to study the migration of DC from the primary injected node to secondary nodes. The large variability in the fraction of injected cells (from 0 to 84%) that was shown to migrate casts doubts on the accuracy of intranodal injections. Labeling the antigen to monitor its fate after DC delivery has been proposed in preclinical *in vivo* models. When DTPA was conjugated to the epsilon NH<sub>2</sub> group of the Lys154 residue, MHC binding of the peptide was preserved and could still be recognized by cytotoxic T cells. These studies allowed the non-invasive determination of the behavior of MHC-peptide complexes expressed by DC in cell vaccination (131) but has not yet been reproduced in the clinic.

### CAR (Chimeric Antigen Receptor) T-Cell Therapy

CAR T-cell therapy is a fast-growing research field with various therapeutic indications in autoimmunity, allotransplantation, infection and cancer. Enhancing the functionality and the safety of the injected cells is an important aspect of the clinical development of this very potent therapy. Therefore, there is a real need to develop *in vivo* molecular imaging to better visualize, predict and improve the efficiency of this type of immunotherapy (5). However, so far, clinical studies of CAR T-cell tracking have only established proofs of concept of its feasibility (74).

SPECT and PET imaging are two possible modalities for tracking the fate of T-cells injected for therapeutic use. Labeling T-cells has been extensively investigated and radiolabeling is possible with little impact on cell function or migration ability (13). However, the radionuclide half-life is a limitation to track the cells more than for a few days after injection.

A variety of solutions to this limitation have been proposed (132). While multimodal imaging has been shown possible (133), CAR T-cell tracking in animals has demonstrated homing and persistence in the tumors and spleen by *ex vivo* MRI of tissue samples after CAR T-cell labeling with perfluorocarbon (134) and in whole animals by immuno-PET (135). Although the context of CAR T-cell therapy would be appropriate to develop genetic modification of the T-cells to express reporter genes as discussed above, the outcome of therapy remains monitored mostly by functional imaging and especially by MRI (136).

### Other Adoptive T-Cell Transfer Therapies

Although BLI is most of the time used to follow tumor and stem cells, T cells could also be monitored thanks to the luciferase gene;

for instance, the migration of CD8 T cells toward tumor site was evaluated in a xenograft mouse model (83), and the migration of tumor associated macrophages has been visualized in a transgenic mouse model of ovarian cancer (84). Also, by optical imaging, but using a fluorophore targeted to NIS-transfected cells, tracking of *ex vivo*-expanded NK cells has been performed *in vitro* and *in vivo* showing fast NK cell accumulation in tumors in triple-negative in breast cancer xenografts (137).

<sup>89</sup>Zr-oxinate labeling was used to track V<sub>γ9</sub>V<sub>δ2</sub> T cells *in vivo* by PET. In a mouse xenograft model of human breast cancer, the V<sub>γ9</sub>V<sub>δ2</sub> T cells could be tracked over 1 week and it was shown that injection of PEGylated liposomal alendronate increased homing of the T cells to the tumors, which was confirmed by histology (75).

### Stem Cell Therapies

Mesenchymal stem cells (MSC) have been proposed for cardiac regeneration after myocardial infarction (MI). Mesenchymal stem cells derived from rat fetal heart have the potential to differentiate into cardiomyocytes, endothelial cells and smooth muscle cells *in vitro*. These cells were labeled with technetium-99m for *in vivo* tracking that revealed a focal uptake of cells in the anterior mid-ventricular region of the heart in line with subsequent ventricular functional recovery (138). Cardiac stem cells were also loaded with <sup>18</sup>F-FDG and imaged by PET to quantify their biodistribution and assess the retention of implanted cells in a model of chronic myocardial infarction in pigs. Acute cell retention was shown not to correlate with cell engraftment, which is improved by IM injection (17).

Stem cells have been tracked in various models with BLI, for instance in acute liver injury or acute kidney injury, to study the migration and persistence of human bone-marrow derived stem cells to the liver and kidney (45, 139). Luciferase-transfected adipose-derived stem cells could be transplanted in liver and brain and monitored *in vivo* by bioluminescence for several days. *Ex vivo*, immunofluorescence detected the continued expression of luciferase for 4 months, demonstrating that the transplanted cells do not die, even if the bioluminescence signal is lost (46).

In a tumor graft model, the migration of mesenchymal stem cells toward the subcutaneous tumor could also be observed (140). This study took advantage of a different type of luciferase that metabolizes different substrate, allowing them to follow the migration of stem cells with the Firefly Luciferase, and the tumor progression with the Renilla Luciferase.

BLI imaging has allowed to investigate the impact of stem cells injection modalities, showing that the intravenous route often leads to sequestration in the lung (141) preventing the migration of stem cells to other organs, while the intracardiac route seems to prevent this phenomenon.

## DISCUSSION

Cell tracking has a long history of routine clinical use in Nuclear Medicine and it serves a purpose in infectious and inflammatory diseases despite its limitations (142). Imaging has an increasing role in the context of personalized medicine, which becomes the approach to take, at least in developed countries. CT-scan,

MRI and ultrasonography are now inescapable and Nuclear Medicine modalities have gained larger recognition, particularly in cancer. However, the number of tracers of frequent, routine use remains quite limited. In addition to bone and thyroid scans,  $^{18}\text{F}$ -FDG is certainly the tracer that has the biggest impact in cancer management, with a few other PET tracers for those cancers in which  $^{18}\text{F}$ -FDG does not perform so well, such as prostate cancer. In view of the incredible number of preclinical and early clinical studies about cancer imaging, this seems not much. There are many obvious reasons, the major one being the difficulty of demonstrating that a new imaging technique has its place in medicine as compared to all existing ones. If the imaging technique needs an injectable tracer, such as a radiopharmaceutical, the situation is worse, because of the cost of developing a product that has the regulatory status of a drug and by far not the sales and price of a therapeutic compound.

Will immunotherapy change this situation? Most of the very large number of original publications and reviews that deal with immunotherapy advocate for more imaging, especially more specific imaging of receptors, antigens and other biomarkers that characterize the function of cells *in vivo*. With the progress of cellular therapies, whether regenerative or cancer-oriented, many papers call for cell tracking *in vivo* as a way to understand their behavior and mechanisms of action and, by the way, to design improved therapies. Indeed, if not all novel immunotherapies are cell therapies, they all bear upon complex cellular interactions at the tumor sites and in immunologic tissues and better knowledge of the nature of cancer and non-cancer cells residing in tumors, their activation, proliferation and migration in living animals and, of course, in humans, must be a way of progress in therapy.

This realization triggered a lot of developments that made possible better cell labeling, mostly to make them visible by MRI and PET, for longer times after re-injection, as well as improved tracers to target specific biomarkers *in vivo*, using SPECT and PET, but also MRI, not only on tumor cells but on those cells that make the tumor microenvironment, e.g., endothelial cells, infiltrating antigen-presenting cells, lymphocytes, macrophages and other cells of the immune system. New techniques have been developed and the use of reporter genes to make cells detectable any time after their inoculation using specific tracers is a particularly elegant and powerful one. This review has rapidly depicted these approaches and it is expected that it convinces the reader that they are feasible and effective.

There is no best technique, though. It depends on the objective and, obviously, the most powerful one, for instance the use of reporter genes, are associated with complex manipulations, cost and regulatory hurdles. Interestingly, radioactive (SPECT, PET) and non-radioactive (MRI, optical imaging, ultrasonography) methods have been proposed, which all have advantages and drawbacks. Of course, bimodal and even multimodal agents have been developed. Multimodal imaging is clearly the way to go, with SPECT and PET now always associated with CT and PET-MRI systems developing. It is also clear that multimodal imaging experiments in animals that allowed for *in vivo* imaging and *ex vivo* in-depth investigation of the fate of injected cells and confirmation of *in vivo* imaging results are most convincing. However, such studies do not necessarily need bifunctional

tracers, which sometimes look more like “tours de force” than candidates for further development.

One question here is: have these developments and studies been useful for the development of immunotherapy? The answer is not obvious. Such studies have pointed out to some problems and they have been mostly confirmatory, when they have not merely been proofs of concept for feasibility. This review has attempted at presenting clinical results in cell tracking and, while it may have missed some, it is in line with other recent reviews on the subject to conclude that the number of clinical studies is quite small. It may be considered that this is only the beginning of a new story and that groundbreaking discoveries in immunotherapy will be made thanks to imaging and that at least some of the approaches reported here will find their application. Very few cell tracking techniques will become routine. The introduction of reporter genes in therapeutic cells is probably the technique with the highest sensitivity for long term monitoring of cell trafficking, proliferation, and persistence. For cell therapies in which the cells are genetically modified, namely CAR-T cells, the addition of a second gene and cell tracking may be considered in the context of clinical trials. Whether this approach will be used in routine clinical practice is not likely. Conversely, tracers for *in vivo* imaging, particularly PET imaging, designed to detect and quantify specific cell populations are being developed and some will find a routine use. It is always difficult to make predictions, but it seems logical that expensive therapies or therapies that may be efficacious but associated with serious side-effects will not be given to patients who have no chance to benefit from them. The imaging of tumor microenvironment may give answers to how the patients will respond to such therapies, especially immunotherapy. Expression of immune checkpoints, like anti-PD-1, is already assessed from biopsies prior to immunotherapy, but the use of PET-imaging or MRI could allow a non-invasive assessment of the immune state of the tumor. This could provide new insights into the prediction of the response to treatment in patients. This is the theragnostic approach, which is not a reality today, but most probably be one in the future. It is also quite probable that future research in immunotherapy will take advantage of all these technological advances, certainly for preclinical studies, but also in the clinic. Indeed, it is time to combine the novel therapeutic approaches, which afford impressive remissions but not yet to all patients and this will call for precise, specific understanding of what is really going on in the living organism.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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